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Dearth of full-length HIV-1 sequences obscures the true HIV-1 genetic subtypes distribution in sub-Saharan Africa

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HIV infection is still a public health problem in sub-Saharan Africa. The broad diversity exhibited by HIV-1 may impact on transmission, disease progression, drug resistance and vaccine development. Most analyses of HIV-1 subtype distribution have been on partial HIV-1 gene sequences, which may not adequately reflect the circulating subtypes. The objective of this study was to estimate the HIV-1 subtype distribution in sub-Saharan Africa using only full-length genome sequences. Using available HIV-1 full-length genome sequences from sub-Saharan Africa, the HIV-1 distribution in the region was analysed and compared with a previous global analysis which was not based entirely on full-length sequences. A total of 934 HIV-1 full-length genome sequences were available from 27 sub-Saharan countries. There was a disproportionate distribution of HIV-1 subtypes among countries with Cameroon having all the four HIV-1 groups. The subtype C was the most available in addition to a large proportion of circulating and unique recombinant forms (CRFs/URFs) especially in Central and West African countries, with frequencies of 32.6 to 90%. There was decreased representation of subtypes A and G in regions where CRFs/URFs were common compared with previous analysis using partial sequences. There is a need for more HIV-1 full-length genome sequences from sub-Saharan Africa for the true distribution of HIV-1 subtypes to be known, as analysis of partial sequences is not truly representative of the circulating subtypes.

Key words: Africa, distribution, genetic diversity, HIV sequence variability, subtypes, recombination.

INTRODUCTION

Infection with the Human Immunodeficiency Virus (HIV) continues to be a global public health problem with devastating consequences in developing countries especially in sub-Saharan Africa, even though antiretroviral therapy has improved the quality of life of those infected. HIV exists in two genetically distinct forms (HIV-1 and HIV-2), with HIV-2 being restricted to West Africa and HIV-1 having a global spread and being responsible for the HIV pandemic.

HIV-1 exhibits genetic diversity in the form of viral
quasispecies (Meyerhans et al., 1989) described as a heterogeneous viral population of related genomes (Domingo et al., 1997). This genetic diversity of HIV-1 is believed to result from a high mutation rate due to the infidelity or error-prone characteristic of reverse transcriptase during replication (Roberts et al., 1988; Boyer et al., 1992), a high replication rate of about $10^9$ virions per day (Ho et al., 1995) and genomic recombination (Hu and Temin, 1990; Jetz et al., 2000; Zhuang et al., 2002).

HIV-1 is classified into three main genetic groupings each representing independent cross-species transmission, although a fourth group (Group P) (Plantier et al., 2009) has been suggested. The three groups are: Group M (major), Group O (outlier) and Group N (non-M, non-O). Groups O and N are mainly restricted to Cameroon and the Democratic Republic of Congo. The Group M has a global distribution and is further divided into nine subtypes and some sub-subtypes: subtypes A, B, C, D, F, G, H, J and K, with sub-subtypes A1 to AS (Gao et al., 2001; Meloni et al., 2004; Vidal et al., 2006, 2009), and F1 and F2 (Triques et al., 1999). Combinations of two or more subtypes and/or sub-subtypes exist, and when these mosaic forms become widely spread and fixed in the population, they are known as circulating recombinant forms (CRFs). A CRF is therefore defined as "intersubtype recombination for which at least three epidemiologically unlinked variants are monophyletic and share identical genetic structure along their full genomes" (Yebra et al., 2012), while a unique recombinant form (URF) is a variant that has not been isolated from three or more individuals.

Presently, about 58 CRFs have been characterized and are in the public domain (http://www.hiv.lanl.gov/content/sequence/HIV/CRFs/CRFs.html). HIV-1 subtypes may exhibit phenotypic differences. Subtypes are believed to impact on tropism, with some studies associating increased CXCR4 usage with infections with subtype C (Johnston et al., 2003; Connell et al., 2008), and others finding decreased CXCR4 usage in subtype C infections (Bjornadal et al., 1997; Abebe et al., 1999; Peeters et al., 1999; Esbjornsson et al., 2010). Subtypes may have an important effect on transmission of HIV-1, as the subtype B was associated with homosexual transmission and the subtype C with heterosexual transmission (van Harmelen et al., 1997; van Harmelen et al., 2001), but a heterosexually driven subtype B epidemic has been observed in Trinidad and Tobago (Cleghorn et al., 2000). Also, the subtype C is believed to be more likely to be transmitted vertically than the subtypes A and D (Blackett et al., 2001; Renjifo et al., 2001; Renjifo et al., 2003); and infection with the subtype D has been associated with faster CD4 T cell decline and a faster rate of disease progression (Alaeus et al., 1999; Kanki et al., 1999; Kaleebu et al., 2001; Vasan et al., 2006; Baeten et al., 2007; Kaleebu et al., 2007; Easterbrook et al., 2010). Subtypes could also be important in vaccine design and development (Hemelaar et al., 2011).

Comprehensive understanding of disease process and effective interventions could arise from correlating genomic profile of HIV with that of patients (Sampathkumar et al., 2012). With HIV subtypes playing important roles in transmission and outcome of disease, it becomes imperative for the actual distribution of subtypes to be known. HIV subtype distribution has largely been determined using partial genome sequences. Since recombinant forms are relatively common, there is the possibility that the actual subtype distribution is not accurately represented using these partial genome sequences, and recombinants could be artificially scored as pure subtypes. This analysis therefore sought to firstly determine the HIV-1 subtype distribution in sub-Saharan Africa using only full-length or near full-length HIV-1 genome sequences available in the public domain. Secondly, it intended to document available full-length HIV-1 sequences from the region.

MATERIALS AND METHODS

All available full-length or near full-length HIV-1 genome sequences of sub-Saharan African origin were obtained from GenBank (http://www.ncbi.nlm.nih.gov) and the Los Alamos HIV Sequence Database (http://www.hiv.lanl.gov). The full-length sequence is one that contains the entire protein coding region as well as the non-coding regions, while a near full-length sequence contains almost all of the coding region.

Duplications were rectified with only one sequence per patient, except for those with infection with viruses of different subtypes. Information was transferred to an Excel spreadsheet and analysed. The countries in sub-Saharan Africa were grouped into four regions using the United Nations geoscheme for Africa: Central Africa, East Africa, Southern Africa and West Africa.

For convenience, Malawi, Zambia and Zimbabwe were grouped under Southern Africa.

Central Africa includes Angola, Cameroon, Central African Republic (CAR), Chad, Democratic Republic of Congo (DRC), Equatorial Guinea, Gabon, Republic of Congo and Sao Tome and Principe.

East Africa includes Burundi, Djibouti, Ethiopia, Eritrea, Kenya, Madagascar, Mauritius, Mozambique, Rwanda, Somalia, Sudan, Tanzania and Uganda.

Southern Africa includes Botswana, Lesotho, Malawi, Namibia, South Africa, Swaziland, Zambia and Zimbabwe.

West Africa includes Benin, Burkina Faso, Cape Verde, Gambia, Ghana, Guinea, Guinea-Bissau, Ivory Coast, Liberia, Mali, Mauritania, Niger, Nigeria, Senegal, Sierra Leone and Togo.

Results from this analysis were then compared with those obtained in an earlier analysis which did not discriminate between partial and full-length HIV genome sequences (Hemelaar et al., 2006).

RESULTS

A total of 1084 full-length or near full-length HIV-1 genome sequences of sub-Saharan Africa origin, were retrieved from GenBank (http://www.ncbi.nlm.nih.gov)
and the Los Alamos HIV Sequence Database (http://www.hiv.lanl.gov). The sequences had been submitted to the databases between 1983 and 2011. In contrast, there were 127,798 partial HIV-1 sequences from the region. With the removal of duplications, there were 934 unique full-length HIV-1 sequences from patients within the sub-Saharan Africa region. Sequences were available from 27 of the 45 sub-Saharan countries. An overwhelming majority of the sequences (96.7%) were of the HIV-1 Group M, with Groups N and O accounting for 1.1 and 2.1%, respectively, of the sequences (Table 1). The subtype C was the most common subtype accounting for almost half of the Group M sequences, with recombinants responsible for 29% of the Group M sequences. A global HIV-1 subtype analysis was done in 2004 (Hemelaar et al., 2006) and some of the results are compared with the present analysis (Table 1).

Regional analysis

Central Africa

There were available sequences from five of nine countries. Over three-quarters of the sequences were from Cameroon. Recombinant forms made up 64.2% of the sequences (Table 2). All the HIV groups (M, N, O and P) were present among the Cameroonian sequences, and also six of the nine Group M subtypes. Recombinants made up 66.7% of the sequences from Cameroon. The Group O was present among sequences from Gabon, while recombinants accounted for 66.7% of the sequences from the Democratic Republic of Congo.

East Africa

Sequences were retrieved for seven out of 13 countries in the region. Most of the sequences (94.4%) were from three countries: Kenya, Uganda and Tanzania. The subtype distribution of sequences from East Africa was spread between recombinants (37.2%), subtype A (32%), subtype D (18.4%) and subtype C (11.2%). About half of the sequences from Kenya were of the subtype A, with recombinants making up 41.8%. Of the Ugandan sequences, 44.2 and 32.6% were subtype D and recombinants respectively, while subtype A accounted for 23.2%. Of the sequences from Tanzania, 44% each were recombinants and the subtype C.

Southern Africa

Sequences were available for four out of eight countries in the region. Most of the sequences were from South Africa (78.4%) and 97.6% of the sequences from South Africa were of the subtype C, with recombinants contributing just 1.2% of the sequences. All the sequences from Malawi and 94.7% of those from Zambia were also of the subtype C.

West Africa

Sequences were obtained for 10 out of 16 countries in the region. Over 75% of the sequences were from just three countries: Nigeria, Ghana and Senegal. In this region, 71.83% of the sequences were recombinants. The HIV-1 Group O was surprisingly present among the sequences from Senegal (Table 2). Among the Nigerian sequences, subtype G and recombinants were each responsible for 47.6% of the sequences. Recombinants were responsible for 90% of the sequences from Ghana and 46.1% of those from Senegal.

Circulating and unique recombinant forms (CRFs/URFs)

Recombinants accounted for a substantial proportion of the available sequences from certain countries in the West, Central and Eastern African regions (Table 3) ranging from 32.6 to 90%. Five countries had no recombinants (Chad, Djibouti, Ethiopia, Somalia and Malawi). Twenty-one out of the characterized 58 CRFs were present among the sequences. In terms of proportion, Ghana had the highest proportion of recombinant sequences, but Cameroon numerically had more CRFs/URFs.

Cameroon also had the greatest diversity of recombinants with nine different CRFs and 23 different URF types, followed by Kenya with 11 URF types and 2 CRFs; Democratic Republic of Congo (4 CRFs, 5 URFs) and Ghana (3 CRFs, 5 URFs) (Table 4). CRF02_AG was the most prevalent recombinant form accounting for 47.6% of all CRFs and 21.8% of all recombinants. It was common in Cameroon, Nigeria and Ghana. An intergroup recombinant, 02O was among the Cameroonian sequences.

All the countries in the Southern African region had no CRFs but a few URFs, while the countries in East Africa had more URFs than CRFs. In contrast, there were more CRFs than URFs in the countries in Central and West Africa (Table 4). Also, more recombinants were detected in our analysis compared to earlier analysis using partial sequences.

Table 5 shows regional differences in the distribution of some subtypes when partial and full-length sequences were used in the analysis. The detection rate of the subtypes A and G were less when full-length genome sequences are used in analysis compared with partial sequences, especially in regions where recombinants are common.
Table 1. Frequency of the HIV-1 groups and subtypes using full-length genome sequences. Figures from an earlier study (Hemelaar et al. are indicated).

<table>
<thead>
<tr>
<th>HIV-1 variant</th>
<th>Number of full-length sequences</th>
<th>% of total HIV-1 subtypes</th>
<th>Hemelaar et al. (2006) (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Group M</td>
<td>903</td>
<td>96.7</td>
<td></td>
</tr>
<tr>
<td>Subtype A</td>
<td>89</td>
<td>9.5</td>
<td>14%</td>
</tr>
<tr>
<td>Subtype B</td>
<td>3</td>
<td>0.3</td>
<td>N/S</td>
</tr>
<tr>
<td>Subtype C</td>
<td>446</td>
<td>47.8</td>
<td>56%</td>
</tr>
<tr>
<td>Subtype D</td>
<td>60</td>
<td>6.4</td>
<td>N/S</td>
</tr>
<tr>
<td>Subtype F</td>
<td>7</td>
<td>0.7</td>
<td>N/S</td>
</tr>
<tr>
<td>Subtype G</td>
<td>23</td>
<td>2.5</td>
<td>10%</td>
</tr>
<tr>
<td>Subtype H</td>
<td>1</td>
<td>0.1</td>
<td>N/S</td>
</tr>
<tr>
<td>Subtype J</td>
<td>1</td>
<td>0.1</td>
<td>N/S</td>
</tr>
<tr>
<td>Subtype K</td>
<td>2</td>
<td>0.2</td>
<td>N/S</td>
</tr>
<tr>
<td>Recombinants</td>
<td>271</td>
<td>29</td>
<td>16%</td>
</tr>
<tr>
<td>(CRF)</td>
<td>(124)</td>
<td>(13.3)</td>
<td>N/S</td>
</tr>
<tr>
<td>(URF)</td>
<td>(147)</td>
<td>(15.7)</td>
<td>N/S</td>
</tr>
<tr>
<td>Group N</td>
<td>10</td>
<td>1.1</td>
<td></td>
</tr>
<tr>
<td>Group O</td>
<td>20</td>
<td>2.1</td>
<td></td>
</tr>
<tr>
<td>Group P</td>
<td>1</td>
<td>0.1</td>
<td></td>
</tr>
<tr>
<td>TOTAL</td>
<td>934</td>
<td>100</td>
<td></td>
</tr>
</tbody>
</table>

N/S - Not stated.

DISCUSSION

Despite Africa bearing the brunt of HIV infection, there is limited information on the molecular epidemiology of HIV-1 due to the paucity and uneven availability of both partial and full-length genome sequences across the continent. Results from previous analyses (Hemelaar et al., 2006), had shown that the subtype A accounted for 21% of HIV-1 infections in West Africa, but in our analysis, it represented only 4.2% of sequences. Also, the subtype G previously observed to represent 35% of infections, accounted for only 16.9% of sequences. Though the subtype A was projected to represent 29% of infections in Nigeria, there were no available full-length or near full-length subtype A sequences from Nigeria. These analysed partial sequences in their survey, might really be part of CRF02_AG recombinants, but on their own appear as subtypes A or G. The earlier analysis had used sequences irrespective of the length, but weighted the distribution according to the number of HIV-infected people in each country. Disparities in subtype frequencies between our analysis and the Hemelaar study were also observed in some countries from the different sub-regions. This implies that the true HIV-1 subtype distribution might not have been captured using partial sequences.

Our analysis shows that recombinants (CRFs/URFs) constituted a substantial proportion of HIV-1 genotypes in sub-Saharan Africa. Our estimates of CRFs were higher than those obtained in a comprehensive audit of HIV distribution in 2004 (Hemelaar et al., 2006).

In that analysis, recombinants were projected to account for 42.6% of HIV-1 infections in West Africa, while we observed 71.8% for the same region. The differences in estimates might be due to the different approaches used, and also the timing because the Hemelaar study evaluated 2003/2004, while we analysed all available full-length sequences at the time of analysis. Our analysis sought to present the subtype distribution as based on full-length HIV-1 sequences available in the Los Alamos database. Whilst not a perfect approach, it presents the genetic diversity as determined by the unambiguity of full-length genome sequences.

There has been a consistent increase in the reporting of CRFs and URFs (Vidal et al., 2000; Nyombi et al., 2008). This is further buttressed by the fact that 21 new CRFs have been characterized between 2008 and 2013, implying that the already complex genetic diversity of HIV-1 is evolving further.

The increasing number of CRFs and their relative spread is also a reason for more full-length sequencing and analysis. This is important because the clinical implications of subtype variation with regards to recombinants are yet to be established. The spatial distribution of CRFs and URFs needs clarification as CRFs were common in Central and West Africa, while URFs were common in East Africa.

Our analysis further reveals the dearth of HIV-1 sequence information from sub-Saharan Africa, as there were only 934 full-length sequences from 27 countries having millions of people living with HIV. Hemelaar et al. (2011) in a later review had noted that the available
Table 2. Regional distribution of HIV-1 subtypes using full-length genome sequences.

<table>
<thead>
<tr>
<th>Region</th>
<th>Country</th>
<th>People living with HIV 2012</th>
<th>*HIV* prevalence</th>
<th>A</th>
<th>B</th>
<th>C</th>
<th>D</th>
<th>F</th>
<th>G</th>
<th>H</th>
<th>J</th>
<th>K</th>
<th>CRF/URF</th>
<th>Group N</th>
<th>Group O</th>
<th>Group P</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Central Africa</td>
<td>Angola</td>
<td>250 000</td>
<td>2.3</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td></td>
<td></td>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td>3</td>
<td></td>
<td></td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>Cameroon</td>
<td>600 000</td>
<td>4.5</td>
<td>1</td>
<td>4</td>
<td>6</td>
<td>8</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>96</td>
<td>10</td>
<td>16</td>
<td>1</td>
<td>1</td>
<td>144</td>
<td></td>
</tr>
<tr>
<td></td>
<td>CAR</td>
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<td>N/A</td>
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<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
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<tr>
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<td>Chad</td>
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<td>2.7</td>
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<td></td>
<td></td>
<td></td>
<td></td>
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<td></td>
<td></td>
<td>4</td>
<td></td>
<td></td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>DRC</td>
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<td>1.1</td>
<td>4</td>
<td>4</td>
<td></td>
<td>1</td>
<td>18</td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td>27</td>
<td></td>
<td></td>
<td>27</td>
</tr>
<tr>
<td></td>
<td>Gabon</td>
<td>41 000</td>
<td>4</td>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>5</td>
<td></td>
<td></td>
<td>5</td>
</tr>
</tbody>
</table>

| East Africa   | Djibouti    | 9 700                       | 1.2                | 1 |    |    |    |    |    |    |    |    |         | 1       |         |         | 1     |
|               | Ethiopia    | 760 000                     | 1.3                | 2 |    |    |    |    |    |    |    |    |         | 2       |         |         | 2     |
|               | Kenya       | 1 600 000                   | 6.1                | 45| 2 | 3 | 3 |    |    |    |    |    | 38      | 91      |         |         | 141   |
|               | Rwanda      | 240 000                     | 2.9                | 8 |    |    |    |    |    |    |    |    | 2       | 10      |         |         | 11    |
|               | Somalia     | 29 000                      | 0.5                | 1 |    |    |    |    |    |    |    |    | 1       | 1       |         |         | 2    |
|               | Tanzania    | 1 500 000                   | 5.1                | 5 | 22| 1 |    |    |    |    |    |    | 22      | 50      |         |         | 72    |
|               | Uganda      | 1 500 000                   | 7.2                | 22| 42|    |    |    |    |    |    |    | 31      | 95      |         |         | 126   |

| Southern Africa| Botswana    | 340 000                     | 23                 | 51|    |    |    |    |    |    |    |    | 2       | 53      |         |         | 55    |
|               | Malawi      | 1 100 000                   | 10.1               | 20|    |    |    |    |    |    |    |    | 4       | 20      |         |         | 24    |
|               | South Africa| 6 100 000                   | 17.9               | 1 | 2 | 326| 1 |    |    |    |    |    | 4       | 334     |         |         | 338   |
|               | Zambia      | 1 100 000                   | 12.7               | 18|    |    |    |    |    |    |    |    | 1       | 19      |         |         | 20    |

| West Africa   | Benin       | 72 000                      | 1.1                |    |    |    |    |    |    |    |    |    | 1       | 1       |         |         | 2     |
|               | Gambia      | 14 000                      | 1.3                |    |    |    |    |    |    |    |    |    | 3       | 3       |         |         | 6     |
|               | Ghana       | 240 000                     | 1.4                |    |    |    |    |    |    |    |    |    | 18      | 20      |         |         | 30    |
|               | Guinea Bissau| 41 000                     | 3.9                |    |    |    |    |    |    |    |    |    | 2       | 2       |         |         | 4     |
|               | Ivory Coast | 450 000                     | 3.2                |    |    |    |    |    |    |    |    |    | 6       | 6       |         |         | 12    |
|               | Liberia     | 22 000                      | 0.9                |    |    |    |    |    |    |    |    |    | 1       | 1       |         |         | 2     |
|               | Mali        | 100 000                     | 0.9                |    |    |    |    |    |    |    |    |    | 1       | 1       |         |         | 2     |
|               | Niger       | 46 000                      | 0.5                |    |    |    |    |    |    |    |    |    | 3       | 3       |         |         | 6     |
|               | Nigeria     | 3 400 000                   | 3.1                |    |    |    | 1 | 10|    |    |    |    | 10      | 21      |         |         | 31    |
|               | Senegal     | 43 000                      | 0.5                |    |    | 3   | 1 | 1 |    |    |    |    | 2       | 13      |         |         | 16    |
|               | Total       | 20 287 700                  | 89                 | 3 | 446| 60 | 7 | 23| 1 | 1 | 2 | 271     | 10      | 20      | 1       | 934   |

* UNAIDS Report on the Global AIDS epidemic 2013; DRC, Democratic Republic of Congo; CAR, Central African Republic; N/A Not available.
Table 3. Distribution of circulating and unique recombinant forms.

<table>
<thead>
<tr>
<th>Region</th>
<th>Country</th>
<th>Circulating recombinant forms (CRFs)</th>
<th>Unique Recombinant Forms (URFs)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Total (Number of URFs indicated in brackets)</td>
<td>Total</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1</td>
<td>2</td>
</tr>
<tr>
<td>Central Africa</td>
<td>Angola</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Cameroon</td>
<td>36</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>CAR</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td></td>
<td>DRC</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Gabon</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>East Africa</td>
<td>Kenya</td>
<td>1</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>Rwanda</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tanzania</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Uganda</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Southern Africa</td>
<td>Botswana</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td></td>
<td>South Africa</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Zambia</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>West Africa</td>
<td>Benin</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Gambia</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Ghana</td>
<td>9</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td>Guinea</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Bissau</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Ivory Coast</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Liberia</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Mali</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Niger</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Nigeria</td>
<td>8</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Senegal</td>
<td>2</td>
<td>1</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>359</td>
<td>4</td>
</tr>
</tbody>
</table>

1. CRF01_AE; 2. CRF02_AG; 3. CRF06_cpx; 9. CRF09_cpx; 10. CRF10_CD; 11. CRF11_cpx; 13. CRF13_cpx; 16. CRF16_A2D; 18. CRF18_cpx; 21. CRF21_A2D; 22. CRF22_01A1; 25. CRF25_cpx; 26. CRF26_AU; 27. CRF27_cpx; 30. CRF30_0206; 32. CRF32_06A1; 36. CRF36_cpx; 37. CRF37_cpx; 45. CRF45_cpx; 49. CRF49_cpx.
sequences were not representative of the HIV-1 distribution in the countries of origin, and that some countries harbouring large numbers of infected individuals with high subtype diversity had a small amount of HIV data. This is particularly true of a country like Nigeria that has a relatively high HIV-1 burden, but has only 21 available full-length HIV-1 sequences.

In a review of selected studies that have documented HIV subtype diversity in East, West and Southern Africa, only two studies were observed to have used near full-

Table 4. Frequency of recombinant forms in some countries.

<table>
<thead>
<tr>
<th>Country</th>
<th>% Recombinants</th>
<th>% CRFs</th>
<th>% URFs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cameroon</td>
<td>66.7</td>
<td>47.2</td>
<td>19.4</td>
</tr>
<tr>
<td>DRC</td>
<td>66.7</td>
<td>33.3</td>
<td>33.3</td>
</tr>
<tr>
<td>Ghana</td>
<td>90</td>
<td>55</td>
<td>35</td>
</tr>
<tr>
<td>Kenya</td>
<td>41.8</td>
<td>4.4</td>
<td>37.4</td>
</tr>
<tr>
<td>Nigeria</td>
<td>47.6</td>
<td>38.1</td>
<td>9.5</td>
</tr>
<tr>
<td>Tanzania</td>
<td>44</td>
<td>6</td>
<td>38</td>
</tr>
<tr>
<td>Uganda</td>
<td>32.6</td>
<td>0</td>
<td>32.6</td>
</tr>
</tbody>
</table>

Table 5. Regional distribution of Recombinants. The proportion of regional sequences that are recombinants in this analysis and the Hemelaar et al. (2006) study are indicated.

<table>
<thead>
<tr>
<th>Region</th>
<th>Country</th>
<th>CRFs</th>
<th>URFs</th>
<th>Total recombinants</th>
<th>Hemelaar et al. (2006) (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Central Africa</td>
<td>Angola</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>64.2%</td>
</tr>
<tr>
<td></td>
<td>Cameroon</td>
<td>68</td>
<td>28</td>
<td>96</td>
<td>18.6</td>
</tr>
<tr>
<td></td>
<td>CAR</td>
<td>3</td>
<td>0</td>
<td>3</td>
<td>18.6</td>
</tr>
<tr>
<td></td>
<td>DRC</td>
<td>9</td>
<td>9</td>
<td>18</td>
<td>18.6</td>
</tr>
<tr>
<td></td>
<td>Gabon</td>
<td>1</td>
<td>1</td>
<td>2</td>
<td>18.6</td>
</tr>
<tr>
<td></td>
<td>Regional Total</td>
<td>82</td>
<td>38</td>
<td>120</td>
<td>18.6</td>
</tr>
<tr>
<td></td>
<td>Kenya</td>
<td>4</td>
<td>34</td>
<td>38</td>
<td>37.2%</td>
</tr>
<tr>
<td></td>
<td>Rwanda</td>
<td>0</td>
<td>2</td>
<td>2</td>
<td>28.6</td>
</tr>
<tr>
<td>East Africa</td>
<td>Tanzania</td>
<td>3</td>
<td>19</td>
<td>22</td>
<td>37.2%</td>
</tr>
<tr>
<td></td>
<td>Uganda</td>
<td>0</td>
<td>31</td>
<td>31</td>
<td>28.6</td>
</tr>
<tr>
<td></td>
<td>Regional Total</td>
<td>7</td>
<td>86</td>
<td>93</td>
<td>28.6</td>
</tr>
<tr>
<td>Southern Africa</td>
<td>Botswana</td>
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<td>2</td>
<td>2</td>
<td>1.2%</td>
</tr>
<tr>
<td></td>
<td>South Africa</td>
<td>0</td>
<td>4</td>
<td>4</td>
<td>0.25</td>
</tr>
<tr>
<td></td>
<td>Zambia</td>
<td>0</td>
<td>1</td>
<td>1</td>
<td>1.2%</td>
</tr>
<tr>
<td></td>
<td>Regional Total</td>
<td>0</td>
<td>7</td>
<td>7</td>
<td>1.2%</td>
</tr>
<tr>
<td>West Africa</td>
<td>Benin</td>
<td>1</td>
<td>0</td>
<td>1</td>
<td>71.8%</td>
</tr>
<tr>
<td></td>
<td>Gambia</td>
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<td>3</td>
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</tr>
<tr>
<td></td>
<td>Ghana</td>
<td>11</td>
<td>7</td>
<td>18</td>
<td>42.6</td>
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<tr>
<td></td>
<td>Guinea Bissau</td>
<td>2</td>
<td>0</td>
<td>2</td>
<td>42.6</td>
</tr>
<tr>
<td></td>
<td>Ivory Coast</td>
<td>1</td>
<td>5</td>
<td>6</td>
<td>42.6</td>
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<tr>
<td></td>
<td>Liberia</td>
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<td>0</td>
<td>1</td>
<td>42.6</td>
</tr>
<tr>
<td></td>
<td>Mali</td>
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<td>0</td>
<td>1</td>
<td>42.6</td>
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<td>0</td>
<td>3</td>
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<td></td>
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<td>8</td>
<td>2</td>
<td>10</td>
<td>42.6</td>
</tr>
<tr>
<td></td>
<td>Senegal</td>
<td>4</td>
<td>2</td>
<td>6</td>
<td>42.6</td>
</tr>
<tr>
<td></td>
<td>Regional Total</td>
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<td>16</td>
<td>51</td>
<td>42.6</td>
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<tr>
<td>Total</td>
<td></td>
<td>124</td>
<td>147</td>
<td>271</td>
<td>42.6</td>
</tr>
</tbody>
</table>
length and full-length genome sequences (Lihana et al., 2012). There is thus a need for more full-length sequencing. Cost and lack of requisite equipment and manpower are probably responsible for the gross under-representation of sub-Saharan HIV-1 full-length sequences. Worrysome is the fact that of over 2148 high-throughput sequencing machines in the world, there are only 17 in Africa (3 in Kenya, 14 in South Africa) (http://omicsmaps.com/). Due to cost or other limitations, most studies in Africa are limited to sequencing of partial HIV genomes, and even these studies are identifying recombination within partial gene sequences (Kiwelu et al., 2013), so the full extent of genetic variability and recombination could be obscured unless the full-length genome is sequenced and analysed. Studies using partial sequences, have shown an increase in the detection of URFs and drug resistant viruses in sub-Saharan Africa (Ragupathy et al., 2011; Jacobs et al., 2014). Analysis of full-length sequences could possibly lead to greater identification of these recombinant forms.

Analysis of full-length sequences could also help in the accurate identification of low frequency viral variants (Henn et al., 2012) and the use of multiple genes rather than single gene to identify HIV-1 subtypes can reduce the chances of false identification (Neogi et al., 2012). The fact that the full-length subtypes E and I isolates were never found, and have now been re-designated as circulating recombinant forms, CRF01_AE and CRF04_cpx respectively (Carr et al., 1996; Gao et al., 1998; Paraskevis et al., 2001), and the suggestion that the subtype G, was actually a recombinant, whose parental subtype included the CRF02_AG (Abecasis et al., 2007), justify the calls for subtype classification to be based only on analysis of full-length or near full-length genomes.

It follows that analysis of partial HIV-1 sequences could be misinterpreted and may not reveal the true picture of HIV-1 biology and pathogenesis. Therefore, there is the need to know the current incidence/distribution of HIV-1 and also the need to expand the subtype database as these may impact on diagnosis, therapy and vaccine design. Full-length sequences are probably the most accurate representation of HIV genetic diversity.

**Conclusion**

This analysis brings to light the need for more sequences of full-length genomes from the sub-Saharan Africa region. This is a herculean task because even partial sequences are difficult to come by in most countries in the region. It will require an understanding of the importance of sequencing, commitment from governments within the region and continuous hard work from scientists to achieve this objective. The periodic monitoring of HIV variants could help determine the extent of virus evolution.

**Conflict of Interests**

The author(s) have not declared any conflict of interests.

**REFERENCES**


Chemical composition and larvicidal activity of *Zanthoxylum gilletii* essential oil against *Anopheles gambiae*

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Malaria is a serious health problem in many African countries. The *Anopheles gambiae* mosquito which is the major vector for this disease has developed resistance against synthetic pyrethroids which are the mainstay of insecticide treated bed nets. The development of insecticide resistance and side effects associated with synthetic pesticides has triggered intense research efforts towards natural products (for vector control) such as essential oils because of their efficacy and safety. In this study, larvicidal potential of essential oil from *Zanthoxylum gilletii* was evaluated against malaria vector mosquito, *A. gambiae*. The essential oil was extracted by hydro-distillation, and its chemical compositions determined by gas chromatography mass spectrometry. The oil was dominated by sesquiterpenes and monoterpenes which accounted for 38.30 and 34.00 %, respectively. The oil showed good activity against *A. gambiae* and recorded LC₅₀ and LC₉₀ values of 57.73 and 140.24 ×10⁻³ mg/ml, respectively. The results obtained show that the essential oil isolated from *Z. gilletii* is a promising mosquito larvicide.

**Key words:** Malaria, *Zanthoxylum gilletii*, essential oil, *Anopheles gambiae*, larvicidal activity

INTRODUCTION

Mosquitoes are known vectors of various diseases which are life threatening. *Anopheles gambiae* mosquitoes are known to transmit malaria (Cheng et al., 2003; Das and Ansari, 2003; Magalhaes et al., 2010). According to the latest WHO estimates, there were approximately 219 million cases of malaria globally in 2010 and 660,000 fatal cases: approximately 90% of these fatal cases occurred in Africa (WHO, 2012).

Currently there is no effective available vaccine for malaria (Matasyoh et al., 2008). Among the efforts that have been made in recent decades in seeking to reduce mosquito bites and transmission of malaria include the use of insecticide treated nets (ITNs) and larviciding. The insecticide treated nets rely solely on pyrethroids to enhance their protective utility (Chavasse et al., 1999). Larviciding has the greatest control impact on mosquito populations because the larvae are concentrated, immobile and accessible, and it employs the use of synthetic insecticides (Tiwary et al., 2007). However, the overreliance on these synthetic chemicals for mosquito control has resulted in the development of insecticide resistance over time (Hemingway and Ranson, 2000). The
spread in resistance to majority of present synthetic insecticides by *A. gambiæ* and the environmental pollution coupled with the safely risks for both human and domestic animals posed by these insecticides has highlighted the need for novel strategies for control of *A. gambiæ* (Cheng et al., 2009b). Hence, there is a renewed interest in the exploration and use of plant products with insecticidal properties for mosquito control.

Aromatic plants and their essential oils are very important sources of many compounds that are used for different applications (Abdulrahman et al., 2009). Essential oils are simply volatile fractions obtained by either steam or water distillation of medicinal and aromatic plants (Rabha et al., 2012). Essential oils have received considerable renewed attention as potent bioactive compounds against various species of mosquitoes. They are potentially suitable for application in larval control management because they constitute a rich source of bioactive compounds that are effective and naturally biodegradable into non-toxic products (Lucia et al., 2007; Cheng et al., 2008; Cheng et al., 2009a).

*Zanthoxylum gilletii* is a tropical rainforest species, distributed between altitudes ranging from 900 to 2400 m. It is a valued forest tree that grows naturally but planted in Western Kenya for timber and medicinal properties (Kokwaro et al., 1976). The Luhy community; that is, a major habitat of this region uses the bark of *Z. gilletii* in traditional anti-malaria preparations (Nyunja et al., 2009).

The present study attempted to investigate the larvicidal efficacy of essential oils derived from *Z. gilletii* leaves against the medically important malaria vector mosquito, *A. gambiæ* with the purpose of identifying effective indigenous bio-products to control the vector of mosquito-borne diseases, particularly in cases where the vector’s susceptibility to conventional synthetics is decreasing.

**MATERIALS AND METHODS**

**Sample collection**

The leaves of *Z. gilletii* were collected from Kakamega forest, a tropical rain forest in Kenya which stretches from 0° to 10° 21’ N and longitude 34° 44’ to 34° 58’ E and an altitude of 1524 m above the sea level. The leaves were identified with the help of a taxonomist. Voucher specimens were deposited at the Department of Biological Sciences, Egerton University, Kenya.

**Extraction of essential oil**

Fresh leaves of *Z. gilletii* were cut into pieces less than 2 x 2 cm within 12 h after collection and 1000 g hydro-distilled in a modified type-Clevenger apparatus for 4 h. The essential oil obtained was dried over anhydrous Na₂SO₄ and kept in glass vial under refrigeration at 4°C.

**Essential oil analysis**

Samples of essential oils were diluted in methyl-t-butyl ether (MTBE) (1:100) and analyzed on an Agilent GC-MSD apparatus equipped with an Rtx-5Sil MS (‘Restek’) (30 m x 0.25 mm, 0.25 μm film thickness) fused-silica capillary column. Helium (at 0.8 ml/min) was used as a carrier gas. Samples were injected in the split mode at a ratio of 1:10 to 1:100. The injector was kept at 250°C and the transfer line at 280°C. The column was maintained at 50°C for 2 min and then programmed to 260°C at 5°C/min and held for 10 min at 260°C. The MS was operated in the electron impact ionization (EI) mode at 70 eV, in m/z ranging from 42 to 350. The identification of the compounds was performed by comparing their retention indices and mass spectra with those found in literature (Adams, 2007) and supplemented by Wiley 7NI, HPCH 1607L and FLAVORS.L GC-MS libraries. The relative proportions of the essential oil constituents are expressed as percentages obtained by peak area normalization, all relative response factors being taken as one.

**Mosquito larvicidal bioassays**

The larval toxicity tests were carried out following the standard World Health Organization larval bioassay method (WHO, 2005), with slight modifications. Since oil does not dissolve in water, it was first solubilized in dimethyl-sulphoxide (DMSO, analytical reagent, Labware) at 100 mg/L and then mixed with spring river water to make a stock solution of 1000 mg/L. Serial dilutions of the stock solution were done at different concentrations which included 500, 250, 200, 150, 125, 100, 62.5, 55, 45, 40, 31.25, 15.6 and 7.8 x 10⁻³ mg/ml. The concentration of DMSO was kept below 1% since at this level it does not affect larval mortality. The bioassays were conducted at the Kenya Medical Research Institute (KEMRI), Centre for Global Health Research (CGHR), Kisumu, Kenya, where the insects were reared in plastic and enamel trays in spring river water. They were maintained and all experiments were carried out at 26 ± 3°C and the humidity ranged between 70 and 75%. The bioassays were performed with third instar larvae of *A. gambiæ* and carried out in triplicate using 20 larvae for each replicate assay. The replicates were run simultaneously yielding a final total of 60 larvae for each concentration. The larvae were collected by direct pipetting from the enamel trays and transferred to 25 ml disposable plastic cups containing 10 ml of test solution and fed on tetramin fish food during all testing. Mortality and survival was established after 24 h of exposure. Larvae were considered dead if they were unrousable within a period of time, even when gently prodded with a micropipette. The dead larvae in the three replicates were combined and expressed as the percentage mortality for each concentration. The negative control was 1% DMSO in spring river water while the positive control was the pyrethrum based larvicide, pylevarx.

**Statistical analysis**

The average larval mortality data were subjected to probit analysis for calculating LC₅₀ and LC₉₀ at 95% fiducial limits of upper confidence limit and lower confidence limit (Finney, 1971) using IBM SPSS software version 15.

**RESULTS AND DISCUSSION**

The essential oil of *Z. gilletii* was dominated by monoterpenes and sesquiterpenes which accounted for 34.00 and 38.30%, respectively. The major monoterpene components included γ-terpinene (10.62%), β-myrcene (5.16%), sabinene (4.89%), β-ocimene (3.12%) and camphene (2.56%). The main sesquiterpene components were *trans*-caryophyllene (9.82%), caryophyllene oxide (4.4%), α-cadinol (2.71%), 1, 1, 4, 8-tetramethyl-4, 7, 10-cycloundecatriene (2.62%), δ-cadinene (2.52%) and τ-cadinol (2.29%) (Table 1).

The essential oil of *Z. gilletii* was active against third
Table 1. Identified compounds of the essential oil from *Zanthoxylum gilletii.*

<table>
<thead>
<tr>
<th>Compound name</th>
<th>Retention time (min)</th>
<th>Concentration (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Monoterpenes</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>γ-terpinene</td>
<td>6.93</td>
<td>10.62</td>
</tr>
<tr>
<td>β- myrcene</td>
<td>8.54</td>
<td>5.16</td>
</tr>
<tr>
<td>Sabinene</td>
<td>7.96</td>
<td>4.89</td>
</tr>
<tr>
<td>β-Ocimene</td>
<td>10.19</td>
<td>3.12</td>
</tr>
<tr>
<td>Camphene</td>
<td>7.25</td>
<td>2.56</td>
</tr>
<tr>
<td>Allocimene</td>
<td>12.37</td>
<td>1.35</td>
</tr>
<tr>
<td>Bornyl acetate</td>
<td>16.77</td>
<td>1.16</td>
</tr>
<tr>
<td>3,7-dimethyl-1,6-octadien-3-ol</td>
<td>11.53</td>
<td>0.84</td>
</tr>
<tr>
<td>n- Decanal</td>
<td>14.55</td>
<td>0.58</td>
</tr>
<tr>
<td>Terpine-4-ol</td>
<td>13.73</td>
<td>0.55</td>
</tr>
<tr>
<td>Cis-epoxyocimene</td>
<td>12.73</td>
<td>0.44</td>
</tr>
<tr>
<td>2-methyl-2-phenylpropanal</td>
<td>15.53</td>
<td>0.37</td>
</tr>
<tr>
<td>Tricyclene</td>
<td>8.81</td>
<td>0.32</td>
</tr>
<tr>
<td>(2-methylpropyl)-benzene</td>
<td>16.01</td>
<td>0.16</td>
</tr>
<tr>
<td>trans-Sabinene hydrate</td>
<td>10.61</td>
<td>0.13</td>
</tr>
<tr>
<td>trans-(+)-carveol</td>
<td>14.95</td>
<td>0.12</td>
</tr>
<tr>
<td>Total</td>
<td>34.00</td>
<td></td>
</tr>
<tr>
<td><strong>Sesquiterpenes</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><em>trans</em>-Caryophyllene</td>
<td>20.46</td>
<td>9.82</td>
</tr>
<tr>
<td>Caryophyllene oxide</td>
<td>24.39</td>
<td>4.40</td>
</tr>
<tr>
<td>α-Cadinol</td>
<td>25.99</td>
<td>2.71</td>
</tr>
<tr>
<td>1,1,4,8-tetramethyl-4,7,10-cycloundecatriene</td>
<td>21.26</td>
<td>2.62</td>
</tr>
<tr>
<td>δ-Cadinene</td>
<td>22.84</td>
<td>2.52</td>
</tr>
<tr>
<td>τ -Cadinol</td>
<td>25.67</td>
<td>2.29</td>
</tr>
<tr>
<td>β-Cubebene</td>
<td>19.56</td>
<td>1.70</td>
</tr>
<tr>
<td>2-isopropyl-5-methyl-9-methylene-bicyclo [4.4.0] dec-1-ene</td>
<td>22.26</td>
<td>1.43</td>
</tr>
<tr>
<td>β-Selinene</td>
<td>21.99</td>
<td>1.35</td>
</tr>
<tr>
<td>Germacrene D</td>
<td>20.99</td>
<td>0.87</td>
</tr>
<tr>
<td>1a,2,3,4,4a,5,6,7b-octahydro-1,1,4,7-tetramethyl-1H-cycloprop (e) azulene</td>
<td>23.21</td>
<td>0.84</td>
</tr>
<tr>
<td>2,6,6-trimethyl-5-(3-methyl-2-butyl)-1-cyclohexene-1-methanol</td>
<td>23.87</td>
<td>0.77</td>
</tr>
<tr>
<td>α-Copaene</td>
<td>19.15</td>
<td>0.58</td>
</tr>
<tr>
<td>3-thujopsanone</td>
<td>30.22</td>
<td>0.39</td>
</tr>
<tr>
<td>Juniper camphor</td>
<td>26.86</td>
<td>0.31</td>
</tr>
<tr>
<td>β-oplopenone</td>
<td>29.05</td>
<td>0.30</td>
</tr>
<tr>
<td>Cis-nerolidol</td>
<td>33.43</td>
<td>0.29</td>
</tr>
<tr>
<td>α-Cubebeene</td>
<td>18.46</td>
<td>0.23</td>
</tr>
<tr>
<td>Total</td>
<td>38.30</td>
<td></td>
</tr>
<tr>
<td><strong>Diterpenes</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Phytol</td>
<td>35.02</td>
<td>1.51</td>
</tr>
<tr>
<td>5-(decahydro-5,5,8a-trimethyl-2-methylene-1-naphthalenyl)-3-methyl-2-pentenoic acid</td>
<td>33.96</td>
<td>0.28</td>
</tr>
<tr>
<td>2,6,10-trimethyl-13-(1-methylethenyl)-2,5,9-cyclotetradecatrien-1-ol</td>
<td>38.53</td>
<td>0.04</td>
</tr>
<tr>
<td>n-eicosane</td>
<td>41.32</td>
<td>0.04</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>8.50</td>
</tr>
</tbody>
</table>
Table 1. Contd.

<table>
<thead>
<tr>
<th>Others</th>
<th>% Mortality ± SD</th>
<th>LC50 (× 10⁻³ mg/ml)</th>
<th>LC90 (× 10⁻³ mg/ml)</th>
</tr>
</thead>
<tbody>
<tr>
<td>2-Undecanone</td>
<td>17.44</td>
<td>3.64</td>
<td></td>
</tr>
<tr>
<td>Cryptone</td>
<td>14.06</td>
<td>1.00</td>
<td></td>
</tr>
<tr>
<td>4-ethenyl-cyclohexenemethanol</td>
<td>23.55</td>
<td>0.98</td>
<td></td>
</tr>
<tr>
<td>Octahydro-4a-methyl-7-(1-methylethyl)-2(1H)-napthalenone</td>
<td>30.81</td>
<td>0.84</td>
<td></td>
</tr>
<tr>
<td>8-dodecenol</td>
<td>27.13</td>
<td>0.30</td>
<td></td>
</tr>
<tr>
<td>Palmitic acid</td>
<td>32.24</td>
<td>0.24</td>
<td></td>
</tr>
<tr>
<td>Nonadecane</td>
<td>44.30</td>
<td>0.24</td>
<td></td>
</tr>
<tr>
<td>Nonacosane</td>
<td>47.95</td>
<td>0.16</td>
<td></td>
</tr>
<tr>
<td>(1S,2S,5R)-(+)4-isopropyl-7-methyl-1-oxaspiro(2,5)octane</td>
<td>50.25</td>
<td>0.03</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>19.10</td>
<td>99.90</td>
</tr>
<tr>
<td>Total percentages</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Table 2. Larvicidal activity of essential oil against third instar larvae of *An. gambiae* after 24 h of exposure.

<table>
<thead>
<tr>
<th>Concentration (× 10⁻³ mg/mL)</th>
<th>% Mortality ± SD</th>
<th>LC50 (× 10⁻³ mg/ml)</th>
<th>LC90 (× 10⁻³ mg/ml)</th>
</tr>
</thead>
<tbody>
<tr>
<td>7.80</td>
<td>0.00 ± 0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>15.60</td>
<td>1.67 ± 2.89</td>
<td></td>
<td></td>
</tr>
<tr>
<td>31.25</td>
<td>3.33 ± 2.89</td>
<td></td>
<td></td>
</tr>
<tr>
<td>40.00</td>
<td>13.33 ± 5.77</td>
<td></td>
<td></td>
</tr>
<tr>
<td>45.00</td>
<td>33.33 ± 5.77</td>
<td></td>
<td></td>
</tr>
<tr>
<td>55.00</td>
<td>46.67 ± 2.89</td>
<td></td>
<td></td>
</tr>
<tr>
<td>62.50</td>
<td>68.33 ± 5.77</td>
<td></td>
<td></td>
</tr>
<tr>
<td>100.00</td>
<td>76.67 ± 2.89</td>
<td>57.73 (45.40-73.05)</td>
<td>140.24 (105.73-217.05)</td>
</tr>
<tr>
<td>125.00</td>
<td>80.00 ± 10.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>150.00</td>
<td>85.00 ± 8.66</td>
<td></td>
<td></td>
</tr>
<tr>
<td>200.00</td>
<td>88.33 ± 2.89</td>
<td></td>
<td></td>
</tr>
<tr>
<td>250.00</td>
<td>96.67 ± 5.77</td>
<td></td>
<td></td>
</tr>
<tr>
<td>500.00</td>
<td>100.00 ± 0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1000.00</td>
<td>100.00 ± 0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pylarvex (0.1 mg/mL)X</td>
<td>100.00 ± 0.00</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Spring water + DMSOY</td>
<td>0.00 ± 0.00</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

*XPositive control, YNegative control.*

instar larvae of *A. gambiae* with LC₅₀ and LC₉₀ values of 57.73 and 140.24 × 10⁻³ mg/ml respectively (Table 2). The negative control showed no activity against third instar larvae of *A. gambiae*. At a concentration of 100×10⁻³ mg/ml, the positive control pyrethrin EC 0.5% w/v (Pylarvex) recorded 100 % larval mortality (Table 2).

Compared to essential oils from other plants, the essential oil of *Z. gilletii* exhibits significant activity against malaria vector *A. gambiae*. Reports from earlier studies indicate that larvicidal activity of essential oil from *F. angolensis* (Rutaceae) against third instar larvae of *A. gambiae* exhibited LC₅₀ and LC₉₀ values of 83.7 and 324.0 mg/L, respectively (Mudalungu et al., 2013). Kweka et al. (2012) reported larvicidal activity of *P. amboinicus* essential oil against late third instar larvae of *A. gambiae* and observed LC₅₀ and LC₉₀ values of 67.53 and 107.60 ppm, respectively. The essential oil of *C. citratus* was observed to have an LC₅₀ of 69 ppm against *Aedes aegypti* larvae (Cavalcanti et al., 2004). The same oil was reported to possess larvicidal activity against *Culex quinquefasciatus* larvae with LC₅₀ of 165.7 ppm (Pushpanathan et al., 2008) (Table 3).

γ-Terpinene, the major component of *Z. gilletii* essential oil has been found to possess larvicidal activity against three mosquito species (Cheng et al., 2009; Zhu et Tian., 2011). This compound had LC₅₀ values of 26.8 µg/ml, 22.8 µg/ml and 29.21 mg/L against *A. aegypti*, *Aedes albopictus* and *A. anthropophagus* larvae,
respectively. It recorded an LC\textsubscript{50} value of 63.1 mg/L against A. \textit{anthropophagus}. In a previous study, the same compound was isolated from the oil fractions of \textit{Cymbopogon nardus} and had an excellent effect against third instar larvae of \textit{C. quinquefasciatus} in 24 h, with LC\textsubscript{50} value of 0.8 mg/L (Ranaweera and Dayananda, 1996).

Sabinene which was also in appreciable amount in the oil has been reported to exhibit larvicidal activity against third instar larvae of \textit{C. quinquefasciatus}, \textit{A. aegypti} and \textit{Anopheles stephensi} (Govindarajan, 2010). The LC\textsubscript{50} values recorded against the three mosquito species were 25.01, 21.20 and 19.67 ppm, respectively. The compound also recorded LC\textsubscript{90} values of 45.15 ppm against \textit{C. quinquefasciatus}, 39.22 ppm against \textit{A. aegypti} and 36.45 ppm against \textit{A. stephensi}. Sabinene was also isolated from the essential oil of \textit{Clausena dentata} and found to be active against \textit{Spodoptera litura} with LC\textsubscript{50} and LC\textsubscript{90} values of 21.42 and 40.39 ppm respectively (Krishnappa et al., 2010). Another monoterpenene also present in appreciable amount in the oil was β-myrcrene. Previous studies have documented the activity of β-Myrcene against the larvae of \textit{A. aegypti} and \textit{A. albopictus} (Cheng et al., 2009). The LC\textsubscript{50} values recorded were 27.9 and 23.5 µg/ml respectively.

Trans-Caryophyllene, which occurs in appreciable amounts in this oil, is also reported to show activity against \textit{A. aegypti} larvae with LC\textsubscript{50} of 104 ppm (Morais et al., 2006). Its oxygenated form caryophyllene oxide is known to exhibit larvicidal activity against the fourth instar larvae of \textit{A. anthropophagus} (Zhu and Tian, 2013) with LC\textsubscript{50} and LC\textsubscript{90} values of 49.46 and 115.38 mg/L, respectively. α-Cadinol although in small quantity in \textit{Z. gilletii} oil, is known to possess larvicidal activity against \textit{A. aegypti} with LC\textsubscript{50} value of 76.11 ppm (Chun et al., 2008). Germacrene D is known to be effective against larvae of \textit{A. aegypti} and \textit{A. stephensi} (Kiran et al., 2006). This sesquiterpene hydrocarbon, isolated from the essential oils of \textit{Chloroxylon swietenia}, had LC\textsubscript{50} values of 63.6 and 59.5 µg/ml against \textit{A. aegypti} and \textit{A. stephensi} respectively. The LC\textsubscript{90} values recorded for this compound were 100.7 µg/ml against \textit{A. aegypti} and 96.4 µg/ml against \textit{A. stephensi}.

The high larvicidal activity of \textit{Z. gilletii} can therefore be attributed to the presence of γ-terpinene, β-myrcene, sabinene, trans-caryophyllene, caryophyllene oxide, α-cadinol and germacrene D which have been documented to possess larvicidal activity against different species of mosquito.

### Conclusion

Plants are rich source of bioactive organic chemicals and offer an advantage over synthetic pesticides as they are less toxic, less prone to development of resistance, and easily biodegradable. The findings of this study show that the essential oil isolated from \textit{Z. gilletii} holds great promise as potential mosquito larvicides. Furthermore, these outcomes could be useful in the search for newer, more selective, biodegradable and natural larvicidal compounds. These findings also offer an opportunity for developing alternatives to inorganic insecticides.

### ACKNOWLEDGEMENT

The authors are grateful to Kenya Medical Research Institute (KEMRI), Centre for Global Health Research (CGHR), Kisumu, for availing their research laboratories and the technical support offered by Richard Amito.

### REFERENCES


### Table 3. Comparison of relative toxicity of essential oil from \textit{Zanthoxylum gilletii} with three previously isolated essential oils tested against \textit{A. gambiae}, \textit{A. aegypti} and \textit{C. Quinquefasciatus}.

<table>
<thead>
<tr>
<th>Essential oil</th>
<th>Mosquito species</th>
<th>LC\textsubscript{50} (mg/ml)</th>
<th>LC\textsubscript{90} (mg/ml)</th>
</tr>
</thead>
<tbody>
<tr>
<td>\textit{Zanthoxylum gilletii}</td>
<td>\textit{Anopheles gambiae}</td>
<td>57.73</td>
<td>140.24</td>
</tr>
<tr>
<td>\textit{Plectranthus amboinicus}</td>
<td>\textit{Anopheles gambiae}</td>
<td>67.53</td>
<td>107.60</td>
</tr>
<tr>
<td>\textit{Cymbopogon citratus}</td>
<td>\textit{Aedes aegypti}</td>
<td>69.00</td>
<td></td>
</tr>
<tr>
<td>\textit{Fagaropsis angolensis}</td>
<td>\textit{Culex quinquefasciatus}</td>
<td>165.70</td>
<td></td>
</tr>
<tr>
<td></td>
<td>\textit{Anopheles gambiae}</td>
<td>83.70</td>
<td>324.00</td>
</tr>
</tbody>
</table>


Co-feeding strategy to enhance phytase production in *Pichia pastoris*

Ndayambaje, Jean Bernard and S. Meenakshisundaram*

Centre for Biotechnology, Anna University, Chennai, India.

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Process techniques of the methylotrophic yeast *Pichia pastoris* for the production and recovery of heterologous phytase proteins has developed in last 20 years. High expression levels using methanol as induction have been made in the quality of recombinant proteins in the fermenter culture and in the quality of the protein product. This allowed rapidly *P. pastoris* to become the system of choice for the expression of recombinant proteins in yeast. The experimental designs, the methanol/L-alanine co-feeding strategy and optimization of phytase production by *P. pastoris* supported by the optimum levels of variables and lower temperature expression produced high level of phytase activity which could be scaled up to produce phytase for food additives at industrial level. An overall phytase activity was 8632 U/ml, this means 332 fold increase compare to the wild type of phytase. This work demonstrates not only the impact of α-factor prepro secretion signal and efficiency of methanol/L-alanine co-induction strategy for phytase production by recombinant *P. pastoris* Mut strains, but also shows new insights for the expression of bioproduct at lower temperature.

**Key words:** Phytase, gene expression, *Pichia pastoris*, process optimization, co-feeding strategy, Deglycosylation.

INTRODUCTION

The phosphate (Phytic acid) which is released from non ruminant animals becomes pollutant to the environment and as far as the phytase enzyme for its degradation is needed as food additive (Yu et al., 2012; Xiong et al., 2006; Haefner et al. 2005). For a long time, methanol is used not only as energy and carbon source but also as an inducer of recombinant protein expression (McKinney et al., 2004), and long ago, at high concentrations, it inhibits growth (Zhang et al., 2000). The Glycerol Batch Phase and Glycerol Fed Batch are first fed to the culture to increase biomass concentration and then the culture is switched to methanol to increase productivity, cell density and also to reduce the induction time. However, the optimal level of protein expression is not achievable with methanol induction alone, due to a partial repression of the AOX1 promoter (Faber et al., 2005) and nitrogen source limitation (Callewaert et al., 2001); that is why co-feeding strategy has been applied. The proteolysis of the secreted products and cell death in the high cell density bioreactor cultures is the main limitations when the enzyme is expressed at higher temperature (Li et al., 2006; Pakkanen et al., 2003; Lee et al., 2005; Porro et al., 2005). The expression at lower temperature (20°C) is favorable for efficient heterologous protein expression,

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and the targeted protein production, specific methanol consumption rate, as well as specific alcohol oxidase (AOX1) and its facility in performing many post-translational modifications (Macauley-Patrick et al., 2005).

Therefore, this study focused on lower temperature expression of phytase in *Pichia pastoris* GS115 under co-feeding strategy of methanol/alanine at small scale expression and large scale fermentation. Naturally, the absence of nitrogen is often a limited factor for growing the yeast for enzyme expression at small scale and high cell density fermentation (Heyland, 2010; Fu et al., 2011; Sohn et al., 2010; Sola et al., 2007; Celik et al., 2009). *P. pastoris* GS115, a Mut+ strains have been found to be useful for production of recombinant protein (Mulaney and Ullah, 2005; Gellissen, 2000; Yang et al., 2004). Through the use of an expression plasmid that contains an α-factor secretory signal sequence, the heterologous proteins are able to be secreted into the medium (Feist et al., 2009). In this work the methanol/alanine induction strategy was applied in 4 L NBS fermenter at 28, 24, and 20 °C and the extracellular phytase enzyme was analyzed. The interpretation of the results from methanol/alanine induction strategy was compared with the methanol induction alone together with previously higher temperature expression of phytase by understanding the nitrogen metabolism and the mechanism of energy regeneration. The results and relevant data might be useful for alternative phytase production at industrial level for food additives.

**MATERIALS AND METHODS**

**Bacterial strain and reagents**

*Aspergillus ficuum* NRRL 3135 has been sequenced by TIGR (The Institute for Genomic Research) and was cultivated on Czapek Dox broth and agar, which has the following composition (g/l): sucrose 30, sodium nitrate 2, dipotassium phosphate 1, magnesium sulphate 0.5, potassium chloride 0.5, ferrous sulphate 0.01, agar 15 and the final pH (at 25°C) 7.3±0.2. Restriction enzymes (EcoRI and NotI) were purchased from NEB. The DNA gel-extraction kit and the plasmid extraction spin mini-prep kit were bought from Qiagen (Germany). Media components such as yeast extract, bacto peptone, bacto tryptone and agar were obtained from Himedia (India). The chemical reagents were obtained from Merck or SRL (India). The *P. pastoris* expression kit was obtained from Invitrogen (San Diego, CA). Plasmid pPICZαA, which contains AOX1 promoter, alpha-factor prepro secretion signal and a Zeocin selectable resistance marker was used as a yeast. *Escherichia coli* shuttle vector for recombinant phytase expression. *E. coli* Top 10F' was used for construction and propagation of the expression vector. The *P. pastoris* strain GS115 (his4) was used for the expression of phytase from the *A. ficuum* strain.

**Preparation of mRNA and cDNA construction by reverse transcription polymerase chain reaction (RT-PCR)**

**Isolation of total RNA from A. ficuum NRRL 3135**

*A. ficuum* was grown in a 250 ml baffled flask containing a 50 ml of Czapek Dox broth medium. After 3 days of incubation at 30°C and 200 rpm in shaker flask, the spores of *A. ficuum* were harvested for the extraction of total RNA using the RNeasy Mini Kit from QIAGEN Company with minor modification and the total RNA quantitation assessment was performed with Nano Drop 2000/2000c from (JH BIO Innovations Pvt. Ltd/Thermo scientific, India).

**mRNA preparation**

The mRNA were performed using T7-Oligo (dT) Promoter Primer; PCR primer to capture the poly (A) tail and 5' SMART IV Oligonucleotide; oligo (dT) linker-primers according to the invitrogen's instructions kit.

**cDNA construction**

PCR-based gene amplifications were performed using Phusion-HF reaction mix (Finnzymes) and screening PCR reactions were performed using Red-Taq Ready Master mix (BioRad) using the following 2 μl volume reaction; Total RNA (5 μl), oligo (dT) (2 μl), dNTP mix (4 μl), Nuclease free water (4 μl), 5X Buffer RT (2 μl), DTT (1 μl), RNase free water (1 μl), SuperScript II RNase-H.RT (1 μl). The phytase gene was amplified using the following primers: The upstream primer 5’CAG GAA TTC CTG GCA TTC GCC CCC TCG AGA 3’ with EcoRI restriction enzyme site and the downstream primer 5’ TAA AGC GGC CGC CTA TGC AAA ACA CTC CGC 3’ with NotI restriction enzyme site. The ligation mixture was transformed into competent *E. coli* DH5α. The transformants were grown in Luria Bertani broth with low salt concentrations (LB-LS) plates then supplemented with Zeocin (1 μg/mL). The clone that contained the PCR product was verified by restriction enzyme digestion, agarose gel electrophoresis, and sequencing.

**Shake flask expression study of phytase**

Shake flask expression of the transformed *P. pastoris* GS115 clones were inoculated in 250 ml conical flasks with the YPG complex media in duplicates. The shake flasks containing 50 ml of the media were inoculated with 1 ml of transformed *P. pastoris* culture, and grown into 3 ml YPD medium and incubated first at 28°C, and then decreased to 20°C. The culture was transferred into 50 ml YPG medium under Zeocin resistance. Once the OD_{600} reached between 20 and 25; the cells were pelleted and suspended in BMMY (YNB-700 μl, Biotin-14 μl, 100 mM potassium phosphate pH 6.0 to 700 μl and distilled water - 7 ml) and later in unbuffered MMH medium. Initially, uninduced sample was taken and induction was carried out with methanol/L-alanine (0.5% for the first day, 1% for subsequent day; 0.3925, 0.7185 g/l, respectively for subsequent days till 7 days). The samples were taken and centrifuged 10,000 X g for 10 min at 4°C and the supernatant was taken for further analysis. From these clones, highly expressed clone was chosen for reactor studies.

**Fermentation strategy**

Transformed *P. pastoris* GS115 was first cultured in a 500 ml shaker flask containing 100 ml BSM at 28°C until an OD_{600} value of around 35 had been reached. For high cell-density fermentation, *P. pastoris* GS115, the seed culture equal to 400 ml was added into a NBS fermentor (NBS BioFlo 415 Benchtop SIP fermentor) containing sterilized 4 L of BSM. The components of 1 L BSM medium: CaSO_{4}·2H_{2}O - 0.46 g/l; K_{2}SO_{4} - 9.1 g/l; MgSO_{4}·7H_{2}O - 7.45 g/l; KOH - 2.06 g/l; Glycerol - 40 g/l; H_{3}PO_{4} - 26.7 ml/l; Histidine 0.4 g/l. After sterilization and cooling down to 28°C for getting enough biomass, temperature was kept to 20°C throughout the cultivation.
time and pH of the medium was adjusted to 4.8 with 25% ammonium hydroxide and 6 ml PTM1 trace salts/liter of basal salts medium was added aseptically. Composition of PTM1 solution: CuSO4 - 6 g/l; NaI - 0.08 g/l; MnSO4 - 3 g/l; NaMoO4 - 0.2 g/l; H2BO3 - 0.02 g/l; CoC2O4 - 0.5 g/l; ZnCl2 - 20 g/l; FeSO4 - 65 g/l; H2SO4 - 5 ml/l; Biotin - 0.2 g/l (Blumhoff et al., 2013). The stirring speed, airflow and the pH were monitored according to the following conditions: Temperature (28 to 20°C), Dissolved oxygen (>20%), Methanol for carbon source and L-alanine as nitrogen source, pH (4.8) was adjusted using 25% NH4OH and 88% H3PO4, agitation (200 to 800 rpm), aeration (0.1 to 1.0vvm for glass fermenters), antifoam (the minimum PPG is needed to eliminate foam). Another 40 g/l glycerol was fed to the reactor to increase the biomass before the induction phase. Cells were collected by centrifugation and resuspended in 1.5 ml tubes for further analysis. Feeding medium for induction: Pure methanol (0.5 to 8 ml/l/h, PTM1:4.5 ml/l at rate of 2 ml/L/day, L-alanine co-feeding rates: 0.3925 and 0.7185 g/l/h. Samples were taken periodically throughout the fermentation time for phytase assay and protein analyses.

Phytase assay

Phytase activity was determined according to the report of Bae et al. (1999) with minor modification. Briefly, 75 μl of enzyme solution was incubated with 300 μl substrate solution (1.5 mM sodium phosphate in 0.1 M sodium acetate buffer, pH 5.0) at 37°C for 20 min. The reaction was stopped by adding a volume of 375 μl of 5% (w/v) trichloroacetic acid. The released inorganic phosphate was analyzed by adding 375 μl of a coloring reagent (freshly prepared by mixing four volumes of 1.5% (w/v) ammonium molybdate in a 5.5% (v/v) sulfuric acid solution and one volume of a 2.7% (w/v) ferrous sulfate solution) and the solution’s absorbance at 595 nm was measured using a Versamax microplate reader. The activity of the strain was analyzed where “one phytase unit was defined as the activity that releases 1 μmol of inorganic phosphorus (P) from sodium phytate per minute at 37°C”. One strain was selected because of its high activity and SDS-PAGE was used to check the size of the phytase protein.

Digestion of glycopeptides with PNGase F

The peptide-N4-(N-acetyl-β-glucosaminyl)-asparagine amidase F cleaves selectively N-glycans from the asparagine rest of the peptide (Hanson et al., 2009). The reaction was started by denaturing glycoprotein buffer with 0.5% SDS, 40 mM DTT at 100°C for 10 min. After an addition of NP-40 and G7 reaction buffer, two-fold dilutions of PNGase F were added and the reaction mix was incubated for 1 h at 37°C and the separation of reaction products were visualized by SDS-PAGE.

RESULTS

Amplification and cDNA construction by RT-PCR

The amplification of 1.3 kb phytase gene was resolved by 1% agarose gel. The EcoRI and NotI both cut the double-stranded DNA at specific recognition nucleotide sequen ces. Ligation PCR was performed with T4 DNA ligase, AOX1 forward and phytase reverse primers were used for the confirmation PCR reaction. Phytase gene 1.3 kb has been sent to syner lab for sequencing and it was compared with previously isolated phytase genes. This confirmed that our recombinant phytase has been successfully inserted into chromosome of P. pastoris GS115.

Small scale expression and high cell density fermentation

The phytase activity in shaker flask using BMMY medium under methanol/L-alanine induction was 298 U/ml and it increased gradually up to 148 h cultivation time. The inoculum for high cell density fermentation was prepared from glycerol stocks maintained at -20°C. The frozen cells were thawed and inoculated in 3 ml test tube YPG medium. After 24 h, 1 ml culture was inoculated into 20 ml YPG medium in a sterile 100 ml flask and grown for 48 h. Both test tube and flask were kept in incubator at 28°C and 200 rpm. The inoculum equals to 20 ml was transferred aseptically to 200 ml sterile Basal salts medium in 500 ml Erlenmeyer flasks. The culture was grown for 36 h at 28°C and 200 rpm until the inoculum OD600 reached approximately 30. The fermenter was inoculated with 200 ml culture from shake flasks and the biomass concentrations were monitored with time. As the culture grew, the DO decreased and when the glycerol in the medium was completely consumed the DO rose sharply. This indicated the end of the initial batch phase and the second step of glycerol 98% fed-batch was started; after 45 h, it reached OD600 = 217. The optical density growth curve and the activity were found to be proportional during the induction time. The methanol/L-alanine co-feeding strategy increased the phytase activity to 8632 U/ml (Figure 1) compared to 2711 U/ml activity of phytase obtained under cells grown on methanol induction alone. The productivity increased to 233 (U/ml/h) and it was obtained from the ratio of the activity and the induction time hours and the specific productivity arose to a level of 1058 (U/g/h) and it was calculated from a thousand productivity to biomass (Figure 2). The effect of L-alanine as nitrogen source and methanol as carbon source increased biomass to 53 g/l. The biomass decreased compared to that obtained at higher temperature expression and it affected positively the phytase concentration because it increased remarkably to 13.6 mg/ml after 144 h cultivation time (Figure 3).

SDS-PAGE analysis

The cells were pelleted and suspended in BMMY (YNB: 700 μl, Biotin-14 μl, 100 mM potassium phosphate, pH 6.0 to 700 μl and distilled water – 7 ml) medium. The phytase was induced by methanol/L-Alanine (0.5% for first day and 1%, 0.3925 and 0.7185 g/l/h, respectively for subsequent days till 7 days). Due to the heavy glycosylation, the expressed phytase was found to have molecular sizes of around 120, 116, and 66 kDa and this showed that phytase is a highly glycosylated proteins.
DISCUSSION

Isolation of phytase gene (phyA) from A. ficuum NRRL 313, construction of recombinant pPICZαA-Phytase, integration and expression of phytase into P. pastoris GS115 was successful. Different reactors were run in different conditions at lower temperature expression of phytase (20 °C) some reactors with nitrogen and others without nitrogen sources and this confirmed that the nitrogen source was one of the limiting factors for growth in all the
Figure 3. Biomass (g/l), Temperature (°C) for the time of Glycerol Batch Phase and Glycerol Fed Batch; Phytase concentration (mg/ml) under methanol/L-alanine co-feeding induction strategy.

Figure 4. SDS-PAGE analysis of recombinant phytase expressed in BMYY medium. M: Unstained protein Ladder Markers. (L1, L2, L3, L4, L5): Phytase protein before deglycosylation showing multiple bands. Note: The molecular weight of phytase protein after deglycosylation with PNGase F was approximately 45 kDa as determined by SDS-PAGE (Figure 5).

continuous cultures. Cells grown under methanol/L-alanine limitations had high activity compared to cells grown on methanol feeding alone. The mechanism of methanol/L-alanine co-feeding strategy increased the enzyme activity to 8632 U/ml under the control of AOX1 promoter and α-factor signal peptide meaning three times compared to methanol induction alone in the fermenter and 29 times from the shake flask expression. The alternative reason for producing higher yield under methanol/L-alanine co-feeding strategy might happen around pyruvate, acetyl co-
enzyme A, glyoxyxate, and α-ketoglutarate via increased levels of ALT1, DAL7, PYC1, GDH2, and ADH5 and decreased levels of GDH3, CIT2, and ACS1 transcripts (Usaite et al., 2006) and also the physiological impacts of L-alanine to the cell is to supply enough nitrogen source (Fu et al., 2013).

The novel phytase that has a high extracellular activity and other characterizations (for example, temperature stability, wide pH optima, etc.), which are necessary for the commercial utilization using the methylotrophic yeast, *P. pastoris* is now available to be scaled up to the industrial level for food additives. The wide range of promoters available, as well as selectable markers, secretion signals, methods for coping with proteases and a better understanding of glycosylation patterns, are powerful to *P. pastoris* as a high efficient expression system available. The well-defined process protocols and some degree of process optimization are required to achieve maximum production of the heterologous protein. In fact, yield and activity are often dependent upon the parameters of the culture vessel (pH, temperature and O2 availability), and they are also dependent on the residual L-Alanine and methanol concentrations. These factors can be closely monitored to ensure the exact conditions required. *P. pastoris* is able to add both O-linked and N-linked carbohydrate moieties to secreted proteins (Wang et al., 2005); the reason why phytase presented different bands size but after deglycosylation, the exact protein size of phytase (*phyA*) became 45 kDa.

However, the induction at low temperature minimized extracellular proteolysis (Heyland, 2010) though it led to a high operation cost but the activity and protein concentration increased; and the toxicity of methanol was reduced compared to the induction at higher temperature and methanol feeding alone because there was too much methanol consumption. The cost of methanol and its hazardous substance is very high due to its high flammability and toxicity (Zou et al., 2006); additionally, cells growing on methanol have a very high oxygen consumption, which usually requires the addition of pure oxygen to the culture, increasing the cost of the process and limiting the cultivation capacity at high scale. Methanol was a cheaper and readily available substrate at the time the *P. pastoris* system was developed (Jahic et al., 2002). However, the wide range of applications in the field of protein production developed over the years have revealed the need for a more controllable, less volatile, and less flammable substrate for induction.

Conflict of Interests

The author(s) have not declared any conflict of interests.

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Review

Current trends in genetic manipulations to enhance abiotic and biotic stresses in tobacco

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Environmental stresses, both abiotic and biotic, are the main factors reducing crops productivity. Biotic stresses caused by fungi, viruses, bacteria and insects are the major threats. However, abiotic stresses have more adverse effects on crop yield and crop survival. Hitherto, tolerant plants were mainly produced by classical breeding techniques. Success in breeding for better adapted varieties to abiotic and biotic stresses depends on the concerted efforts of various research domains including plant and cell physiology, molecular biology, genetics and breeding. However, such process is time consuming. The production of transgenic plants by genetic engineering allows a much faster progress. This review focuses on stress tolerance on tobacco. Tolerance to salt, drought, water stress, low and high temperature, heavy metals, pathogens and pests were enhanced via overexpression of specific stress related genes from different sources.

Key words: Overexpression, genetic engineering, abiotic factors, biotic factors.

INTRODUCTION

Out of a US$1.3 trillion annual food production capacity worldwide, the biotic stresses (insects, diseases and weeds) cause 31 to 42% loss (US$500 billion), with an additional 6 to 20% (US$120 billion) post harvest loss due to insects, fungal rots and bacterial rots (FAO, 2005). FAO (2005) also investigated 6 to 20% (US$120 billion) loss by abiotic stresses (drought, flood, frosts, nutrient deficiencies, various soil and air toxicities). Goel and Madan (2014) reported that abiotic stresses lead to more than 50% of yield reduction. These huge losses exert high pressure in world food security program.

Plants are sessile organisms which are constantly exposed to a variety of biotic and abiotic stresses in their external environment. Abiotic stress causes cellular dehydration and accumulation of reactive oxygen species (ROS) such as hydrogen peroxide, which may function as signal to counteract these stresses, for instance by increasing endogenous osmotic pressure to prevent further water loss from the cell (Doltchinkova et al., 2013). In general, ROS are one of the major damaging factors that arise when plants are exposed to various abiotic stresses, including nutrient excess/depletion, flooding drought, desiccation, extreme temperatures, light/dark cycles, high salinity, ozone, anoxia and herbicides (Choet et al., 2013). Accordingly, an unfortunate consequence of salinity stress in plants is the excessive generation of ROS intermediates, such as superoxide radicals ($O_2^-$), hydrogen peroxide ($H_2O_2$) and...

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hydroxyl radicals (OH\(^{-}\)). ROS overproduction affects membrane potential and other essential macromolecules, such as photosynthetic pigments, carbohydrates, proteins, DNA and lipids inhibiting photosynthetic capacity.

Plants developed an array of complex mechanisms to rapidly sense environmental changes. Plants also developed a variety of mechanisms to adapt themselves to ever changing environments and these mechanisms are mediated through multiple signal transduction pathways acting in a global signal network. Typically, mitogen-activated protein kinase (MAPK) cascades are universal signaling pathways employed in such responses (Zhou et al., 2012). Plants adapt to unfavorable environmental conditions by accumulating highly soluble organic compounds of low molecular weight like sugars, sugar alcohols, amino acids and amino acid derivatives (Doltchinkova et al., 2013). In addition, plants detoxify ROS through a combination of antioxidants (ascorbate (AsA) and glutathione (GSH), and antioxidative enzymes [superoxide dismutase (SOD); ascorbate peroxidase (APX); monodehydroascorbate reductase (MDHAR); dehydroascorbate reductase, (DHAR); and catalase (CAT)] (Choe et al., 2013; Peshev et al., 2013). Antioxidative enzymes are involved in chloroplasts, cytosol and mitochondria that are known sources of ROS generation. In addition, transgenic plants with increased contents of compatible solutes show a strong potential for improving their stress tolerance (Doltchinkova et al., 2013). This review summarizes the gene sources and the effects on stress tolerance after transforming these genes into tobacco.

### ABIOTIC FACTORS

Abiotic factors are yield limiting factors that potentially reduce crop productivity. Abiotic factors include salinity, drought, osmotic stress, heavy metals and natural and artificial toxic chemicals. Before the era of modern biotechnology, these problems were overcome by exposing plants for long periods to allow the plants to adapt, finally creating tolerant organisms. Plant biotechnology allows a quick introduction of a tolerance-related gene from a certain organism into susceptible plants (Table 1). Such genes are typically overexpressed by using promoters to enhance their functions. For instance, transgenic tobacco plants overexpressing OsCBSX4 [a gene that produce a component of cystathionine β-synthase domain containing proteins (CDCPs)], obtained from rice (Oryza sativa L.) exhibited improved tolerance against salinity, heavy metals and oxidative stress (Singh et al., 2012). The higher accumulation of OsCBSX4 protein in OsCBSX4 overexpressing transgenic plants and the exhibition of higher abiotic stress tolerance than wild type (WT) plants suggest a role in abiotic stress tolerance in plants.

### Salinity tolerance

Salinity deteriorates agricultural land and reduces crop

**Table 1.** Gene manipulation to enhance abiotic stress tolerance in transgenic tobacco.

<table>
<thead>
<tr>
<th>Gene/its product</th>
<th>Source</th>
<th>Role</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>GmERF7</td>
<td>Soybean</td>
<td>Enhanced salt tolerance</td>
<td>Zhai et al. (2013)</td>
</tr>
<tr>
<td>MDHAR</td>
<td>Acerola</td>
<td>Antioxidative</td>
<td>Eltelib et al. (2012)</td>
</tr>
<tr>
<td>ALDRXV4</td>
<td>Xerophyta viscose</td>
<td>Better salinity stress tolerance</td>
<td>Kumar et al. (2013)</td>
</tr>
<tr>
<td>AtNHX1</td>
<td>Arabidopsis</td>
<td>Increased salt tolerance</td>
<td>Zhou et al. (2011)</td>
</tr>
<tr>
<td>DgNAC1</td>
<td>Chrysanthemum</td>
<td>Improved salt tolerance</td>
<td>Liu et al. (2011)</td>
</tr>
<tr>
<td>AVP1</td>
<td>Arabidopsis</td>
<td>Tolerated to shortage of water</td>
<td>Arif et al. (2013)</td>
</tr>
<tr>
<td>ALDRXV4</td>
<td>Xerophyta viscose</td>
<td>Survived longer period of water deficiency</td>
<td>Kumar et al. (2013)</td>
</tr>
<tr>
<td>TaEXPB23</td>
<td>Wheat</td>
<td>Losing water more slowly</td>
<td>Li et al. (2011)</td>
</tr>
<tr>
<td>PtADC</td>
<td>Poncirus trifoliata</td>
<td>Reduce ROS</td>
<td>Wang et al. (2011)</td>
</tr>
<tr>
<td>LOSS/ABA3</td>
<td>Arabidopsis</td>
<td>Maintaining high water content</td>
<td>Yue et al. (2011)</td>
</tr>
<tr>
<td>TaEXPB23</td>
<td>Arabidopsis</td>
<td>Improved the water-stress tolerance</td>
<td>Li et al. (2013)</td>
</tr>
<tr>
<td>BcBCP1</td>
<td>Boea crassifolia</td>
<td>Tolerance to osmotic stress</td>
<td>Wu et al. (2011)</td>
</tr>
<tr>
<td>CbCOR15b</td>
<td>Shepherd’s purse</td>
<td>Cold tolerance</td>
<td>Wu et al. (2012)</td>
</tr>
<tr>
<td>GbCBF1</td>
<td>Cotton</td>
<td>Enhanced chilling tolerance</td>
<td>Hui-Ming et al. (2011)</td>
</tr>
<tr>
<td>ZmMPK4</td>
<td>Maize</td>
<td>Low temperature tolerance</td>
<td>Zhou et al. (2012)</td>
</tr>
<tr>
<td>CiCBF3</td>
<td>Sweet pepper</td>
<td>Low temperature tolerance</td>
<td>Yang et al. (2011)</td>
</tr>
<tr>
<td>ZFP177</td>
<td>Rice</td>
<td>High temperature tolerance</td>
<td>Grover et al. (2013)</td>
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<tr>
<td>WRKY40</td>
<td>Pepper</td>
<td>High temperature tolerance</td>
<td>Dang et al. (2013)</td>
</tr>
<tr>
<td>MxCS1</td>
<td>Malus xiaojinensis</td>
<td>Improved Fe stress</td>
<td>Han et al. (2013)</td>
</tr>
<tr>
<td>TaVP1</td>
<td>Wheat</td>
<td>Enhanced Cd tolerance</td>
<td>Khoudi et al. (2012)</td>
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</table>
yield. The land affected by salinity is estimated to be about one-third of the world’s cultivated land (Eltelib et al., 2012). Accordingly, plants have evolved a variety of mechanisms to deal with high salinity. For example, a low Na⁺ content in the cytoplasm is maintained by compartmentation of Na⁺ into the vacuole (Zhou et al., 2011). Vacuolar Na⁺ compartmentation has two basic roles in salt tolerance physiology. First, it keeps Na⁺ away from the sites of metabolism, and second, it increases the osmolarity of the cell to regulate cytoplasmic pH. These are attained using Na⁺/H⁺ antiporter that pumps excess vacuolar Na⁺ into vacuoles, and membrane potential is created due to a charge difference. The membrane potential and H⁺-inorganic pyrophosphatase provides energy for Na⁺/H⁺ antiporter (Zhou et al., 2011) and the energy establishes electrochemical H⁺ gradient between the cytoplasm and the vacuole.

Ethylene-response factors (ERFs) play an important role in regulating gene expression in plant responses to biotic and abiotic stresses. Zhai et al. (2013) isolated a new ERF transcription factor, GmERF7, from soybean. Overexpression of GmERF7 in tobacco plants led to higher levels of chlorophyll and soluble carbohydrates and a lower level of malondialdehyde compared with wild-type tobacco plants under salt stress conditions, indicating that GmERF7 enhanced salt tolerance in transgenic plants.

Eltelib et al. (2012) introduced a monodehydroascorbate reductase (MDHAR) cDNA from acerola (Malpighia glabra) into tobacco plants via an Agrobacterium-mediated gene delivery system. Transgenic plants accumulated higher level of ascorbate (AsA) and showed higher MDHAR activities as compared with the control plants. These effects were also associated with a greater tolerance to salt stress in transgenic tobacco plants. Transgenic tobacco plants overexpressing aldose reductase (ALDRXV4) cDNA, obtained from Xerophyta viscosa, showed better salinity stress tolerance than WT plants (Kumar et al., 2013).

Zhou et al. (2011) introduced AtNHX1 (a vacuolar Na⁺/H⁺ antiporter gene) from Arabidopsis thaliana in tobacco. Salt tolerance was better in the AtNHX1 overexpression plants than WT plants, with higher germination rates and successful seedling establishment in the presence of toxic concentrations of NaCl. The authors also proposed that simultaneous overexpression of V-ATPase and vacuolar Na⁺/H⁺ antiporter might be appropriate to produce plants with a higher salt tolerance ability.

Furthermore, overexpression of a novel Chrysanthemum (Dendronthema grandiflorum) NAC transcription factor gene in tobacco was studied by Liu et al. (2011). A full-length cDNA designated DgNAC1 has been isolated from Chrysanthemum and it was overexpressed in tobacco to assess its ability to improve stress tolerance. Indeed, it was found that 3SS:DgNAC1 transgenic tobacco plants exhibited a markedly increased tolerance to salt stress.

Drought tolerance

Desiccation tolerance has been defined as the ability of an organism to equilibrate its internal water potential with that of moderately dry air, and then resume normal function after rehydration (Arif et al., 2013). Desiccation tolerant flowering plants require a slow drying time to activate mechanisms that protect membranes and organelles during desiccation. Thick cell walls, few vacuoles and deep and closed stomata were reported in tobacco plants overexpressing Arabidopsis vacuolar pyrophosphatase (AVP1), whereas WT tobacco showed larger vacuoles and kept their stomata open (Arif et al., 2013). As a result, the transgenic tobacco tolerated water shortage (dessication). In addition, AVP1 transformed tobacco showed better growth (increase in size and weight of shoots and capsules) and they produced more seeds than WT plants. Overexpression of a levanascure gene from Bacillus subtilis in tobacco led to increased drought tolerance (Pilon-Smits et al., 1995), and similar stress tolerance effects were obtained by using other fructan synthesizing genes in a diverse array of plant species (Keunen et al., 2013).

In another study, Kumar et al. (2013) reported the efficacy of an aldose reductase (ALDRXV4) enzyme from Xerophyta viscosa Baker in enhancing the prospects of tobacco’s survival under abiotic stress. Transgenic tobacco plants overexpressing ALDRXV4 cDNA showed an alleviation of NaCl and mannitol induced abiotic stress. The transgenic plants survived longer periods of water deficiency than the WT plants. The increased synthesis of aldose reductase in transgenic plants correlated with reduced methylglyoxal and malondialdehyde accumulation and an elevated level of sorbitol under stress conditions. In addition, the transgenic lines showed better photosynthetic efficiency, less electrolyte damage, greater water retention, higher proline accumulation and a more favorable ionic balance under stress conditions.

Plants growth is the result of cell division and cell enlargement. Plants growth is regulated by cell extensions. Drought stress restrains plant growth by retarding both cell division and extension (Gao et al., 2007). Expansins are proteins that are the key regulators of wall extension during plant growth and they mediate pH dependent wall loosening, probably by disrupting hydrogen bonds between cellulose and matrix glycans (Li et al., 2011). TaEXPB23 is a wheat expansin gene that was transformed into tobacco. The results indicate that the transgenic tobacco lines lost water more slowly than the wild-type plants under drought stress; their cells could sustain a more integrated structure under water stress than that of WT plants (Li et al., 2011).

In a study of Wang et al. (2011), PIADC (an arginine decarboxylase gene), isolated from Poncirus trifoliata, was introduced into tobacco to investigate its function in drought tolerance. It was demonstrated that the transgenic plants showed an improvement in dehydration
and drought tolerance. Under dehydration stress conditions, ROS accumulation was much lower in the transgenic tobacco plants as compared with WT plants. Similarly, Yue et al. (2011) transformed Arabidopsis 
LO5/ABA3 into tobacco. They found that transgenic plants showed less wilting, maintained higher water content and showed better cellular membrane integrity as compared with control plants. Moreover, the transgenic plants accumulated higher quantities of ABA and proline, and they exhibited higher activities of antioxidant enzymes.

Water stress tolerances

Water stress affects plant growth and significantly decreases crop productivity. Plants respond to water stress with physiological and developmental changes. Therefore, studying the molecular and physiological mechanisms by which plants adapt to water stress is important for improving agricultural practices.

As stated before, expansins are the key regulators of cell wall extension during plant growth. Li et al. (2011) produced transgenic tobacco plants with increased tolerance to water stress by overexpressing the wheat expansin gene TaEXPB23 driven by the constitutive 35S cauliflower mosaic virus (CaMV) promoter. However, the growth and development of 35S::TaEXPB23 transgenic tobacco plants were altered under normal growth conditions, with a faster growth rate at the seedling stage, earlier flowering and maturation, and a shorter plant height compared to WT plants. Next, Li et al. (2013) altered cellular characteristics and carbohydrate metabolism in 35S::TaEXPB23 transgenic tobacco plants. During carbohydrate metabolism, lower starch accumulation was obtained due to higher amylase activity in the leaves of 35S::TaEXPB23 compared with WT plants. They also generated transgenic Arabidopsis plants that showed the same phenotype as the transgenic tobacco plants, which may have resulted from the altered expression of several flowering-related genes; after which, they produced TaEXPB23 transgenic tobacco plants using the stress-inducible RD29A promoter. The use of this promoter reduced the negative effects of TaEXPB23 on plant growth and development. The RD29A::TaEXPB23 transgenic tobacco plants had greater tolerance to water stress than WT plants, as determined by examining physiological and biochemical parameters. Therefore, the use of stress-inducible promoters, such as RD29A, may minimize the negative effects of constitutive transgene expression and improve the water-stress tolerance of plants.

Overexpression of BcBCP1, a phytochrome-related early nodulin-like gene from Boea crassifolia, in tobacco under the control of CaMV 35S promoter enhanced tolerance to osmotic stress, as indicated by the less impaired growth, less damaged membrane integrity and lower lipid peroxidation levels after osmotic stress (Wu et al., 2011). Transgenic tobacco lines overexpressing BcBCP1 showed higher photosynthetic rates, higher antioxidant enzyme activities and higher cytosol ascorbic peroxidase transcription levels than non-transgenic tobacco plants, both under normal conditions and under osmotic stress.

Low temperature tolerance

Low temperature is one of the most important abiotic factors limiting growth and productivity of crop plants. Cold regulated (COR) genes are low temperature-responsive genes regulated by the C-repeat binding-factor (CBF) signaling pathway (Hui-Ming et al., 2011; Yang et al., 2011; Wu et al., 2012). When CbCOR15b from shepherd’s purse (Capsella bursa-pastoris), was expressed in transgenic tobacco plants under chilling and freezing temperatures, transformants were found more tolerant under cold (Wu et al., 2012), judged on electrolyte leakage experiments, relative water content, glucose content and phenotypic observations. Similarly, a CBF gene named GbCBF1 was isolated from cotton and introduced into tobacco (Hui-Ming et al., 2011). They found that under low temperature stress, the electrolytic leakage rate of transgenic tobacco was lower than that of the WT tobacco. However, free proline and soluble sugar contents of transgenic tobacco were higher than those of the WT tobacco. These results indicate that GbCBF1 enhances cold tolerance in transgenic tobacco.

Various studies confirmed that the CBF family of transcription factors plays key roles in regulating cold stress responses. Yang et al. (2011) isolated CBF3 from sweet pepper (Capsicum frutescens) and transformed it into tobacco. Overexpression of CICBF3 under the control of the CaMV35S promoter in tobacco induced expression of orthologs of CBF3-targeted genes and increased chilling tolerance without a dwarf phenotype. Multiple biochemical and physiological changes, such as increased levels of proline and soluble sugars and lower contents of ROS, were observed in transgenic plants associated under chilling condition. Sucrose specific signaling pathways may be involved in such stress responses (Van den Ende and El-Esaw, 2014). In addition, overexpression of CICBF3 resulted in higher level of total unsaturated fatty acids, especially in phosphatidylglycerol (PG). During exposure to chilling stress, the transgenic lines were less susceptible to chilling-induced photo-inhibition than WT plants. These results suggest that overexpression of CICBF3 led to modification of the fatty acid unsaturation and alleviated the injuries under chilling stress.

Another universal signaling pathway involved in responses to low temperature is the mitogen-activated protein kinase (MAPK) cascade. ZmMPK4 is a mitogen-activated protein kinase gene from maize. Transgenic
tobacco overexpressing ZmMPK4, accumulated less ROS, more proline and soluble sugars, higher peroxidase and catalase activities and increased expression of stress-responsive genes expression, leading to enhanced low temperature stress tolerance compared to control plants (Zhou et al., 2012). Hence, overexpression of ZmMPK4 in transgenic tobacco results in increased tolerance to low temperature stress.

High temperature tolerance

Production of plants tolerant to high temperature stress is of immense significance in the light of global warming and climate change. Plant cells respond to high temperature stress by re-programming their genetic machinery for survival and reproduction (Grover et al., 2013). High temperature tolerance in transgenic plants has largely been achieved either by overexpressing heat shock protein genes or by altering levels of heat shock factors that regulate expression of heat shock and non-heat shock genes (Horváth et al., 2012).

When a new gene encoding an aldo-keto reductases (ARKs), which catalyses the conversion of glucose to sorbitol, from rice is expressed in tobacco plants, these transgenic plants show a better tolerance to high temperature stress (Grover et al., 2013). Turóczy et al. (2011) investigated two mechanisms how overexpression of ARKs in tobacco enhances high temperature tolerance. First, many AKRs are effective in the synthesis of osmolytes, thus having important role in the osmoregulation, which is an important process in plants for the acquisition of desiccation tolerance due to high temperature. Second, AKRs have also been shown to be effective in the detoxification of lipid peroxidation and/or glycolysis derived reactive carbonyls such as malondialdehyde 4-hydroxy-2enal, methylglyoxal.

Over-expression of rice Zn-finger protein gene ZFP177 in transgenic tobacco plants resulted in enhanced tolerance following high temperature stress (Grover et al., 2013). Huang et al. (2008) proposed that a possible role for ZFP177 in temperature stress tolerance is to down-regulate accumulations of some proteins that are not benefit to resistance to temperature stress by ubiquitylinating and targeting them for degradation. Likewise, overexpression of pepper WRKY40 in tobacco enhanced high temperature tolerance (Dang et al., 2013). Dang et al. (2013) investigated the mechanism how the overexpression of WRKY40 enhanced tolerance to high temperature and they found that the overexpression of WRKY40 increase transcript levels of hypersensitive response associated genes (NtHSR20, NtHSR515), ethylene biosynthesis related genes (NtACS6, NtEFE26), ROS detoxification associated genes (NtSOD1, NtGST1 and NtAPX), as well as the heat-shock genes (NtHSP18, NtsmallHSP and NtHSF2). These genes interact in different ways and improve tobacco tolerance to high temperature.

Heavy metals tolerances

Metal ions (Fe, Mn, Zn and Cu) are essential elements for plant growth and development (Han et al., 2013; Yadav, 2010). Heavy metal pollution is increasing rapidly due to excessive emission of industrial waste, wastewater irrigation, unreasonable pesticides and plastic sheeting (Wu et al., 2013). Though metal concentrations are increasing in soil and water, still there is a shortage of essential metals since they are found in unusable form for plants. Excess heavy metals in plant cells result lipid peroxidation (membrane deterioration), altered ion homeostasis and generation of ROS (Choe et al., 2011; Yadav, 2010) and adversely affected gene expression and slow photosynthesis (Wu et al., 2013). Heavy metal accumulation in plants is especially dangerous besides crop productivity reduction since it allows heavy metal contamination to food chains (Ovecka and Takac, 2014; Wu et al., 2013).

Iron

Iron is one of the essential micronutrients required by all plant and it is chelated by citric acid. However, in calcareous soil solution where the concentration of free Fe is far below normally required (10^{-15} M), the solubility of these ions is very poor (Han et al., 2013). Therefore, Fe-deficiency is a worldwide problem for crop production. Han et al. (2013) introduced MxCS1 gene (isolated from Malus xiaojinensis) into tobacco, promoting the synthesis of citrate synthase and increasing citric acid levels. Overexpression of MxCS1 improved Fe stress tolerance in transgenic tobacco and flowers presented morphological abnormalities. Higher concentrations of Fe, Mn, Cu and Zn in young leaves and flowers were found in transgenic plants as compared with WT plants. In addition to its role in long-distance metal transport, citric acid may be involved in the regulation of metal transfer within cells as well (Han et al., 2013).

Aluminum

Aluminum (Al) stress represses mitochondrial respiration and leads to increased ROS levels in plants (Panda et al., 2013). Mitochondrial alternative oxidase (AOX) uncouples respiration from mitochondrial ATP production. In order to study the function of tobacco AOX gene under Al stress, Panda et al. (2013) overexpressed NtAOX1 under the control of the cauliflower mosaic virus (CaMV) 35 S promoter in sensitive Nicotiana tabacum L. cell lines. Endogenous AOX1 gene expression and AOX protein levels were higher in transformed tobacco cell lines than in WT cell lines. They explained that a decreased respiratory inhibition and reduced ROS production with a better growth capability were the significant features that characterized AOX1 transformed
cell lines under Al stress. These results demonstrate that AOX plays a critical role in Al stress tolerance with an enhanced respiratory capacity, reducing mitochondrial oxidative stress burden and improving the growth capability in tobacco cells.

**Cadmium**

Cadmium (Cd) is considered an extremely significant pollutant due to its high toxicity to many organisms. Cadmium generates oxidative stress by interfering with antioxidant defense responses, which leads to the indirect production of oxygen-free radicals. These highly reactive species lead to multiple effects including membrane peroxidation, loss of ions, protein cleavage, enzyme inactivation and DNA strand damage (Khoudi et al., 2012). Plants have evolved several mechanisms to cope with Cd, the most important of which is vacuolar sequestration. Cadmium can be directly transported into vacuoles by cations/H+ exchangers, such as CAXs (calcium exchangers), which are energized by the pH gradient established by proton pumps. Khoudi et al. (2012) overexpressed a cDNA encoding wheat vacuolar H+-pyrophosphatase (TaVP1, a V-H-PPase) to investigate whether this proton pump would enhance Cd tolerance. TaVP1-expressing plants were found to be more tolerant to Cd compared to WT plants when exposed to various concentrations of Cd. Despite the fact that TaVP1-transgenic plants had higher Cd content in their shoots and roots, TaVP1-transgenic plants performed much better than WT plants. Taken together, Khoudi et al. (2012) suggested that higher expression of a vacuolar proton pump contributed to Cd tolerance.

**BIOTIC FACTORS**

The biotic factors that greatly affect productivity of tobacco include viruses, fungi and bacteria, weeds and insects. As a result, the potential crop losses are estimated to be 30, 18 and 15% caused by weeds, pests and microorganisms (Sutherst et al., 2011). The classical method to protect the crop losses is extensive usage of chemical treatments but this is costly and bad for the environment. For instance, according to FAO (2005) report, the world market for fungicides was estimated to be US$6 billion at the end-user level in 1999. However, overexpressing “resistant” genes has lead to promising results. Examples of such genes engineered into transgenic tobacco to enhance its defense under biotic stresses are shown in Table 2.

**Microbial pathogens and viruses**

Major losses of yield and deterioration in quality are the results of attack by an array of microbial pathogens especially fungi (FAO, 2005), and plants develop different mechanisms to counteract pathogens. Plants protection against infection by pathogens is achieved through the intervention of viral development or replication, called pathogen-derived resistance (PDR) (Koh et al., 2014). PDR of viruses can be divided into two categories: (1) RNA-mediated resistance that involves the transformation of a partial sequence of the virus genome into the plant, and (2) protein-mediated resistance that involves the transformation of the viral full-length protein-encoding gene into the plant. Besides PDR, Ding et al (2010) showed that RNA silencing serve as antiviral defense mechanisms.

Plant defense reactions are regulated by complicated signaling networks, which generally include ion fluxes across the plasma membrane, oxidative burst, changes in phytohormone and sugar levels, MAP kinase cascades and defense associated genes regulation by transcription factors (Lai et al., 2013; Bolouri and Van den Ende, 2013). For instance, overexpression of pepper WRKY40 in tobacco strengthened *Ralstonia solanacearum* (a bacterial pathogen) tolerance (Dang et al., 2013). Similarly, overexpression of a Chinese cabbage BrERF11 transcription factor enhanced disease resistance to *R. solanacearum* in tobacco (Lai et al., 2013). In addition, development of transgenic tobacco expressing an endochitinase (ech42) gene from the biocontrol fungus *Trichoderma virens* showed enhanced resistance to fungal pathogens (Shah et al., 2011).

**Insects**

Another major threat to crop production worldwide that

<table>
<thead>
<tr>
<th>Gene</th>
<th>Source</th>
<th>Role</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>WRKY40</td>
<td>Pepper</td>
<td>Enhanced pathogen tolerance</td>
<td>Dang et al. (2013)</td>
</tr>
<tr>
<td>ech42</td>
<td><em>Trichoderma virens</em></td>
<td>Resistance to fungal pathogens</td>
<td>Shah et al. (2011)</td>
</tr>
<tr>
<td>cry1Ac and cry1Ab</td>
<td>Artificially constructed</td>
<td>Resistance to <em>Spodoptera exigua</em> and <em>Helicoverpa armigera</em> larvae</td>
<td>Sohail et al. (2012)</td>
</tr>
<tr>
<td>Cadherin gene silencing</td>
<td><em>Bacillus thuringiensis</em></td>
<td>Alleviated <em>Manduca sexta</em> larvae resistance to cry toxin</td>
<td>Porta et al. (2011)</td>
</tr>
</tbody>
</table>
limit agricultural productivity are pests. The worldwide economic damage caused by insect pests to agricultural and horticultural crops and to orchards stands at a hundred billion dollars annually (FAO, 2005). Chemical insecticides are the most effective and still the predominant type of pest control strategies employed today. However, non-judicious and continuous use of these insecticides results in the development of resistance in insect populations against these insecticides. Moreover, these have harmful effects on non-target/beneficial insects, potentially damaging natural environments. Insect resistant transgenic plants have emerged as potential alternatives to the synthetic insecticides. Genes encoding the insecticidal crystal protein were isolated from *Bacillus thuringiensis* (*Bt*) and successfully transformed into plants to produce insect-resistant plants (Porta et al., 2011; Sohail et al., 2012). *Bt* toxins are safe to non-target insects due to their specific activity towards target insects. Moreover, these toxins are not persistent in the environment unlike synthetic insecticides and can be used on large scales without any direct/indirect hazardous effects on humans.

However, transgenic plants with a narrow spectrum of insecticidal activity may not be protected against a wider array of insects. This problem was overcome by expressing more than one toxin simultaneously. Sohail et al. (2012) investigated a combination of toxins such as *cry1Ac* and *cry1Ab*, artificially constructed genes, to broaden the action spectrum in tobacco. They found 12% and 62% resistance to *Spodoptera exigua* and *Helicoverpa armigera* larvae. Both toxins showed synergistic effect in tobacco and broadened the spectrum of plant activity against insects.

Resistance to Cry toxins, produced by *B. thuringiensis*, has been linked with mutations in the cadherin gene (Porta et al., 2011; Sohail et al., 2012). One strategy effective to overcome larval resistance to Cry1A toxins is the production of Cry1AMod toxins that lack helix α-1since helix α-1s responsible for resistance (Porta et al., 2011). Cry1AMod are able to form oligomeric structures without binding to cadherin receptor and were shown to be toxic to cadherin-silenced *Manduca sexta* larvae and *Pectinophora gossypiella* strain with resistance linked to mutations in a cadherin gene (Porta et al., 2011). They found that Cry1AbMod protein produced in tobacco plants retains its functional toxic activity against susceptible and tolerant *M. sexta* larvae due to the silencing of cadherin receptor by RNAi.

CONCLUSION

The use of transgenics to improve the tolerance of tobacco to abiotic and biotic stresses remains an attractive option. Different genes contribute to specific traits for stress tolerance can be successfully engineered into tobacco and they improve tolerance dramatically. However, this review focuses on single gene transfers. In the future, multiple gene transfer might provide better enhancements to both abiotic and biotic stresses.

Conflict of Interests

The author(s) have not declared any conflict of interests.

REFERENCES


FAO (2005). The status of research and application of crop biotechnologies in developing countries. Rome, Italy.


**BengaSaVex: A new computational genetic sequence extraction tool for DNA repeats**

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The scourge of infectious diseases is one of the problems contending with humanity. All infectious diseases are caused by pathogens. A major problem in biological research is the creation of enormous and redundant amounts of genomic data. From this large volume of generated data, biologists select a subset of each sequence known as DNA nucleotide subsequences “words”, for extended scientific analysis. Computational biology aids this pruning process by providing computerized tools to generate vital information with biological significance from these data. This research aimed to develop new tools for extracting DNA repeats from the gene sequences and also to perform a comparative analysis with existing tools having similar or closely-related functions. We were able to develop BengaSaVex (GBenga Samuel Victor genetic sequence extraction tool) and provide a sequential in-silico genetic-sequence-filtering functionality to identify repeated DNA nucleotide subsequences within the genes of some microorganisms, evaluated the potential benefits and applications of identifying such repeated sequences, and finally, performed an in-silico comparative analysis between BengaSaVex and tandem repeat finder.

Key words: BengaSaVex, DNA, repetitive sequence, in-silico analysis, computational genomics.

INTRODUCTION

Over the years, biologists and computational biologists have conducted experiments related to the sequences of some pathogens and other microorganisms. One of the major problems in biological research is the creation of enormous and redundant amounts of genomic data from DNA sequencing projects performed (Baxevanis, 2003; Wang and Zhang, 2005; Myers et al., 2006; Lathe et al., 2008; Oluwagbemi and Omonhinmin, 2008; Oluwagbemi, 2012). Biologists select a subset of each sequence also known as DNA nucleotide subsequences “words”, for extended scientific analysis. Computational biology complements this pruning process by providing repeat
finding programs to help analyze and provide useful information about interesting words, with the assumption that under or over-represented words have significant biological functions.

The biological significance of DNA repeats cannot be underestimated. DNA repeats play a significant role in the biological sciences (Jurka, 1998). Transposable elements are hidden in many repetitive DNA sequences. Experimental research and analysis on these repetitive sequences can help reveal transposable elements that are associated with genomic evolution.

The aim of this research was to develop a useful extraction tool (BengaSaVex), for in-silico analysis on the gene sequences of some microorganisms. Some pathogens are only being used as an example of how the program works. The objectives of this research were: (i) to develop in-silico simultaneous genetic sequence-filtering tools for in-silico analysis, by using object-oriented programming languages in C++, (ii) to identify repeated DNA nucleotide subsequences within the genes of some microorganisms, (iii) to evaluate the potential benefits of (ii) and (iv) to conduct a comparative analysis between BengaSaVex - C++ version and tandem repeat finder (Benson, 1999).

The biological rationale for undertaking this research stems from the fact that prominent feature of DNA can be identified by the frequency with which repeated substrings exist. For instance, this seems to be true for eukaryotes (Lander et al., 2001). Some repeats have been found to aid the provision of structural mechanism (Huang et al., 1998), while others have been identified to affect bacterial virulence, among microbes which have the tendency to cause human infections (van Belkum et al., 1998). This makes a study on repeats a promising and interesting one.

In this paper, we devised a genetic subsequence extraction tool using the C++ programming language for its implementations. We named this tool as BengaSaVex. The tool has the capability to extract repetitive DNA sequences from a collection of multiple gene sequences of microorganisms including infectious-disease causing organisms; then estimate the relationship that exists between the lengths of extracted repeated sequence and the computational time taken to extract these repeated sequences. Insight gained from the analysis of these duplicated sequences could help accelerate the pace of research in this domain by causing a motivation for the development of more efficient tools, especially, since there is a huge volume of sequence data available.

Several traditional repeat finding programs have been developed and applied to different gene sequences. They are as described in Table 1.

In summary, this paper details the algorithm underlying the development of BengaSaVex, describes the mechanism of data collection, explores the potential benefits of identifying DNA repeats in gene sequences of computational biology related research, presents the results generated by the new tools and its comparative analysis with some of the existing tools with similar or closely related functions (Saha et al., 2008).

MATERIALS AND METHODS

Data collection

Data for this research work was sourced from the National Center for Biotechnology Information (NCBI) (www.ncbi.nlm.nih.gov/) and also from the Sanger Institute (ftp://ftp.sanger.ac.uk/pub/pathogens/spn/). The sequence data of some microorganisms were sourced from various gene banks. Table 2 shows the sources of data used in the analysis. Each genome sequence data for respective organisms was simultaneously inserted into the input file of BengaSaVex.

Implementation

C++ programming language was used for the implementation of BengaSaVex. The multiple sequence data for different pathogens were stored inside an input file for BengaSaVex, for onward in-silico analysis. The input file (many.in.txt) contains multiple gene sequences of infectious disease causing organisms to be analyzed, while the output file (many.out.txt) contains the results generated by BengaSaVex after running the executable version of the software (BengaSaVex.exe). BengaSaVex was developed using algorithms to compare sub-strings of gene sequences that are identical within genome sequence of pathogens as shown in (List 1). The algorithm depicted below shows its operations on repeat sequences.

**List 1: BengaSaVex Algorithm**

```plaintext
Begin
Input S1,..........., Sm: the m set of pathogen gene sequence
While (end of file) do
    Get next set of gene sequence
    for all I=1 to n do
        function Search and Compare
            subsets of gene sequence S11 with S12 within S1,........ until S1n
            Identify repeated sequences from S11,........Sm
            Output repeats R1,........Rm each for Sequence S1,........Sm
    end for
Output frequencies Rf1, Rf2, Rf3,........,
Rfm for each repeat
    Compute corresponding time (T1,........Tm) to search and extract each repeat
    Return S1,........Sm; frequencies Rf1, Rf2, Rf3,........Rfm for each repeat; time (T1,........Tm) to search and extract each repeat
End
```

RESULTS

BengaSaVex has the capability to perform sequential in-silico analysis on hundreds to thousands of large genome sequences. However, for the purpose of this manuscript, we only analyzed close to 15 large genome sequences. We present the results of eight of them as produced by BengaSaVex, based on in-silico analysis performed on the gene sequences of some organisms as shown in Table 2. Some of the repeats were found to be intergenic. We also provide the results of a comparative analysis of BengaSaVex with the tandem repeat finding program (Table 3).
Table 1. Tabulated literature review of some traditional repeat finding programs.

<table>
<thead>
<tr>
<th>Related work</th>
<th>Description and reference</th>
</tr>
</thead>
<tbody>
<tr>
<td>RepeatMasker</td>
<td>RepeatMasker, a prominent software, was developed to identify, classify and mask repetitive gene sequences. RepeatMasker finds repetitive sequence by performing an alignment of the input sequence against a library of known repeats (Smit and Green, 2002; Tarailo-Graovac and Chen, 2009).</td>
</tr>
<tr>
<td>RepeatScout</td>
<td>RepeatScout was another program developed to identify repetitive sequence in large genomic sequence (Price et al., 2005).</td>
</tr>
<tr>
<td>SAGRI</td>
<td>SAGRI (Spectrum Assisted Genomic Repeat Identifier), was a tool developed as a novel approach to detecting repeats in genomic sequences. SAGRI performs a double scan on the genome sequence (Do et al., 2008). It's a tool that was developed to efficiently locate possible ancient repeats in genomic sequences produced encouraging results (Singh et al., 2007).</td>
</tr>
<tr>
<td>RECON</td>
<td>RECON, an automated software for identifying repetitive sequences of newly sequenced genomes, was also developed (Bao and Eddy, 2002).</td>
</tr>
<tr>
<td>WindowMasker</td>
<td>WindowMasker was developed to identify and mask highly repetitive subsequences in the DNA sequence of a genome (Morgulis et al., 2006).</td>
</tr>
<tr>
<td>RepeatFinder</td>
<td>Algorithms such as RepeatFinder (Volfovsky et al., 2001) are also useful in in-silico analyses.</td>
</tr>
<tr>
<td>RepeatGluer</td>
<td>RepeatGluer (Pevzner et al., 2004)</td>
</tr>
<tr>
<td>PILER</td>
<td>Recently, PILER (Edgar and Myers, 2005) have increasingly automated the identification of repeat families from genomic sequence</td>
</tr>
<tr>
<td>ReAs</td>
<td>ReAs algorithm was applied in recovering ancestral sequences from transposable elements (Li et al., 2005).</td>
</tr>
<tr>
<td>REPuter</td>
<td>REPuter (<a href="http://bibiserv.techfak.uni-bielefeld.de/reputer/">http://bibiserv.techfak.uni-bielefeld.de/reputer/</a>), another repeat finding program, was developed by Kurtz and colleagues (Kurtz et al., 2001).</td>
</tr>
<tr>
<td>Dst</td>
<td>Dst (<a href="http://alce.med.umn.edu/newdst.html">http://alce.med.umn.edu/newdst.html</a>; Virtual Genome Center, unpublished), is another repeat finding program.</td>
</tr>
<tr>
<td>REPRO</td>
<td>REPRO, another program, helps to identify repeats in gene sequences of proteins [<a href="http://mathbio.nimr.mrc.ac.uk/~rgeorge/repro">http://mathbio.nimr.mrc.ac.uk/~rgeorge/repro</a>; (George and Heringa, 2000)].</td>
</tr>
<tr>
<td>RepeatAround</td>
<td>RepeatAround software was a repeat finding tool created by (Goios et al., 2006) - <a href="http://portugene.com/repeataround.html">http://portugene.com/repeataround.html</a>.</td>
</tr>
<tr>
<td>OMWSA</td>
<td>The OMWSA is another online tool for repeat finding and visualization (Du, 2007).</td>
</tr>
<tr>
<td>REPIND</td>
<td>REPIND online repeat finding tool (Betley et al., 2002), (<a href="http://zlab.bu.edu/repfind/form.html">http://zlab.bu.edu/repfind/form.html</a>) was created by Bentley and colleagues.</td>
</tr>
<tr>
<td>Tandem Repeat Finder</td>
<td>Tandem Repeat Finder is yet another repeat finding program (Benson, 1999).</td>
</tr>
</tbody>
</table>

BengaSaVex - C++ version was used for this analysis, because it provided extraction time (in milliseconds) for the frequency of each direct repeated sequence. Analysis was performed on whole genome sequences of *Pseudomonas fluorescens* (Von Graevenitz and Weinstein, 1971; Picot et al., 2001), *Hippea maritime* DSM 10411 (Miroshnichenko et al., 1999), *Bartonella tribocorum* CIP 105476 (Heller et al., 1998), *Sinorhizobium melloti* BL225C (Audic et al., 2009), *Brucella pinnipedialis* B2/94 (Whatmore, 2009; Audic et al., 2011), and *Staphylococcus aureus* [EMRSA15](methicillin-resistant strain) (Meier et al., 2001; Gordon and Lowy, 2008; Löffler
Table 2. *In-silico* analysis result from BengaSaVex.

<table>
<thead>
<tr>
<th>Organism’s sequence</th>
<th>References/accession number</th>
<th>Frequency of repeat</th>
<th>BengaSaVex -C++ version search and extraction time (s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bartonella tribocorum 105476</td>
<td>CIP (NCBI Reference Sequence: NC_010161.1 GI:163867306)</td>
<td>Words with the maximum frequency (2) in the text are:</td>
<td>35.637</td>
</tr>
<tr>
<td>BORRELIA afzelii Pko complete genome sequence</td>
<td>GI: 384206106 NCBI Reference Sequence: NC_017227.1</td>
<td></td>
<td>0.496</td>
</tr>
<tr>
<td>Organism</td>
<td>NCBI Reference Sequence</td>
<td>Words with the maximum frequency (2) in the text are:</td>
<td></td>
</tr>
<tr>
<td>----------------------------------</td>
<td>-------------------------</td>
<td>----------------------------------------------------------------------------------------------------------------------</td>
<td></td>
</tr>
<tr>
<td><em>Sinorhizobium meliloti</em> BL225C</td>
<td>NC_017322.1</td>
<td>CCGCTTGTCCCTTTCCCGCCTCGGGGAGAAGGT GCGGCCAGCGGATGAGGGCCTGCGGAGCACCGAGCTAA GATTCCTTTTTCTGCGCAAATCAGATTCACCATTCAGG CTTTTTCGAAATGATCTTCGGGAACGCGTTGTCGATGC GATTTATGCAGCGCTGACCTTGTCGACGAAAGGGTTGTCGATTAAGAG ACAGCAAAACCCATCGCAAGCTTCAAGCCTTCTATCCGGCTTAGAG</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>GCCGCACCACCAGCAGATGCTGAGCCAAAGGCTTGGCTGGCTGAGGCGCCATGCGGCGGGCGGA</td>
<td>134.556</td>
</tr>
<tr>
<td></td>
<td></td>
<td>AATGCAGCGCACTGGCGCGATCTGCTCGGAGCCTTTCGCGGCTGTCGAGGCGCCTGAGGAGCTCAAGGCTTCAAGCCTTCTATCCGGCTTAGAG</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>CTGGAGGCTGTACAAGGAAACGCCATCAGATTGAATG CTGGCTGCTGCTGCTGCTGCTGCTGCTGCTGCTGCTGCTGCTGCTGCTG</td>
<td>26.428</td>
</tr>
</tbody>
</table>

Table 2. Contd.
Table 2. Contd.

| Pseudomonas fluorescens SBW25 complete genome | GI:229587578 NCBI Reference Sequence: NC_012660.1 | Nil | 400.238 |
| Staphylococcus (methicillin-resistant) EMRSA-15 genome ftp://ftp.sanger.ac.uk/pub/pathogens/sa/ | Words with the maximum frequency (3) in the text are: tttaacttaagttattagagcctcttatgcagttgctcagtcaactgtatacctttgac:: | 124.688 |
| Staphylococcus aureus- Highly transmissible MRSA sequence type(ST) 239 by MLST EMBL/GenBank databases with accession number FN433596. ftp://ftp.sanger.ac.uk/pub/pathogens/sa/ | Nil | 0.619 |

Table 3. In-silico comparative analysis between BengaSaVex and some repeat finding programs (with respect to time only).

<table>
<thead>
<tr>
<th>Sequence</th>
<th>BengaSaVex (s)</th>
<th>Tandem repeat finder (s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bartonella tribocourm CIP 105476</td>
<td>35.637</td>
<td>60.15</td>
</tr>
<tr>
<td>BORRELIA azelli Pko</td>
<td>0.496</td>
<td>0.544</td>
</tr>
<tr>
<td>Sinorhizobium meliloti BL225C complete genome</td>
<td>134.556</td>
<td>65.12</td>
</tr>
<tr>
<td>Brucella pinnipedialis B2/94 complete genome</td>
<td>26.428</td>
<td>41.96</td>
</tr>
<tr>
<td>Staphylococcus (methicillin-resistant)</td>
<td>124.688</td>
<td>271.36</td>
</tr>
<tr>
<td>Staphylococcus aureus strains- Epidemic EMRSA-16lineage</td>
<td>1.351</td>
<td>21.62</td>
</tr>
<tr>
<td>Staphylococcus aureus MSSA476- methicillin-sensitive strain</td>
<td>95.855</td>
<td>216</td>
</tr>
<tr>
<td>Staphylococcus aureus- Highly transmissible MRSA sequencetype(ST) 239 by MLST</td>
<td>0.619</td>
<td>4.95</td>
</tr>
</tbody>
</table>

et al., 2010), Staphylococcus aureus [Epidemic EMRSA-16 lineage], Staphylococcus aureus [MSSA476-methicillin-sensitive strain], Staphylococcus aureus [highly transmissible MRSA sequence type(ST) 239 by MLST(TW20) and the Haemophilus influenza]. Their respective accession numbers were provided in the following section. These results (Tables 2 and 3) show that BengaSaVex can be used as a complementary tool with other existing repeat finding programs. REFINd did not work on long sequences, and so was not included in Table 3.

BengaSaVex GUI shows the functionalities of the tool for inputting data, analyzing, outputting results of extracted repeats, frequency of extracted repeats, and time taken to extract the repeats (Figure 1).

DISCUSSION

Results produced show that BengaSaVex can be used as a complementary tool for repeat finding related researches. Research on repeated sequences can help
Figure 1. Graphical User Interface design of BengaSaVex.

provide interesting discoveries in the study of polymorphic patterns. Understanding the relationship between redundant gene filtering algorithms, programs and the corresponding genetic sequence they process, can help provide insight to developing programs with increased efficiency in carrying out this pruning process. This in turn, will help hasten or speed up the pace of research on DNA repeats, duplicated regions, sequence alignments and redundant genetic sequences of organisms and useful medicinal plants.

BengaSaVex has an added advantage to extract repeat sequences from multiple gene sequences of organisms, of which pathogens’ are just one of the sample data. BengaSaVex also provides the corresponding frequencies of extracted sequences, and the time taken. BengaSaVex finds repeats in gene sequence of organisms.

Multifaceted applications of repeat analysis

Computational analysis finds expression in the processing of DNA repeats. Scientific research has found that DNA repeats help enhance flexibility in genetic and phenotypic features of pathogens and microorganisms (van Belkum et al., 1998). Variability in DNA repeats could help provide information about functional and evolutionary information on genetic diversity of such organisms (van Belkum, 1999a). Van Belkum as well as Delihas (van Belkum et al., 1999; Delihas, 2011), discovered and revealed the vital role sequence repeats play with the regulation of microbial gene expression. The significance of sequence repeats in epidemiologic typing cannot be underestimated (van Belkum, 1999b). Sequence repeats were also detected in Escherichia coli sequence (Gur-Arie et al., 2000). Other scientists identified the potentials of DNA repeats in detecting certain virulent genes in pathogenic bacteria such as H. influenza (Hood et al., 1996; Power et al., 2009). Jansen and colleagues conducted an in-depth research on prokaryotes by detecting genes that are related to DNA repeats (Jansen et al., 2002; Treangen et al., 2009). Other scientists, such as Godde and Bickerton conducted similar experiments (Godde and Bickerton, 2006). Other related works that have been done in this regard are those
those of Cui as well as Bolotin (Cui et al., 2008; Bolotin et al., 2005). The application of DNA repeats have been emphasized in various infectious disease research over the years. Several functions of repeated sequences in MYCOPLASMA genomes have been highlighted in some studies (Ruland et al., 1990; Himmelreich et al., 1996; Himmelreich et al., 1997; Altschuler et al., 2000; Chambaud et al., 2001; Jaffe et al., 2004; Minion et al., 2004; Mrázek, 2006; Kassai-Jáger et al., 2008; Ma et al., 2008; Ma et al., 2012). DNA sequence repeats have also been found in enteric pathogens that are responsible for bacillary dysentery in humans (Jin et al., 2002; Wei et al., 2003; Yang et al., 2003; Philippson and Sansonetti, 2007; Saurabh et al., 2011; Sun et al., 2011). Other studies have also revealed the significance of conducting comparative analyses and repeats in the genomes of various organisms (Powell et al., 1996; Chen et al., 2003; Ju et al., 2005; Rahim, 2008; Shikano et al., 2010; Labbe et al., 2011; Saker et al., 2011; Tyagi et al., 2011). Another study characterized repeats within sequences of exclusively prokaryotic genomes (Coenye and Vandamme, 2005).

A study has also shown the significance of repeated sequence in proteins and their relevance in network evolution (Hancock and Simon, 2005). Repeated sequences have the tendency of modifying other gene data to which they are associated, thus having the tendency of playing a role in the generation of genetic variation that underlies adaptive evolution (Kashi et al., 1997; Kashi and King, 2006). As stated above—genetic disorders do not cause disease; disease is defined as caused by an infectious agent (Clancy and Shaw, 2008). Research related to duplicated regions within gene sequences of microorganisms is of paramount interest in the field of computational biology and bioinformatics (Petes and Hill, 1988; Andersson and Hughes, 2009). Gene duplication has been found to be responsible for evolutionary mechanisms (Zhang, 2003). Duplicated regions in some organisms’ chromosomes have also been found to play host to essential genes (Hillyard and Redd, 2007). Duplicated regions within the sequences of microorganisms like bacteria, play a significant role in their adaptation (Anderson and Roth, 1977). Scientists have also highlighted the relevance of duplicated regions within the sequence of certain pathogens (Larsson et al., 2005).

Conclusion

We developed BengaSaVex (a computational biology/bioinformatics tool) for identifying and extracting repeats in gene sequences. This tool will complement other existing repeat finding tools to provide support for biological research. Future work on BengaSaVex is to improve the efficiency and also develop an online version.

Conflict of Interests

The author(s) have not declared any conflict of interests.

ACKNOWLEDGEMENTS

The authors acknowledge the National Center for Biotechnology Information (NCBI) and the Sanger Institute for making the gene data in their GenBank publicly available for research purpose. Other authors whose data were used for in-silico analysis in this manuscript have been referenced accordingly. The corresponding author also acknowledges the Fulbright Foreign Scholarship Board of USA. This research was partly funded by The Oluwagbemi Research, Development and Philanthropic Foundation (TORDPF). Shorter version of this paper has been submitted to an international conference. Supplementary files: “Executable version for BengaSaVex - C++ version is available on request from the corresponding author or can be downloaded as GENEIV.zip file by using a Google mail account from the web link specified below: https://docs.google.com/a/covenantuniversity.edu.ng/ope?

REFERENCES


Pevzner PA, Tang H, Tesler G (2004). De novo repeat classification and
Sensitive and rapid detection of *Mycoplasma capricolum* subsp. *capripneumoniae* by Loop-mediated isothermal amplification

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A set of four specific primers was designed by targeting the H2 gene sequences of *Mycoplasma capricolum* subsp. *capripneumoniae* (MCCP). Using Bst DNA polymerase, the products were amplified for 60 min at 65°C in a simple water bath. Compared with a polymerase chain reaction (PCR) test that targets the H2 gene sequences of MCCP, the sensitivity of the loop-mediated isothermal amplification (LAMP) assay was higher (approximately 0.75 fg DNA per reaction). The LAMP products could be visualized by agar gel electrophoresis. There were no cross reactions with other strains in the *Mycoplasma mycoides* cluster, which indicates the high specificity of the LAMP procedure. The LAMP assay was able to detect MCCP in tissue.

Key words: *Mycoplasma capricolum* subsp. *Capripneumoniae*, loop-mediated isothermal amplification, rapid detection.

INTRODUCTION

Contagious caprine pleuropneumonia (CCPP) is a severe infectious disease of goats caused by *Mycoplasma capricolum* subsp. *capripneumoniae* (MCCP), which occurs in many countries of Africa and Asia (Woubit et al., 2004). It is a disease of major economic relevance characterized by high morbidity and mortality; the mortality rate often approaches 100% in susceptible flocks (Rurangirwa et al., 1987). A mycoplasma strain, designated F-38, first isolated in Kenya (MacOwan and Minette, 1976), is a member of the *Mycoplasma mycoides* cluster which includes *M. mycoides* subsp. *mycoides* SC (MmmSC), *M. mycoides* subsp. *mycoides* LC (MmmLC), *M. mycoides* subsp. *capri* (mmc), *M. capricolum* subsp. *capricolum* (Mcca) and *Mycoplasma* species bovine group7 (bg7).

CCPP is classified as a list B disease by the Office International Des Epizooties or World Organization for Animal Health (OIE). It is an infectious disease that

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Abbreviations: CCPP, Contagious caprine pleuropneumonia; MCCP, *Mycoplasma capricolum* subsp. *capripneumoniae*; LAMP, loop-mediated isothermal amplification; PCR, polymerase chain reaction.
affects only goats, and was first described in the late 19th century (Hutcheon, 1889; McMartin et al., 1980). Before the isolation and identification of Mycoplasma strain F38 by MacOwan (1976) and the subsequent demonstration of its causal relationship with CCPP (MacOwan and Minette, 1976), M. mycoides subsp. capri was considered to be the aetiological agent of CCPP (Edward, 1953; JonAs and Barber, 1969). So far, M. capricolum subsp. capripneumoniae is the only mycoplasma that fulfills the Koch postulates for CCPP, and it is believed to be the sole cause of CCPP (MacOwan, 1984). Mycoplasma strain F38 has been reclassified recently, and now all F38-like mycoplasmas are known as Mycoplasma capricolum subsp. Capripneumoniae (Leach et al., 1993).

CCPP has been reported to affect only goats (Thiaucourt and Bolske, 1996) and it does not cause disease in sheep, either spontaneously or experimentally (McMartin et al., 1980). However, there are some reports that describe the isolation of M. capricolum subsp. capripneumoniae from healthy sheep in Kenya that had been in contact with goat herds affected by CCPP (Litamoi et al., 1990), and from sick sheep in Uganda that had been mixed with goats suffering from the disease (Bolske et al., 1995). The isolation of M. capricolum subsp. capripneumoniae from cattle with mastitis has also been reported (Kumar and Garg, 1991), and these reports contradict the perceived host specificity of M. capricolum subsp. capripneumoniae.

Recently, a novel nucleic acid amplification method, termed loop-mediated isothermal amplification (LAMP), has been reported (MacOwan and Minette, 1976). The LAMP assay is rapid and its amplification efficiency is equivalent to that of polymerase chain reaction (PCR)-based methods (Cai et al., 2010; Gadkar and Rillig, 2008). More importantly, the approach is less costly, and all reactions can be developed in an isothermal environment. Reports of the detection of MCCP using LAMP assays have shown that the approach is easier and faster to perform than conventional PCR assays, as well as being more specific (Endo et al., 2004). In this study, a method based on the LAMP assay for the detection of CCPP was developed, and the sensitivity and specificity of the assay were evaluated. The assay was compared with a PCR test targeting the H2 gene sequences of MCCP.

MATERIALS AND METHODS

Strains and cultivation

The origin of the 21 stains used in this study, type strains of the M. mycoides cluster and field isolates from China, are listed in Table 1. The mycoplasmas were cultivated in modified KM2 (Hanks solution with 1.7% lactalbumin hydrolysate, 1% MEM, 20% de-complemented horse serum, 5% fresh yeast extract, 1% thallium acetate, 0.4% sodium pyruvate) in a high security laboratory. The DNA of Pasteurella multocida and Mannheimia haemolytica was maintained at the State Key Laboratory of Veterinary Etiological Biology.

Clinical samples

Twenty-eight (28) samples from 14 goats infected artificially with M. capricolum subsp. capripneumoniae were used in the study. The clinical samples were collected when the goats showed primary clinical signs: Cough, anorexia, laboured breathing with painful grunting, and a rise in temperature up to 41°C. Given that the gross pathological lesions were localized exclusively in the lung, the livers were collected for use in the experiment (Table 1). Sixty-one (61) clinical samples collected from western China in 2009-2011 were used for the epidemiological survey. These samples were kept at -80°C until analysis.

Sample preparation

Culture samples (1 ml) were centrifuged at 1,2000 RCF for 20 min at 4°C. The cell debris was pelleted; the pellets were washed in phosphate buffered saline (PBS) and re-suspended in 50 μl ddH2O. After vortexing, the samples were lysed by boiling for 10 min, centrifuged and diluted 1:50. For the clinical samples, DNA extraction was performed using a kit (DNA extraction kit, Invitrogen, Carlsbad, USA) according to the protocol of the manufacturer. The extracted DNA was used for PCR both undiluted and at 1:50 dilution.

PCR conditions (He et al., 2011)

Based on the conserved sequence of the H2 gene (GenBank access number: AF162991.1) of MCCP, suitable primers were designed using the primer5.0 software: mccp f 5′ AAA AGT CCC TGA AAC ATT AC 3′ (319-338 bp) and mccp R5′ GGT GTA CCC ACT GCT AAA GA 3′ (1032-1013 bp). These primers were synthesized by TaKaRa, Dalian, China. The 50 μl reaction mixture contained 3 μl MgCl2 (1.5 mM), 0.5 μl dNTP (150 μM for dCTP and dGTP, 300 μM for dATP and dTTP), 5 μl 10×Taq Buffer, 1 μl each primer, 0.5 μl Taq polymerase (10×Taq dNTP Buffer and Taq polymerase (1 unit, TaKaRa, Dalian, China), 5 μl DNA sample and 34 μl ddH2O. The PCR conditions consisted of an initial denaturation step of 5 min at 94°C, followed by 35 cycles of 45 s at 94°C, 30 s at 50°C and 45 s at 72°C; and a final extension step of 10 min at 72°C. Samples of the PCR amplification products (5 μl) were subjected to electrophoresis in a 1% agarose gel in tris/borate buffer according to standard protocols. The DNA was visualized by UV-fluorescence after staining with ethidium bromide. Each PCR test was repeated three times.

LAMP primers

The MCCP LAMP primer set was designed using the primerExplorer program http://primerexplorer.jp/e/index.html to amplify the H2 gene (GenBank access number: AF162991.1). The primers are shown in Table 2.

LAMP method

The LAMP reactions were carried out with a 25 μl reaction mixture containing 2 μl of extracted DNA, 40 pmol (each) of primers FIP and BIP, 5 pmol (each) of primers F3 and BS, 2.8 mM of each dNTP, 4 U of the large fragment of Bst DNA polymerase (Bst DNA polymerase (Biolabs®, Inc., New England, USA)), with the corresponding polymerase buffer. The reaction temperature and time were 65°C and 60 min. The reaction was terminated by heating at 80°C for 3 min. Positive and negative controls were
Table 1. Isolation of *Mycoplasma capricolum subsp. capripneumoniae* and LAMP and PCR-detection from artificial infected animals with MCCP.

<table>
<thead>
<tr>
<th>Goat number</th>
<th>Macroscopic findings</th>
<th>Sample type</th>
<th>Microbiology results</th>
<th>LAMP 1:50 diluted</th>
<th>PCR 1:50 diluted</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Serious hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>2</td>
<td>Slight hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>3</td>
<td>Slight hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>4</td>
<td>Serious hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>5</td>
<td>Serious hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>6</td>
<td>Slight hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>7</td>
<td>Slight hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>8</td>
<td>Slight hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>9</td>
<td>Serious hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>10</td>
<td>Serious hepatized</td>
<td>Lung</td>
<td>-</td>
<td>+</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>-</td>
<td>+</td>
<td>-</td>
</tr>
<tr>
<td>11</td>
<td>Serious hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>12</td>
<td>Serious hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>13</td>
<td>Serious hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td>14</td>
<td>Serious hepatized</td>
<td>Lung</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
<tr>
<td></td>
<td>Hepatized</td>
<td>Liquor pleurae</td>
<td>MCCP</td>
<td>+</td>
<td>+</td>
</tr>
</tbody>
</table>

Table 2. Primer sequences used in this study.

<table>
<thead>
<tr>
<th>Name</th>
<th>Sequence(5′-3′)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FIP</td>
<td>TGCTGGTGAATTTTGGTGACAGGTTTTTAAGCCCAAAGTTAATATACCTTGA</td>
</tr>
<tr>
<td>BIP</td>
<td>CAAACCCAGATTCAAGAAAAAGTTTTTTGAGTGAAGACGTTTTTGAATTGTT</td>
</tr>
<tr>
<td>F3</td>
<td>ACAACTAAAGAGATTTCATTCTC</td>
</tr>
<tr>
<td>B3</td>
<td>AACTTGACTTTCCAAACAAA</td>
</tr>
</tbody>
</table>

included in each run, and all precautions to prevent cross contamination were observed. The LAMP products (3 μL) were detected in 2% agarose.

**Specificity of LAMP**

To determine the specificity of the LAMP method, it was carried out at 65°C for 60 min with the DNA of various mycoplasmas in the *M. mycoides* cluster; the templates from the eight type strains of the *M. mycoides* cluster and *Pasteurella multocida* are listed in Table 3. Each DNA sample from the strains tested was examined in triplicate. The products were separated by 2% agarose gel electrophoresis, and the target bands were visualized by staining with ethidium bromide.

**Sensitivity of the LAMP method**

The sensitivity of the assay was assessed by testing tenfold serial dilutions of 1 μg ml⁻¹ DNA of *M. capricolum* subsp. *capripneumoniae* F1601. Reaction mix without the DNA template was included as a negative reaction control. The LAMP amplification products were analyzed visually by 2% agarose gel electrophoresis. To compare
Table 3. Collection of strains used to test the specificity of the *Mycoplasma capricolum subsp. capripneumoniae* LAMP.

<table>
<thead>
<tr>
<th>Species</th>
<th>N</th>
<th>Description</th>
<th>Origin</th>
<th>PCR</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>M. capricolum subsp. capripneumoniae</em></td>
<td>1</td>
<td>M1601</td>
<td>M1601, China</td>
<td>+</td>
</tr>
<tr>
<td><em>M. ovipneumoniae</em></td>
<td>1</td>
<td>Y98</td>
<td>Y98, ntcc</td>
<td>-</td>
</tr>
<tr>
<td><em>M. mycoides subsp. Capri</em></td>
<td>1</td>
<td>PG3</td>
<td>PG3</td>
<td>-</td>
</tr>
<tr>
<td><em>M. mycoides subsp. mycoides</em> Large colony</td>
<td>1</td>
<td>Y-goat</td>
<td>Cirad</td>
<td>-</td>
</tr>
<tr>
<td><em>M. capricolum subsp. capricolum</em></td>
<td>1</td>
<td>C.Kid</td>
<td>Cirad</td>
<td>-</td>
</tr>
<tr>
<td><em>M. arginini</em></td>
<td>1</td>
<td>PG 1</td>
<td>China</td>
<td>-</td>
</tr>
<tr>
<td><em>M. bovis</em></td>
<td>1</td>
<td>M.B1</td>
<td>China</td>
<td>-</td>
</tr>
<tr>
<td><em>Pasteurella multocida</em></td>
<td>1</td>
<td><em>Pasteurella multocida</em></td>
<td>China</td>
<td>-</td>
</tr>
</tbody>
</table>

Table 4. Isolation of *Mycoplasma capricolum subsp. capripneumoniae* and LAMP and PCR-detection from clinical samples obtained from animals suspected for being infected with MCCP.

<table>
<thead>
<tr>
<th>Sample type</th>
<th>Number of positive results (%)</th>
<th>LAMP</th>
<th>PCR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lung tissue</td>
<td>33 (42)</td>
<td>26 (42)</td>
<td></td>
</tr>
<tr>
<td>Liquor pleurae</td>
<td>14 (19)</td>
<td>10 (19)</td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>47</td>
<td>36</td>
<td></td>
</tr>
</tbody>
</table>

![Figure 1](image.png) Gel dielectrophoresis of LAMP products showing a specific for *M. capricolum subsp. Capripneumoniae* (MCCP). Lane: M, Molar weight; lane 1, M1601; lane 2, *M. mycoides subsp. Capri* PG3; lane 3, *M. ovipneumoniae* strain Y98; lane 4, *Pasteurella multocida*; lane 5, *M. capricolum subsp. Capricolum* C.Kid; lane 6, *M. agalactiae* GS.12; lane 7, *M. arginini* PG 1; lane 8, *M. bovis* strains M1; lane 9, *M. mycoides subsp. mycoides* large colony Y-goat; lane 10, ddH2O.

Results

Specificity of the LAMP assay

The specificity of LAMP was tested using DNA extracted from the eight type strains of the *M. mycoides* cluster and *P. multocida*. After incubation at 65°C for 60 min, MCCP was positively detected, whereas no other mycoplasma isolate was detected or amplified by LAMP. The other type strains of the *M. mycoides* cluster and *P. multocida*, as listed in Table 3, were negative (Figure 1).

Sensitivity of the LAMP assay

To assess the sensitivity of the LAMP assay for the detection of MCCP, the reaction was tested using 1 μL tenfold serial dilutions of MCCP DNA and compared with the PCR assay. The LAMP reaction was able to detect up to 0.75 fg DNA per reaction (Figure 2); however, the PCR could only detect MCCP up to 750 pg per reaction (Figure 3). The results indicate that LAMP has a higher sensitivity than the standard PCR method.

Clinical samples

Twenty-eight (28) clinical samples originating from 14 animals showing serious clinical signs were included in the analysis: 28 samples were positive by LAMP assay, using
Figure 2. Sensitivity of LAMP. Lane: 13-3, 7.5 μg, 750 ng, 75 ng, 7.5 ng, 750 pg, 7.5 pg, 0.075 pg, 0.0075 pg, 0.00075 pg. The last concentration by detection was 0.00075 pg.

Figure 3. Sensitivity of PCR. Lanes: 1-5, represent 7.5 μg, 750 ng, 75 ng, 7.5 ng, 750 pg. The last concentration by detection was 750 pg.

1:50 diluted templates (Figure 4). To evaluate the LAMP test further, comparison with the PCR test was performed using samples from an epidemiological survey conducted in western China. The results are shown in Table 4. Overall, from 61 clinical samples, the LAMP assay gave a total of 11 more positive results than the PCR test.

DISCUSSION

The LAMP primers used in this study were based on the H2 gene sequence, which confers some advantages for molecular identification. The H2 gene is a putative membrane protein gene. It is reported that the partial sequence of the H2 gene can be used as an epidemiological marker for the M. mycoides cluster because it is a conserved sequence. Such studies demonstrate the use of the H2 gene for the molecular identification of closely related genomic species (Thiaucourt and Bolske, 1996).

LAMP method compared with conventional PCR reported in this article has the advantages of simple operation, rapid reaction and ease of detection. The LAMP assay is a simple detection tool in which the reaction is performed in a single tube by mixing the thermopol buffer, primers and Bst DNA polymerase, followed by incubation of the mixture at 65°C for 60 min. The LAMP reaction is performed under isothermal conditions and it does not require expensive equipment: the only equipment needed for the LAMP reaction is a regular laboratory water bath or a heating block that can provide a constant temperature of 65°C. Moreover, the amplification efficiency is extremely high, there is no time required for thermal cycling, and inhibition reactions at later stages are less likely to occur than in standard PCR. In addition, LAMP amplifies DNA to higher concentrations than PCR, allowing convenient visualization of the products after the addition of SYBR Green I without gel electrophoresis. Hence, the LAMP assay could be developed into a field test.

In this study, the LAMP method for the detection of MCCP was found to be highly sensitive, because it could detect MCCP at 0.75 fg DNA per reaction, whereas by PCR, the detection of MCCP was possible only up to 750 pg DNA per reaction. This indicates that the sensitivity of LAMP is higher than that of the standard PCR. The increased sensitivity may make LAMP a better choice than PCR for the detection of MCCP from cases of apparent infection.

In conclusion, the LAMP method described in this study represents a new, sensitive, specific, and rapid protocol for the detection of MCCP. It may be applied in epidemiological surveys of contagious caprine pleuropneumonia.

ACKNOWLEDGEMENTS

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REFERENCES


Full Length Research Paper

Genetic diversity in Nigerian brinjal eggplant (*Solanum melongena* L.) as revealed by random amplified polymorphic DNA (RAPD) markers

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The taxonomy of *Solanum melongena* L., also known as brinjal eggplant, has remained difficult because previous studies to establish genetic relationships among taxa are mainly based on morphological features, which are insufficient to establish genetic affinities. In the present investigation, five highly polymorphic random amplified polymorphic DNA primers were used to describe the genetic similarity and diversity among its accessions in Nigeria. The results show a high level of polymorphism based on the banding patterns among the samples. This indicated a wide and diverse genetic base. Four distinct clusters were equally noticeable at a coefficient of 0.80 from the dendrogram generated. Generally, the grouping pattern clearly indicates that irrespective of variations in fruit traits among samples, they were still grouped together in different clusters with a high similarity coefficient value. This probably showed some genetic relatedness/closeness among the samples concerned. The study also revealed that there is no association between RAPD pattern and the geographic origin of accessions. These agreed with previously published data on the characterization of eggplant. The study disclosed that molecular methods coupled with morphological analyses could make proper classification of *S. melongena* and other *Solanum* species in Nigeria possible to achieve.

Key words: Eggplant, random amplified polymorphic DNA (RAPD), Data, polymorphism, taxonomy.

INTRODUCTION

Eggplant, *Solanum melongena* L., also known as aubergine or brinjal, is a member of the family Solanaceae. It is one of the few cultivated solanaceous species originating from the Old World and an important vegetable in central, southern and south-east Asia, and in a number of African countries (Kalloo, 1993). Brinjal eggplant belongs to the
very large genus *Solanum*, as well as its largest sub-genus, *Leptostemonum*, which includes many wild relatives, as well as other cultivated species, such as the Gboma eggplant (*Solanum macrocarpon* L.) and the scarlet eggplant (*Solanum aethiopicum* L.) grown mostly in Africa for their fruits and leaves. More than 200 *Solanum* species are known in Africa, with about 25 species indigenous in Nigeria (Gbile and Adesina, 1988; Burkii, 2000).

A large number of cultivars of eggplant are known and characterized by variation in morphology, physiology and biochemical features (Daunay et al., 2001a). Although India or Indochina is considered to be the centre of eggplant diversity (Lester and Hassan, 1991; Behera et al., 2006), but the affinities of brinjal eggplant (*S. melongena*) to related species remain uncertain. The crossability and hybridization studies of *S. melongena* with its related species have been generally inconclusive and the results are often contradictory (Anis et al., 1994; Behera and Singh, 2002). Taxa that are morphologically similar to *S. melongena* are difficult to classify (Karihaloo and Gottlieb, 1995) and the relationship of the cultivated eggplant with the weedy form, *Solanum insulinum* and its wild progenitor *Solanum incanum* is unclear (Lester and Hassan, 1990). The analysis of accessions derived from different geographical areas is important to study the genetic diversity. However, diverse geographic origin of two accessions cannot be considered as a parameter to describe actual genetic diversity (Skruch et al., 1998). The continuum of morphological variation, cross compatibility, and genetic distances which exist between advanced and primitive cultivars of eggplant, with weedy and wild forms and relatives provides a model system for the study of gene flow of traits affected by domestication between a crop and its spontaneous forms (Behera et al., 2006). Although an Old World taxon, *S. melongena* unexpectedly shares strong genetic similarities with New World *Solanum* species (tomato and potato).

The taxonomy of eggplant remains a challenge (Behera et al., 2006), because of species’ large size, overlapping ecogeographical distribution (Levin et al., 2005), morphological plasticity, similar genomes (Okoli, 1988) and existence of swamps of natural hybrids (Oyelana and Ugborogho, 2008). The persistence of confusion in taxonomic classification of eggplant complex especially *S. melongena* is due to the fact that phylogenetic relationships established among taxa are mainly based on morphological features (Karihaloo and Rai, 1995), crossability (Hassan and Lester, 1990) and F₁ fertility (Lester and Hassan, 1991). These parameters are, however, insufficient to establish genetic affinities, because *S. melongena* can be crossed not only to putative progenitors but also to more distantly related species (Daunay et al., 1991). Moreover, because of the existence of a high level of morphological variability, morphological data can lead to ambiguous interpretations.

To overcome these problems, isozyme variation has been analysed (Lester and Hassan, 1991; Karihaloo and Gottlieb, 1995), interspecific crosses involving species of *Solanum* in Nigeria has been carried out (Oyelana and Ugborogho, 2008). Obute et al. (2006) also carried out cytogenetic studies on some brinjal eggplants of Nigerian origin. The genetic affinities at the DNA level have also been reported based on the analysis of chloroplast DNA (Sakata and Lester, 1997; Isshiki et al., 1998); random amplified polymorphic DNA (RAPD) (Karihaloo et al., 1995; Singh et al., 2006); amplified fragment length polymorphism (APLF) (Mace et al., 1999; Furini and Wunder, 2004); simple sequence repeat (SSR) or sequence tagged microsatellite site (STMS) markers (Nunome et al., 2003; Behera et al., 2006) and inter-simple sequence repeat (ISSR) markers (Isshiki et al., 2008; Ali et al., 2013). But little has been done so far, to assess the genetic diversity within the Nigerian brinjal eggplant using molecular markers. The application of molecular markers offers new tools to complement morphological parameters to resolve taxonomic and phylogenetic difficulties associated with eggplant and its relatives. Resolution of these quagmires is important for conservation and genetic improvement of eggplant *Solanum* and relatives for the benefit of breeders and for its sustainable use. In the present investigation, RAPD markers were used to describe the genetic similarity as well as diversity among accessions of *S. melongena* collected from different locations in Nigeria. This was done to gain a better insight into the centre of diversity of this plant and locate the probable source in Nigeria.

**MATERIALS AND METHODS**

**Sample collections and identification**

Samples were collected from different locations within the North and South-West of Nigeria. While on the field, each sample collected was given a code for temporary identification pending the determination of their proper names. The collected samples were first compared with photographs, drawings and illustrations from existing sample collections. Details of the samples were obtained from the database using Flora of West Africa from where the specimen was collected in order to determine and authenticate the collected samples. This was achieved by the use of keys in the Flora. Voucher specimen, that is, Herbarium specimen were then prepared following the method of Ogundipe et al. (2009) and taken to expert for proper determination and identification.

**Isolation of total genomic DNA**

DNA was extracted from the fruit mesocarp of samples using the modified protocol of Dellaporta as described by Dellaporta et al. (1983). DNA concentration was estimated using Eppendorf BioPhotometer spectrophotometer (Eppendorf AG22331, Hamburg, Germany). The quality of the extracted DNA was then verified by electrophoresis on a 1% Agarose gel for 1 h 30 min at 60 V.

Thereafter, the gel was viewed under the ultraviolet (UV) Gel
Figure 1. Samples of S. melongena collected for this study and their different shapes and colours. A and B Black purple colour of samples 001 to 011; C, green colour of samples 012 to 021; D, White colour of Samples 022 to 024.


Polymerase chain reaction (PCR)

Initial screening was done with thirty RAPD primers (Operon Technologies Inc., USA) using DNA from six accessions. Five Operon primers (P11, V04, Q07, U19 and Q03) that are highly polymorphic and gave scorable amplifications were selected and used in the analysis of all the 24 genotypes. Total reaction volume for DNA amplification was 10 µl containing 1.0 µl of 10x TAE buffer, 3 µl of 10 mg/µl sample DNA, 1.0 µl MgCl₂ (2.5 mM final concentration), 0.8 µl mixture of 10 mM DNTP (200 µM final concentration), 20 (5% Tween), 20 (Polyoxyethylene Sorbitan Monolaurate) with 20 ethylene oxide units, 3.6 µl of distilled water, and 0.6 µl Taq DNA polymerase (1 U final concentration). PCR was then run on the Techne TC-412 thermal cycler (Model FTC41H2D, Barloworld Scientific Ltd, Staffordshire, UK), using the following temperature profile: Initial strand separation step of 3 mins at 94°C followed by 45 cycles each consisting of a denaturing step of 1 min at 94°C, annealing step of 1 min at 37°C and an extension step of 1 min at 72°C. The last cycle was followed by 5 min extension at 72°C. After amplification, PCR product was stored at 4°C till electrophoresis.

PCR products (amplicon) were mixed with 2.5 µl of 10X loading dye (0.25% bromophenol blue, 0.25% xylene cyanol and 40% sucrose, w/v) preparatory to electrophoresis and spun briefly in a microcentrifuge before loading into wells formed by teeth of combs in the agarose gel (Sambrook and Maniatis, 1989). PCR products were then resolved by electrophoresis at 2% agarose gel with constant power of 75 V for 2 h followed by staining with ethidium bromide (10 mg/ml) for 1 min and then placed in distilled water to remove excess stain. After the electrophoresis the gel was visualized and photographed in the Gel Documentation and Analysis Systems (UVdoc, GA-9000/9010 Version 12).

Data analysis

Only distinct, well-resolved and unambiguous bands were scored. The amplified fragments were scored as 1 (present) and 0 (absent). From this binary matrix, similarity matrices were computed using Sequential Hierarchical and Nested (SAHN) clustering option of the NTSYS-pc 2.02 software package (Rohlf, 1996). The software generated a dendrogram (Figure 1), which grouped the test lines using unweighted pair group method with mathematic average (UPGMA) on the basis of genetic similarity and Jaccard’s coefficient.

RESULTS

Thirteen fruits with purple skin colour were collected from the North (Kano State) while 11 fruits with green, white and purple-green skin colour were collected from the South-West (Ondo State) as shown in Figure 1. Table 1 shows lists of some of the qualitative morphological
characters observed on the accessions.

The RAPD analysis carried out on all 24 accessions produced a large number of distinct fragments for each primer. A total of 44 bands amplified by five different oligonucleotide primers, were scored among the 24 accessions. Thirty two (32) of these bands were highly polymorphic with percentage polymorphism put at 72.7%. The numbers of amplification products obtained were in the range 8–11 with the primers OPQ-03, OPQ-07 and OPU-19 producing the minimum number of (8) bands each whereas primer OPP-11 produced the maximum number (11) of bands. Average of 8.8 bands was obtained per primer as shown in Table 2. Figure 2 shows the RAPD profile produced by OPP–11 for the 24 accessions.

Jaccard’s similarity coefficient matrix was used to generate a dendrogram (Figure 3) using UPGMA clustering option of NTSYS-pc 2.0j software package (Rohlf, 1996). The scale of the dendrogram constructed from the data generated was between 0.74 and 0.94 with a mean value of 0.84 (Figure 3). Four clusters were distinguishable from the dendrogram at a truncated line of 80% (a co-efficient of 0.80). Cluster I consisted of 4 accessions (1, 5, 12, and 20). Cluster II, which happened to be the largest, consisted of 13 accessions represented by samples 6, 7, 15, 18, 22, 8, 14, 16, 13, 9, 10, 17 and 21. Cluster III consisted of samples 11, 19, 23 and 24 while Cluster IV being the smallest was made up of samples 2, 4 and 3 (Figure 3). The dendrogram showed clusters of S. melongena with a very high level of similarity among them. Meanwhile, in Cluster I members are morphologically different yet they were found in the same sub-cluster at a similarity level of 81.5%. Cluster II also consisted of members with morphological differences yet with 81.0% similarity level. Cluster III has members that were dissimilar in terms of fruit colour, size and shape yet they were found in the same cluster. Cluster IV members have a very high similarity level of 84.0%. Looking at the members, they have similar fruit colour as opposed to the fruit colour in members of Clusters I, II and III.

### DISCUSSION

The molecular techniques such as RAPD and amplified fragment length polymorphism (AFLP) have been found to be useful and robust tool for detecting genetic diversity and determining genetic relationships within and among a

<table>
<thead>
<tr>
<th>Fruit serial code</th>
<th>Fruit colour</th>
<th>Fruit size</th>
<th>Fruit shape</th>
</tr>
</thead>
<tbody>
<tr>
<td>001</td>
<td>Slightly dark purple</td>
<td>Big</td>
<td>Oblong</td>
</tr>
<tr>
<td>002</td>
<td>Dark Purple</td>
<td>Slightly Big</td>
<td>Elongated and Bent</td>
</tr>
<tr>
<td>003</td>
<td>Dark Purple</td>
<td>Big</td>
<td>Base bigger than top</td>
</tr>
<tr>
<td>004</td>
<td>Dark Purple</td>
<td>Big</td>
<td>Flat with wide base</td>
</tr>
<tr>
<td>005</td>
<td>Very Dark Purple</td>
<td>Big</td>
<td>Dumb bell</td>
</tr>
<tr>
<td>006</td>
<td>Light Purple</td>
<td>Medium</td>
<td>Oblong with curved base</td>
</tr>
<tr>
<td>007</td>
<td>Lilac</td>
<td>Medium</td>
<td>Elongated</td>
</tr>
<tr>
<td>008</td>
<td>Light Purple</td>
<td>Small</td>
<td>Elongated with curved base</td>
</tr>
<tr>
<td>009</td>
<td>Light Purple</td>
<td>Small</td>
<td>Slightly Elongated</td>
</tr>
<tr>
<td>010</td>
<td>Very Light Purple</td>
<td>Small</td>
<td>Oval shape</td>
</tr>
<tr>
<td>011</td>
<td>Dark Purple</td>
<td>Very Small</td>
<td>Bent base bigger than top</td>
</tr>
<tr>
<td>012</td>
<td>Slightly Dark Green</td>
<td>Medium</td>
<td>Elongated and Slightly bent</td>
</tr>
<tr>
<td>013</td>
<td>Slightly Dark Green</td>
<td>Small</td>
<td>Elongated</td>
</tr>
<tr>
<td>014</td>
<td>Light Green</td>
<td>Small</td>
<td>Base bigger than top</td>
</tr>
<tr>
<td>015</td>
<td>Dark Green</td>
<td>Medium</td>
<td>Elongated but fleshy</td>
</tr>
<tr>
<td>016</td>
<td>Dark Green</td>
<td>Small</td>
<td>Top equal to base</td>
</tr>
<tr>
<td>017</td>
<td>Light Green</td>
<td>Small</td>
<td>Base bent bigger than top</td>
</tr>
<tr>
<td>018</td>
<td>Light Green</td>
<td>Small</td>
<td>Oval</td>
</tr>
<tr>
<td>019</td>
<td>Light Green</td>
<td>Very Small</td>
<td>Elongated</td>
</tr>
<tr>
<td>020</td>
<td>Dark Green</td>
<td>Small</td>
<td>Curved with bigger base</td>
</tr>
<tr>
<td>021</td>
<td>Light Green</td>
<td>Small</td>
<td>Base double size of top</td>
</tr>
<tr>
<td>022</td>
<td>White</td>
<td>Big</td>
<td>Elongated</td>
</tr>
<tr>
<td>023</td>
<td>White</td>
<td>Small</td>
<td>Oval</td>
</tr>
<tr>
<td>024</td>
<td>Green and Purple</td>
<td>Medium</td>
<td>Base equal in size to top</td>
</tr>
</tbody>
</table>
Table 2. Selected operon primers and their sequence as well as characteristics of amplification products in samples analysed.

<table>
<thead>
<tr>
<th>Primer used</th>
<th>Primer sequence (5'-3')</th>
<th>Number of Bands</th>
<th>Polymorphic bands</th>
<th>Percentage Polymorphic bands (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>OPP – 11</td>
<td>(5'-AACGCGTCGG-3')</td>
<td>11</td>
<td>9</td>
<td>81.8</td>
</tr>
<tr>
<td>OPV – 04</td>
<td>(5'-CCCCTCACGA-3')</td>
<td>9</td>
<td>7</td>
<td>77.8</td>
</tr>
<tr>
<td>OPQ – 07</td>
<td>(5'-CCCCGATGGT-3')</td>
<td>8</td>
<td>6</td>
<td>75.0</td>
</tr>
<tr>
<td>OPU-19</td>
<td>(5'-GTCAGTGCGG-3')</td>
<td>8</td>
<td>5</td>
<td>62.5</td>
</tr>
<tr>
<td>OPQ – 03</td>
<td>(5'-GGTCACCTCA-3')</td>
<td>8</td>
<td>5</td>
<td>62.5</td>
</tr>
<tr>
<td>Total</td>
<td></td>
<td>44</td>
<td>32</td>
<td>72.7</td>
</tr>
<tr>
<td>Average</td>
<td></td>
<td>8.8</td>
<td>6.4</td>
<td></td>
</tr>
</tbody>
</table>

Figure 2. DNA profile produced by primer OPP-11 for samples studied. M represents the 100 bp DNA ladder which serves as the reference point; 1 to 24 corresponds to bands produced by the amplified DNA from the 24 S. melongena samples.

group of Solanum species (Mace et al., 1999; Singh et al., 2006; Toppino et al., 2008; Polignano et al., 2009; Ali et al., 2013). The present investigation, though focused on a limited number of accessions, gave useful information on the diversity of the S. melongena, their interrelationships and their importance in defining groupings characterized by different levels of similarity. High level of genetic diversity was observed in this study as earlier been reported among eggplant of the Indian subcontinent (Singh et al., 2006). Samuel (1996) had stated that high degree of diversity of species belonging to Solanum may be attributable to the fact that it is an ancient plant as well as its extraordinary rate of speciation. Singh et al. (2006) also stated that this high level of genetic variability in eggplant and related species was as a result of wide variation in the desirable genotypes/agronomy types in different regions.

The occurrence of accessions used in this study in different clusters despite the fact that they were determined to be the same species shows a genetic variability among them; hence the existence of large gene pool. Generally, the grouping pattern clearly indicates that irrespective of differences in fruit colour, shape and sizes that exist among the samples used, they were still interspersed with each other in different clusters (e.g. clusters I, II). This is an indication of some form of genetic relatedness/closeness among them despite differences in morphological features. The high value of similarity coefficient of 81.5 and 81.0% respectively is also another confirmation. This is in agreement with the findings of Singh et al. (2006).

A fairly high level of polymorphism was also observed in the present study with similarity coefficient ranging from 0.74 to 0.94, indicating a fairly wide and diverse genetic base. This observation is also in agreement with the results of some previous studies, such as that of Furini and Wunder (2004) and Polignano et al. (2009).

The explanation for the observed high degree of variation...
in this study could be due to the fact that the analysis of DNA variability is based on RAPD markers, which proved more informative than allozymes and morphological characters being used previously; and that the accessions of the *S. melongena* analysed in this study were collected from locations in Nigeria, where the greatest diversity has been reported (Gbile and Adesina, 1988). A high degree of variation has also been reported by using AFLP technology for *S. melongena* with weedy relative of the cultivated eggplant (Mace et al., 1999). However, this is a sharp contrast from the result of some other earlier workers who studied variation among the cultivated and weedy taxa of *S. melongena* by allozymes and RAPD analysis. Examples include the work of Karihaloo et al. (1995) and that of Karihaloo and Gottlieb (1995). These authors observed little genetic polymorphism among the genotypes studied and came up with the suggestion that very small gene pool existed from which the cultivated forms arose.

Earlier workers on indigenous *S. melongena* in Nigeria based their characterization studies on cytogenetic (Obute et al., 2006), interspecific crosses (Oyelana and Ugborogho, 2008), morphological plasticity and similarity of genomes (Okoli, 1988). This present study happened to be the pioneer work on characterization and genetic variability of eggplant especially *S. melongena* in Nigeria.
using molecular markers. Interestingly, collections originating from various parts of the country did not form well-defined distinct clusters as seen in Figure 3. They were interspersed with each other, indicating no association between RAPD pattern and the geographic origin of accessions. This finding is in agreement with the findings of Singh et al. (2006) and Polignano et al. (2009).

Conclusion

Although only a small sample of the gene pool was included in this study, within the eggplant Solanum the amount of variation may vary widely. Additional evaluations on the whole collection of Solanum species in Nigeria using different types of molecular markers (such as RAPD, AFLP, SNP, SSR, etc) as being done in other regions could give us more information on the genetic relationship among them. Detection of genetic differences and discrimination of genetic relationship between Solanum species could be a tool for utilization and conservation of plant genetic resources. S. melongena could also be a potential valuable breathing material use to different users and assist in the introgression of genes. Nevertheless, the use of molecular methods cou-pled with morphological analyses could make proper classification of different Solanum species in Nigeria possible to achieve. Finally, our results suggest further evaluation activities to better define the eggplant diversity patterns utilizing multivariate analysis and including larger sets from the Nigerian brinjal eggplant collection.

Conflict of Interests

The author(s) have not declared any conflict of interests

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REFERENCES


Verification of genetic identity of introduced cacao germplasm in Ghana using single nucleotide polymorphism (SNP) markers

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Accurate identification of individual genotypes is important for cacao (Theobroma cacao L.) breeding, germplasm conservation and seed propagation. The development of single nucleotide polymorphism (SNP) markers in cacao offers an effective way to use a high-throughput genotyping system for cacao genotype verification. In the present study, high-throughput genotyping with SNP markers was used to fingerprint 160 cacao trees in the germplasm collection at the Cocoa Research Institute of Ghana (CRIG). These accessions had been originally introduced from international germplasm collections. The multilocus SNP profiles, generated by the Sequenom Mass Spectrometry platform, were compared with the SNP profiles of reference trees maintained in the international cacao collections. The comparison unambiguously identified mislabeled trees. For materials introduced as hybrid seeds without an available reference genotype, parentage analysis and model-based assignment were applied to verify their recorded parentage and genetic background. Our study shows that a small set of polymorphic SNP markers can provide a robust and accurate result for cacao genotype identification. This protocol can be applied for large-scale genotyping of cacao as well as for many other crops.

Key words: Cacao, conservation, chocolate, DNA fingerprint, molecular marker, tropical plant, off-type, true-to-type, West Africa.

INTRODUCTION

Cacao (Theobroma cacao L.) is an important tropical tree crop that provides raw ingredients for the chocolate confectionery industries. This global commodity has an annual production that exceeded 4 million tons in 2010,
of which 75% was produced in West Africa. Ghana alone produced 850,000 tons of cacao, accounting for 21% of the world’s total output in 2010 (FAOSTAT, http://faostat3.fao.org/home/index.html). Cacao originated in the Amazon rainforest in South America and was domesticated by the Maya and Olmec peoples at least 3000 years ago (Cuatrecasas, 1964; Wood and Lass, 1985; Bartley, 2005; Powis et al., 2011). Beginning in the late 1800’s and continuing into recent times, cacao has been repeatedly introduced into Ghana. Germplasm was ultimately deposited in an in situ germplasm bank at the Cocoa Research Institute of Ghana (CRIG) in Tafow, which currently houses over 1200 clones of various genetic origins (Edwin and Masters, 2005; Adu-Ampomah et al., 2006). Cacao is an outcrossing species (Wood and Lass, 1985) and germplasm is conserved as clonally propagated trees in field genebanks. Cacao germplasm collections have been shown to contain a variety of mislabeled individuals, and mislabeling is estimated at 15 to 44% in global cacao collections (Motilal and Butler, 2003; Motilal, 2004; Sounigo et al., 2006; Takrama et al., 2005). Misidentifications can be attributed to multiplicity of introductions and transfers of plants from point-of-collection to establishment in early holding sites, and to subsequent recollection of budwood and repropagation of material for establishment. The potential for human error during plot demarcations and planting also contributes to this problem. Molecular markers have been used to characterize cacao germplasm since the 1980s (Guiltinan et al., 2008). Mislabeled accessions were identified by using dominant markers (Figueira et al., 1994; Whitkus et al., 1998; Sounigo et al., 2005) as well as codominant DNA markers such as restriction fragment length polymorphisms (Lerceletau et al., 1997; N’Goran et al., 2000). The development of microsatellite markers (Lanaud et al., 1999) greatly increased the efficiency and capacity for cacao fingerprinting and resulted in a wide application of cacao genotype identification (Aiokpkpodion et al., 2005; Motilal and Butler, 2003; Efombagan et al., 2008; Motilal et al., 2010).

Recent progress in the development of cacao genomic resources has led to the use of single nucleotide polymorphisms (SNPs) as markers for cacao DNA fingerprinting, since SNPs are the most abundant class of polymorphisms in plant genomes (Buckler and Thornsberry, 2002). Compared with SSR markers, the assays of SNPs can be done without requiring separation of DNA by size, and therefore can be automated in an assay-plate format or on microchips. The diallelic nature of SNPs results in a much lower error rate in allele calling, and the genotyping can be multiplexed, allowing quicker completion at a lower cost than with SSRs. In recent years, SNP markers have been developed to assist cacao breeding and germplasm management (Allegre et al., 2012; Kuhn et al., 2012). TaqMan-based SNP assays have been developed for cacao genotyping under field conditions (Livingstone et al., 2012; Takrama et al., 2012). Using a set of SNP markers derived from express sequence tag (EST) databases, Ji et al. (2013) characterized farmer selections of cacao from Nicaragua and Honduras and demonstrated that the SNP markers constitute a cost-effective marker resource suitable for cacao germplasm characterization. Results for genotyping with SNPs can be compared across different genotyping platforms and laboratories, facilitating the integration and interpretation of SNP data across different genebanks in various cacao-producing countries. The objective of the present study was to test the efficacy of using high-throughput SNP genotyping for molecular characterization of cacao and to assess the extent of mislabeling, or off-type, in the CRIG cacao germplasm collection.

MATERIALS AND METHODS

Sample preparation and SNP genotyping

One hundred and sixty (160) trees from the CRIG germplasm collection, representing 39 cacao accessions (each accession included one to five trees), were sampled for this experiment. Samples were collected from eight plots in the germplasm collection: D8 (2), L6 (34), M6 (32), M6 Ext. (5), Q6 (67), Q6 Ext.2 (8), Q6 Ext.4 (9), and V3 (3) (Table 1). Two young leaves were collected from each individual cacao tree and each sampled branch was tagged for potential revisiting. Both accession name and DNA extraction number were used to label each sample. DNA was extracted from the CRIG samples using the CTAB DNA Extraction Protocol (Doyle and Doyle, 1990). In addition, one hundred international clones were used as references. Preparation of DNA samples for the reference international clones was described in Zhang et al. (2009a; b). DNA concentration was determined with a NanoDrop spectrophotometer (Thermo Scientific, Wilmington, DE). Based on the level of polymorphism and on their distribution across the ten chromosomes in cacao, 54 SNP markers were selected from 1560 candidate SNPs that had been developed using cDNA sequences from a wide range of cacao tissues (Argout et al., 2008). SNP genotyping was performed at the Human Genetics Division Genotyping Core facility, Washington University, St. Louis, using MALDI-TOF mass spectrometry (Sequenom, Inc., San Diego, CA). The heterozygosity and polymorphic information index (PIC) of these SNP markers has been reported by Ji et al. (2013).

Data analysis

Key descriptive statistics for measuring the informativeness of the SNP markers were calculated, including observed heterozygosity, expected heterozygosity, minor allele frequency, inbreeding coefficient and probability of identity (Evett and Weir, 1998; Walts et al., 2001). The program GenAlEx 6.2 (Peakall and Smouse, 2006; 2012) was used for computation. For the identification of mislabeling (off-types), SNP profiles of 100 reference trees maintained in the International Cacao Genebank, Trinidad (ICG,T) were used in the analysis. The genetic identity of the 100 reference trees has been characterized by both SNP (D. Zhang, USDA/ARS, Beltsville, personal communication) and SSR fingerprinting (Zhang et al., 2009b; Motilal et al., 2010; Johnson et al., 2009). Pairwise multi-locus matching was applied among each pair of individual trees, including the reference trees from the international germplasm collections, using the same program. Accessions with same names as the reference trees, but not matching them, were declared off-
Table 1. List of the 39 cacao accessions (represented by 160 trees), their field plot and tree stand, from Ghana cacao germplasm collection.

<table>
<thead>
<tr>
<th>Sample code</th>
<th>Accession name</th>
<th>Number of trees</th>
<th>Plot number</th>
<th>Tree stand</th>
</tr>
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<tbody>
<tr>
<td>1</td>
<td>ALPHAB 36</td>
<td>5</td>
<td>M6 ext</td>
<td>26; 9; 19; 28; 29</td>
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<td>2</td>
<td>AMZ 3-2</td>
<td>3</td>
<td>Q6 ext 2</td>
<td>225; 260; 243</td>
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<td>3</td>
<td>CATONGO</td>
<td>1</td>
<td>Q6</td>
<td>1618</td>
</tr>
<tr>
<td>4</td>
<td>EQX 3338</td>
<td>3</td>
<td>Q6 ext 4</td>
<td>1354; 1536; 1355</td>
</tr>
<tr>
<td>5</td>
<td>ICS 43</td>
<td>4</td>
<td>Q6</td>
<td>10; 340; 12; 11</td>
</tr>
<tr>
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<td>ICS 95</td>
<td>5</td>
<td>Q6</td>
<td>56; 143; 368; 429; 182</td>
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<tr>
<td>7</td>
<td>IMC 67</td>
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<td>364; 331; 250; 215; 331</td>
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<td>8</td>
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<td>738; 737; 729; 727; 724</td>
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<td>9</td>
<td>MAN 15-60</td>
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<td>7; 13; 46; 85; 83</td>
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<td>M6</td>
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<td>Q6</td>
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</tr>
<tr>
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<td>3</td>
<td>Q6</td>
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<td>14</td>
<td>P 30</td>
<td>5</td>
<td>Q6</td>
<td>906; 904; 901; 900; 898</td>
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<tr>
<td>15</td>
<td>PA 121</td>
<td>4</td>
<td>L6</td>
<td>102; 1396; 1282; 1453</td>
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<td>16</td>
<td>PA 150</td>
<td>5</td>
<td>Q6</td>
<td>1019; 1013; 1012; 1010; 1009</td>
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<tr>
<td>17</td>
<td>PA 151</td>
<td>4</td>
<td>L6</td>
<td>687; 850; 686; 689</td>
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<tr>
<td>18</td>
<td>PA 300</td>
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<td>L6</td>
<td>703; 737; 738; 702; 1246</td>
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<td>Q6</td>
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<td>Q6</td>
<td>838; 839; 840; 844</td>
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<td>PA 70</td>
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<td>Q6</td>
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<tr>
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<td>PA 88</td>
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<td>Q6</td>
<td>299; 236; 360; 532</td>
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<td>L6</td>
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<tr>
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<td>Q6</td>
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<td>L6</td>
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<tr>
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<td>SCA 6</td>
<td>5</td>
<td>Q6 ext 4</td>
<td>279; 278; 277; 276; 281</td>
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<tr>
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<td>SCA 9</td>
<td>3</td>
<td>L6</td>
<td>194; 155; 154</td>
</tr>
<tr>
<td>29</td>
<td>SUL7</td>
<td>1</td>
<td>Q6</td>
<td>583</td>
</tr>
<tr>
<td>30</td>
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<td>2</td>
<td>D8</td>
<td>130; 128</td>
</tr>
<tr>
<td>31</td>
<td>T16/613</td>
<td>3</td>
<td>M6</td>
<td>131; 150; 151</td>
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<td>32</td>
<td>T17/524</td>
<td>1</td>
<td>V3 1st planting</td>
<td>515</td>
</tr>
<tr>
<td>33</td>
<td>T60/877</td>
<td>5</td>
<td>M6</td>
<td>470; 473; 452; 450; 449</td>
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<tr>
<td>34</td>
<td>T63/967</td>
<td>5</td>
<td>M6</td>
<td>14; 15; 35; 17; 16</td>
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<tr>
<td>35</td>
<td>T63/971</td>
<td>5</td>
<td>M6</td>
<td>8; 9; 12; 10; 11</td>
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<tr>
<td>36</td>
<td>T65/238</td>
<td>5</td>
<td>Q6</td>
<td>1295; 1299; 1301; 1306; 1307</td>
</tr>
<tr>
<td>37</td>
<td>T65/326</td>
<td>2</td>
<td>V3 1st planting</td>
<td>745; 180</td>
</tr>
<tr>
<td>38</td>
<td>T79/501</td>
<td>4</td>
<td>M6</td>
<td>162; 143; 118; 121</td>
</tr>
<tr>
<td>39</td>
<td>T85/799</td>
<td>5</td>
<td>M6</td>
<td>250; 252; 231; 230; 229</td>
</tr>
</tbody>
</table>

types. For the multilocus matching, the option to ignore missing data was used. Discriminating power of the SNP loci was computed using the probability of identity (PID) (Waits et al., 2001) option implemented in the same computer program.

For accessions without a reference tree but with known pedigree record (for example, breeding lines selected in Ghana's breeding program), the genetic identities were verified using parentage analysis and/or model-based assignment test. An example is the T clones (Table 1) that were hybrid families introduced into West Africa in 1944. Since these were the products of hybridization in Trinidad in the early 1940s, and the seed families were evaluated and selected in Ghana (Posnette, 1986), there are no existing reference trees available from the international cacao collections. Nonetheless, because pedigree records for these selections are available, the T clones were used as "offspring" and their parental clones in ICG,T were verified according to the recorded pedigree (Lockwood and Gyamfi, 1979). A likelihood-based method implemented in the program CERVUS 3.0 (Marshall et al., 1998; Kalinowski et al., 2007) was used for computation. For each parent-offspring pair, the natural logarithm of the likelihood ratio (LOD
score) was calculated.

Critical LOD scores were determined for the assignment of parentage to a group of individuals without knowing the maternity or paternity. Simulations were run for 10000 cycles with the assumption that 80% of candidate parents were sampled and a total of 80% of loci were typed, with a typing error rate of 0.5%. The most probable single mother (or father) for each offspring was identified on the basis of the critical difference in LOD scores (Δ) between the most likely and next most likely candidate parent at greater than 95% or 80% confidence (Marshall et al., 1998; Kalinowski et al., 2007).

For accessions lacking a reference tree, assignment test was applied to infer their hidden membership to a known population or germplasm group, using a model-based clustering analysis implemented in the STRUCTURE software program (Pritchard et al., 2000). SNP profiles of 100 reference accessions were included in the analysis. These 100 accessions were taken from six known Forastero germplasm groups, including Amelonado, Scavina (SCA) and Ucayali, Iquitos Mixed Calabacillo (IMC), Morona (MO), Nanay (NA) and Parinari (PA). Classification of these accessions have been reported by (Motamayor et al., 2008; Zhang et al., 2009b). The number of clusters (K-value, which indicated the number of sub-populations of the program attempted to find) was set from two to ten, and the analysis was carried out without assuming any prior information about the genetic group or geographic origin of the samples. Ten independent runs were assessed for each fixed number of clusters (K). The ΔK value was computed to detect the most probable number of clusters (Evanno et al., 2005). Of the 10 independent runs, the one with the highest Ln Pr (X/K) value (log probability or log likelihood) was chosen and represented as a bar plot.

RESULTS

Descriptive statistics of the SNP markers

In total, 53 SNP markers were reliably scored, as assessed by markers producing less than 10% missing genotypic data. Marker TcSNP 174 failed to generate SNP data thus was excluded in subsequent data analysis. The descriptive statistics of the remaining 53 SNP loci are presented in Table 2. The 53 SNP markers were polymorphic across the 39 cacao accessions. The mean expected heterozygosity was 0.343 and the observed heterozygosity was 0.274. An inbreeding coefficient with an average of 0.218 was observed.

Multilocus matching

Comparison of the multilocus SNP profiles with the reference accessions identified seven intraclonal mislabelings in accessions NA 79, PA 150 and IMC 76 (Figure 1). The multilocus matching also found that AMAZ 3-2 and PA 303 were mislabeled. These trees were defined as off-type or homonymous mislabeling because they shared the same name with the reference tree but differed in multilocus SNP profiles. In this experiment the mismatched accessions differed at a minimum of five loci. With all 53 loci considered, the combined probability of identity was in the order of \(10^{-9}\) (Table 2). Overall, the procedure of multilocus matching with known reference trees led to the identification of 149 true-to-type trees out of 160 tested samples. Based on the verified result, 39 samples (a single sample from each accession) were used in the subsequent analyses of population structure and genealogical relationships. Among these 39 samples, the status of the nine T clones could not be decided solely based on multilocus matching, because they were selections made in Ghana and no reference trees were available. For these trees, assignment test and parentage analysis were applied to verify their genetic identity.

Assignment test

Based on the value of delta K, the model-based approach of STRUCTURE indicated \(K=5\) as the most probable number of genetic clusters. The 39 tested cacao accessions from the Ghana cacao collection, as well as the 100 reference accessions, were stratified as germplasm groups of Amelonado, IMC, SCA/Ucayali, Morona, Nanay and Parinari, respectively (Figure 2). The assignment result largely agreed with the previously classified germplasm groups (Figure 2; Zhang et al., 2009b; Motamayor et al., 2008) except that the germplasm groups of SCA/Ucayali and Morona were not separated. The assigned memberships for all the tested trees from Ghana were compatible with their known parentage germplasm groups (Figure 2). The assignment test of the T clones confirmed their recorded parental germplasm groups, as shown in Figure 2. The parental groups of PA and IMC were clearly reflected in the admixed ancestry profiles of T60, T63, T65 and T79. A full genetic background of IMC was revealed for accession T85/799, supporting its recorded parentage of IMC 60 and NA 34 (a member of the IMC germplasm group; Motamayor et al., 2008). In addition, admixed ancestry of IMC and Amelonado was revealed for T16/613 family, which not only supported the recorded parentage of IMC 24, but also detected that the other parent came from the Amelonado group.

Parentage analysis

Of the eight candidate parent-offspring relationships, the results of parentage inference confirmed six pairs at the 95% confidence level and one pair (NA 34 - T85/799) at the 80% confidence level (Table 3). For offspring T16/613, only one parent (Amelonado 22) was identified at the >80% confidence level because the reference genotype of maternal parent IMC 24 was not available. The result of parent-offspring assignment supported the outcome of model-based clustering analysis by the STRUCTURE program (Figure 2).

DISCUSSION

Multilocus matching

Over 50 cacao germplasm collections are present worldwide
Table 2. Observed and expected heterozygosities, inbreeding coefficient, minor allele frequency and probability of identity of the 53 SNP loci scored on 39 cacao accessions from the Ghana Cacao germplasm collection.

<table>
<thead>
<tr>
<th>Locus</th>
<th>Ho</th>
<th>He</th>
<th>Inbreeding coefficient</th>
<th>Minor allele frequency</th>
<th>PID-sib</th>
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</thead>
<tbody>
<tr>
<td>TcSNP75</td>
<td>0.091</td>
<td>0.127</td>
<td>0.285</td>
<td>0.068</td>
<td>0.879</td>
</tr>
<tr>
<td>TcSNP90</td>
<td>0.091</td>
<td>0.127</td>
<td>0.285</td>
<td>0.068</td>
<td>0.879</td>
</tr>
<tr>
<td>TcSNP139</td>
<td>0.364</td>
<td>0.483</td>
<td>0.248</td>
<td>0.409</td>
<td>0.604</td>
</tr>
<tr>
<td>TcSNP144</td>
<td>0.523</td>
<td>0.500</td>
<td>-0.046</td>
<td>0.489</td>
<td>0.594</td>
</tr>
<tr>
<td>TcSNP150</td>
<td>0.310</td>
<td>0.436</td>
<td>0.290</td>
<td>0.321</td>
<td>0.635</td>
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<tr>
<td>TcSNP151</td>
<td>0.273</td>
<td>0.416</td>
<td>0.345</td>
<td>0.295</td>
<td>0.649</td>
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<tr>
<td>TcSNP189</td>
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<td>0.425</td>
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<td>0.642</td>
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<tr>
<td>TcSNP193</td>
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<td>0.649</td>
</tr>
<tr>
<td>TcSNP226</td>
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<td>TcSNP230</td>
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<tr>
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<tr>
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<td>TcSNP364</td>
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<td>TcSNP372</td>
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<tr>
<td>TcSNP448</td>
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<tr>
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<tr>
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<td>TcSNP702</td>
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Table 2. Contd.

| Name      | Field stand  | Genotype | 139 | 144 | 150 | 151 | 189 | 193 | 230 | 242 | 309 | 529 | 534 | 591 | 602 | 619 | 702 | 886 | 1060 | 1253 | 1280 | 1378 | 1484 |
|-----------|--------------|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| PA 150    | 101906       | Off-type | TG  | AC  | AA  | TT  | AC  | CT  | CT  | CC  | CT  | CC  | TT  | AA  | TT  | AG  |      |      |      |      |      |      |      |
| PA 150    | 101306       | Off-type | TT  | AC  | TT  | CC  | AG  | AC  | AG  | CT  | CT  | CC  | CT  | AA  | CT  | CC  | TT  | CC  | CT  | AG  | AG  | CT  | AG  |      |
| PA 150    | 101206       | Off-type | TT  | AC  | TT  | CC  | AG  | AC  | AG  | CT  | CT  | CC  | CT  | AA  | CT  | CC  | TT  | CC  | CT  | AG  | AG  | CT  | AG  |      |
| PA 150    | 101006       | Off-type | TT  | AC  | TT  | CC  | AG  | AC  | AG  | CT  | CT  | CC  | CT  | AA  | CT  | CC  | TT  | CC  | CT  | AG  | AG  | CT  | AG  |      |
| PA 150    | 100906       | Off-type | TT  | AC  | TT  | CC  | AG  | AC  | AG  | CT  | CT  | CC  | CT  | AA  | CT  | CC  | TT  | CC  | CT  | AG  | AG  | CT  | AG  |      |
| PA 150    | Field D679   | Reference| TT  | AC  | TT  | CC  | AG  | AC  | AG  | CT  | CT  | CC  | CT  | AA  | CT  | CC  | TT  | CC  | CT  | AG  | AG  | CT  | AG  |      |
| MAN 15/60 | 706e         | Field D237| TT  | CC  | GT  | CC  | AG  | AA  | TT  | CT  | AC  | CC  | AC  | CT  | CC  | TT  | AG  | TT  | AA  |      |      |      |      |      |
| MAN 15/60 | 8506e        | Field D237| TT  | CC  | GT  | CC  | AG  | AA  | TT  | CT  | AC  | CC  | AC  | CT  | CC  | TT  | AG  | TT  | AA  |      |      |      |      |      |
| MAN 15/60 | 8506e        | Field D237| TT  | CC  | GT  | CC  | AG  | AA  | TT  | CT  | AC  | CC  | AC  | CT  | CC  | TT  | AG  | TT  | AA  |      |      |      |      |      |
| MAN 15/60 | 1350e        | Field D237| TT  | CC  | GT  | CC  | AG  | AA  | TT  | CT  | AC  | CC  | AC  | CT  | CC  | TT  | AG  | TT  | AA  |      |      |      |      |      |
| MAN 15/60 | 4606e        | Field D237| TT  | CC  | GT  | CC  | AG  | AA  | TT  | CT  | AC  | CC  | AC  | CT  | CC  | TT  | AG  | TT  | AA  |      |      |      |      |      |
| MAN 15/60 | Field D237   | Reference| TT  | CC  | GT  | CC  | AG  | AA  | TT  | CT  | AC  | CC  | AC  | CT  | CC  | TT  | AG  | TT  | AA  |      |      |      |      |      |
| NA 79     | 95206        | Field D612| TT  | CC  | GT  | CC  | AG  | AA  | TT  | CT  | AC  | CC  | AC  | CT  | CC  | TT  | AG  | TT  | AA  |      |      |      |      |      |
| NA 79     | 95806        | Field D612| TT  | CC  | GT  | CC  | AG  | AA  | TT  | CT  | AC  | CC  | AC  | CT  | CC  | TT  | AG  | TT  | AA  |      |      |      |      |      |

* Accumulated PID_sibs for 53 SNP locus combinations.

Figure 1. Intraclonal mislabeling (off-type) identified in 160 cacao trees from Ghana cacao collections based on 53 SNP markers (of which only 21 loci were presented). The true-to-type clones were marked as "√". The SNP profiles of the reference clones were generated using original trees from International Cacao Genebank, Trinidad.

and of these, two are universal collections (representing nearly all of the known genetic diversity): CATIE (Centro Agronómico Tropical de Investigación y Enseñanza) in Costa Rica and ICG.T in Trinidad and Tobago (Motilal et al., 2013; Wadsworth and Harwood, 2000). Mislabeled plants have been identified as a serious problem in germplasm collections (Hurka et al., 2004). Significant efforts have been made to solve the problem in some international cacao collections (Motilal et al., 2013; Zhang et al., 2009a,b); however, the mislabeling problem in most of the various national collections has not been systematically addressed. Until recently, tools have not been available to clearly identify mislabeled germplasm accessions. Molecular markers such as AFLP (amplified fragment length polymorphism) have sufficient discriminatory power to distinguish cacao accessions; however, these tools often failed to reach clear conclusions, with convincing statistical rigor, that two genotypes are identical (Christopher et al., 1999; Perry et al., 1998; Soungio et al., 2001).

In the past few years, microsatellite markers have been widely used in cacao genotyping and individual identification, enabling systematic assessment of genetic identity in national and international cacao genebanks (Zhang...
Figure 2. Verification of genetic membership for ten T clones of cacao in Ghana cacao germplasm using assignment test. The computer program STRUCTURE was used, where K is the potential number of genetic clusters that may exist in the overall sample of individuals. Each vertical line represents one individual multilocus genotype. Individuals with multiple colors have admixed genotypes from multiple clusters. Each color represents the most likely ancestry of the cluster from which the genotype or partial genotype was derived. Clusters of individuals are represented by colors.

Table 3. Parentage verification for cacao selections with known breeding pedigree, based on 53 SNP markers with LOD scores at 80 and 95% probability. The SNP profiles of the parental clones were generated using original trees from International Cacao Genebank, Trinidad.

<table>
<thead>
<tr>
<th>Offspring ID</th>
<th>Recorded Pedigree</th>
<th>Tested candidate mother/father</th>
<th>LOD score*</th>
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</thead>
<tbody>
<tr>
<td>T16/613</td>
<td>IMC 24 OP</td>
<td>IMC 24 (N/A)</td>
<td>N/A</td>
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<tr>
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<td>IMC 24 OP</td>
<td>Amelonado 22</td>
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</tr>
<tr>
<td>T60/877</td>
<td>PA 7 x NA 32</td>
<td>PA 7</td>
<td>7.97</td>
</tr>
<tr>
<td>T60/877</td>
<td>PA 7 x NA 32</td>
<td>NA 32</td>
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</tr>
<tr>
<td>T63/967</td>
<td>NA 32 x IMC 67</td>
<td>IMC 67 (N/A)</td>
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<td>IMC 60 x NA 34</td>
<td>NA 34</td>
<td>3.04</td>
</tr>
</tbody>
</table>

*Critical LOD (the natural logarithm of the likelihood) ratio for assignment of maternity and paternity are 5.70 at >95% confidence and 2.75 at >80% confidence.

et al., 2009a; Motilal et al., 2009,2010). In contrast to dominant markers, identical genotypes can have a 100% match in the multilocus SSR profiles without ambiguity, thus accuracy of identification is significantly improved. Reference SSR profiles of cacao clones have been deposited in the International Cacao Germplasm Data-
typing error. For example, it may require multiple repeated genotyping runs to reach the “consensus genotype”. Moreover, data generated from different genotyping platforms can be difficult to compare with one another because the same allele may be binned differently, leading to false conclusions.

The present study demonstrated that using the SNP-based multi-locus fingerprints significantly improved the efficiency of genotype identification. Off-type identification, through the comparison with reference SNP profiles, is straightforward when reference trees are available. The reference trees used in the present study were sampled from the original collections maintained at Marper Farm and San Juan Estate in Trinidad, and Cabiria Farm, CATIE, in Costa Rica. These reference trees have been genotyped by SSR markers and passed through rigorous statistical population genetics tests (Motamayor et al., 2008; Zhang et al., 2009a, b; Johnson et al., 2009).

Parentage verification and assignment test

Many national cacao germplasm collections also maintain local varieties and breeding lines, which do not have a reference tree in international germplasm collections. In this situation, indirect verification such as Bayesian assignment test, parentage analysis, and sibship reconstruction need to be applied. The present study demonstrated how parentage analysis and Bayesian assignment test can be used to verify the genetic identity and pedigree information. Of the eight tested accessions, six were confirmed to have the correct maternal or paternal parent matching with the breeding record. Among them, T63/967 and T63/971 were supposed to be siblings and their verified parentage supported each other. T616/63 was recorded as the open pollinated progeny of IMC 24. Parentage analysis identified Amelonado 22, at a 95% confidence level, as the hidden pollen parent. For candidate parents that did not reach the 80% confidence level, the failure indicates mislabeling (off-type). Another possibility is possible conta-mination due to unwanted pollen or self-compatibility.

The SCA/Ucayali and Morona accessions represent two distinct geographical regions and were clustered as two different genetic groups when SSR markers were used (Zhang et al., 2009b; Motamayor et al., 2008). However, in the present study, the Bayesian clustering analysis based on 53 SNP markers did not significantly differentiate these two germplasm groups (Figure 2). Differences in genetic distances quantified by SNP and SSR markers have been reported in other crops. Yang et al. (2011) reported a correlation between kinship coefficient estimated by SSR and SNP of 0.69 in maize. Murray et al. (2009) found that some sorghum individuals shifted groups, depending upon whether SSR or SNP data was used in the STRUCTURE program. The discrepancy in stratification based on the two marker systems could also be due to the relatively small number of SNP markers used in the present study. Yu et al. (2009) showed that kinship estimated using 1,000 SNPs was consistent with that estimated with 100 SSRs in maize. Van Inghelandt et al. (2010) proposed that 7 to 11 times more SNPs than SSR markers should be used for analyzing population structure and genetic diversity in maize germplasm. Given that our previous stratification was based on 15 SSR markers, it would require more than 100 SNP markers to reach the same precision level. Additional SNP markers need to be evaluated for cacao and the correlation between SNP markers and SSR markers needs to be systematically assessed.

In addition to the limitation due to a limited number of SNP markers, the discrepancy between the two marker systems might also be partially explained by the derivation of the SNP markers used in the present study from the EST data. A set of unequivocally neutral SNP markers would be ideal. Despite the lack of differentiation between the SCA/Ucayali and Morona populations, the assignment test correctly excluded both groups in terms of parentage contribution to the tested T clones. The assignment of the T clones is fully consistent with the outcome of parentage analysis and is consistent with the recorded pedigree (Lockwood and Gyamfi, 1979). The high repeatability of the genotyping result, as demonstrated by the multiple trees for some cacao germplasm maintained in the Ghana collection, as well as the consistency in pedigree records and parentage analysis, demonstrated that these SNP markers provide a reliable and efficient solution for cacao genotype identification. This modest set of SNP markers thus constitutes a cost-effective marker resource, suitable for backstopping large-scale clone propagation in cacao. Nonetheless, the study also showed that a larger number of SNP markers would be needed for comprehensive diversity analysis.

Conflict of Interests

The author(s) have not declared any conflict of interests.

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REFERENCES


Characterization and in vitro studies on anticancer activity of exopolymer of Bacillus thuringiensis S13

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The objective of the present work was to isolate and characterize the exopolymer producer from a marine environment. The exopolymer producing strain (S13) was identified as Bacillus thuringiensis S13. Characterization of exopolymer shows the presence of brominated compound responsible for cytotoxicity on lung cancer cell line (A549) on XTT assay. An in vitro study of bacterial exopolymer shows the presence of cytotoxic effects on cell lines. Further, active compound in exopolymer responsible for cytotoxicity has to be characterized. The exopolymer produced by B. thuringiensis S13, showed potent cytotoxic effects, and could be used as therapy in cancer after further studies.

Key words: Bacillus thuringiensis S13, exopolymer, IR, GCMS analysis and lung cancer cell line.

INTRODUCTION

Ocean inhabits millions of unexplored organisms, which secretes valuable unexplored compounds. Up to 2008, only about ten thousand compounds have been evolved from marine organisms. The assorted environments in marine, force the bacteria to secrete some compounds to survive (Jensen and Fenical, 1994). In the marine ecosystem, bacteria secrete many bioactive exopolymers compared with another local, since marine has numerous and distinct capabilities to endure (Annarita et al., 2010). Majority of bacterial species produced secondary metabolites as exopolymer containing sugar and non-sugar components like amino sugars, protein (Sutherland, 1977) and fatty acids (Hayashida-Soiza et al., 2008). The adaptation of bacteria to diverse habitat can determine the production of unique secondary metabolites as exocellular. Sea provides an abundant source of nutrients and other parameters; the bacteria on the sea surface aggregates to form biofilm (Ng and Hu, 1989; Donlan and Costerton, 2002). Some bacteria do not produce any compounds because they make contact with other bacterial species or extracellular products from other bacteria. Several investigation exhibits number of biologically active compounds from marine bacteria (Barsby et al., 2001) showing antimicrobial activities,

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antiviral, immunosuppressive, enzyme inhibitor metabolites, receptor antagonistic, antitumor activities and anticoagulant properties (Reichenbach, 2001). The chemical nature of bacterial exopolysaccharide is often highly complex. It was found that most of the marine isolates exhibit antagonistic activity. A term cancer is used to define uncontrolled cell growth. The cells divide rapidly, forming malignant tumour, and invading the adjacent cells. Cancer affects various parts of the body. Each cancer is different, and the chance of surviving depends on the cancer and site of cancerous growth. Cancer can affect any human being regardless of age. About 13% of human death is due to cancer throughout the world. Lung cancer causes more people to die than other cancers worldwide, accounting 1.3 million people to die annually (Khuri et al., 2001). At present, the clinical responses to patients of effectively targeted therapies for lung cancer are still inadequate (Danesi et al., 2003). Hence, the development of new effective anti-cancer drugs for lung cancer is the most important need of the day.

Polysaccharides derived from a microorganism have specific broad ranged properties such as antitumor, antioxidant activity. Bacterial exopolysaccharide substances have a wide range of applications (Adriana et al., 2005; Chen et al., 2008) that makes them interesting from the biotechnological point of view.

MATERIALS AND METHODS

Screening and identification

Soil samples were collected from Mandapam, coastal area (79° 8' E, 9° 17' N) of south India at a depth of 5 m. The bacterial strains were screened in Zobell agar plates (HiMedia India) followed by serial dilution method. The plates were incubated at 25°C for a period of seven days. Morphology of bacterial colonies were observed and selected based on their mucoid morphology (Ng and Hu, 1989). After isolation, the strain (S13) was characterized phenotypically and biochemically, which was subcultured and maintained in 20% glycerol at 4°C as stock (Jean-Marc et al., 1990). For 16S rDNA gene sequencing, the bacterial colonies were picked and suspended in 0.5 µl of sterilized saline and centrifuged at 10,000 rpm for 10 min. After removal of the supernatant, the pellet was suspended in 0.5 µl of InstaGene Matrix (Bio-Rad, USA) and incubated at 56°C for 30 min, then heated at 100°C for 10 min. After heating, supernatant can be used for PCR. Template DNA (1 µl) was treated with 20 µl of PCR reaction solution, and 27F/1492R primers were used for amplification. Unincorporated PCR primers and dNTPs from PCR products were removed by using Montage PCR clean up kit (Millipore). The 16S rDNA was amplified by polymerase chain reaction (PCR) using the primers 518F CCAgAcGCggTaTAcGc and 800R TACcAggTaCTaTACC universal primers. The purified PCR products were sequenced by using 2 primers as described earlier. Sequencing was performed using Big Dye terminator cycle sequencing kit (Applied Biosystems, USA). Sequencing products were resolved on automated DNA sequencing system. DNA sequence was obtained using DNA sequencer and the PCR products were sequenced using the same PCR primers and other internal primers to confirm the sequence (Dereeper et al., 2008).

The sequence of 16s rDNA gene was compared with the sequence data through BLAST searching tool in NCBI. As a result of BLAST search, the sequences were aligned to their closest sequence with MUSCLE (v3.7) and refined using Gblocks 0.91b (Castresana, 2000). The phylogenetic tree was constructed by SH-like method implemented in the PhyML program.

Extraction and characterization exopolysaccharide substance

The isolate S13 was grown in Zobell marine broth for 7 days at 32°C. The exopolysaccharide was extracted, treated with two volumes of 95% cold ethanol to the cell free supernatant and stored at 4°C for 24 h. The precipitate was collected by centrifugation and washed with distilled water. The precipitate was redissolved in distilled water and dialyzed (molecular weight (mol. wt.) cut off 8000 dalton) against distilled water for 2 days to remove the salts from exopolysaccharide. The weight of the exopolysaccharide was measured after drying at 45°C (Bragadeeswaran et al., 2011).

Characterization of bacterial exopolysaccharide substance

The total sugar content was measured using phenol sulphuric acid assay with glucose as standard (Bruckner, 1955). The total protein content was measured by Lowry’s method with bovine serum albumin as standard (Lowry et al., 1951). FT-IR spectra were recorded for exopolysaccharide with a resolution of 4 cm⁻¹ in the 4000-400 cm⁻¹ region (Lijour et al., 1994). GCMS analysis was performed in JEOL GC MATE II GCMS (Mancuso et al., 2004). Helium was the carrier gas. Peaks were identified by comparing with known standards.

Optimization

The optimum carbon source for the growth and exopolymer production was achieved by fermentation in 100 ml of basal salt media. The different concentration (0.5, 1, 1.5, 2 and 2.5%) of carbon sources (glucose, sucrose, lactose and galactose) (Mata et al., 2008) were tested by inoculation with 2 ml of 24 h culture. The basal salt medium was supplied with 1% of different nitrogen source (peptone, yeast extract, ammonium chloride, and ammonium nitrate) to determine the effect of nitrogen source (Sung-Hwan et al., 2000). The isolate, which exhibited maximum growth and yield of exopolymer for carbon and nitrogen sources respectively, was selected for optimization of carbon: Nitrogen ratio. The basal salt medium with carbon source and nitrogen source which showed maximum yield were selected and different concentration of nitrogen was supplied (0.05 to 0.1%) for the respective isolate (Read and Costerton, 1987). The optimum pH for exopolymer synthesis was determined in basal salt media with different pH (5, 5.5, 6, 6.5, and 7) and, exopolymer production was achieved in production media (casein hydrolysate media) at 37°C in a shaker. The growth rate was measured at 540 nm.

In vitro anticancer activity

Briefly, the cancer cell lines (A549 lung cancer) were procured from National Centre for Cell Science, Pune. The cell lines were grown and maintained in Minimal essential medium (MEM, Gibco) supplemented with 4.5 g/L glucose, 2 mM L-glutamine and 5% fetal bovine serum at 37°C in 5% CO₂ incubator. From the T-25 flask, the trypsinized cells were seeded in each well of 96 well flat-bottomed plates and incubated in 5% CO₂ at 37°C. After 24 h of incubation, the supernatant was discarded and, the cells were
pretreated with growth medium. Subsequently, it was mixed with different concentrations (12.5, 25, 50, 100 and 200 µg/ml) of exopolymer and cisplatin (an anticancer drug as standard) separately in triplicates to achieve a final volume of 100 µl and then cultured for 48 h. The exopolymer and cisplatin were prepared separately as 1.0 mg/ml concentration stock solutions in dimethyl sulfoxide (DMSO). Culture medium and solvent were used as blank. Each well then received 50 µl of fresh XTT (0.9 mg/ml in RPMI along with XTT activator reagent) followed by incubation for 2 h at 37°C. At the end of incubation, 96 micro well plates were shaken for 15 s (Roehm et al., 1991; Stevens and Olsen, 1993).

RESULTS

The exopolymer producing strain was isolated from marine sediment; it forms circular convex mucoid colonies in Zobell agar medium. The bacteria was characterized as Gram positive; rod shaped showing positive results to VP, catalase, oxidase, protein and starch hydrolysis reactions, negative for indole, MR, citrate utilization test. The isolate produce endospore and showed the presence of crystals. The 16S rRNA sequence revealed the isolate was Bacillus thuringiensis. The sequence was submitted in Genbank NCBI, and the accession number assigned as JQ995171.1. Figure 1 shows the phylogenetic relationship of B. thuringiensis S13 with its closely related sequence of blast results. From the results, it was confirmed that, it belonged to the Phylum Firmicutes and family Bacillaceae. Calorimetric study showed 62.01% of sugar and 3.27% of protein in exopolymer. FT IR spectrum (Figure 2.) revealed characteristic functional groups of exopolymer; C-Br stretching noticed at 605.61 and 651.89 cm\(^{-1}\). Four or more CH\(_2\) groups occur in an open chain at 752.19 cm\(^{-1}\). Further, ary fluoride C-F stretching peak was noticed at 1195.78 cm\(^{-1}\). A broad stretching of CH\(_3\), CH\(_2\)-CH\(_3\) at 1334.65 to1454.23 cm\(^{-1}\). Stretching peak at 1668 and 1334 cm\(^{-1}\) indicates the presence of COOH group. C-H stretching was noticed in 2885.31 to 2974.03 cm\(^{-1}\), O-H stretching was observed in the range of 3195.83 to 3313.48 cm\(^{-1}\).

Gas chromatography–mass spectrometry (GC-MS) analysis showed the presence of saturated fatty acid and brominated compounds (Table 1). All sugars support the growth and exopolymer production. As far as the carbon source is concerned, the growth and production rate of B. thuringiensis S13 is directly proportional to the concentra-

**Table 1.** Summarized results of fundamental screening for isolation of exopolymer producing bacteria. The presence of mucoid morphology is one of the key factors to screen the exopolymer-producing bacteria. The isolate showed sigmoidal growth and production at pH 7.0 (292 mg/l). At low or high pH, the exopolymer production was stunned. XTT assay showed that the bacterial exopolymer has an anti-proliferative activity on A549 lung cancer cells. After the addition of different concentration of exopolymer and cisplatin (control) to the cancer cells separately, the growth rate was measured by its optical density value at 490 nm followed by the addition of XTT. It was interesting to note that the exopolymer inhibits 50% of cancer cell growth at a concentration (100 µg/ml) (Table 4). From the results, the half maximal inhibitory concentration (IC50) of the bacterial exopolymer was estimated at 133.27 µg/mL, and IC 50 value for cisplatin at 23.7 µg/mL. Metabolically active cancer cells potentially reduced and convert the yellow tetrazolium salt (XTT) into orange formasan dye (Figure 5a) by the enzyme mitochondrial oxidoreductases. This conversion occurs only in the live cells. However, the bacterial exopolymer treated cells fail to reduce (Figure 5b) indicating that the bacterial exopolymer can inhibits the cell proliferation.

**DISCUSSION**

The promising strain was selected based on the development of mucoid morphology because it was one of the fundamental screenings for isolation of exopolymer producing bacteria. The presence of mucoid morphology is one of the key factors to screen the exopolymer-producing bacteria.
Table 1. GCMS analysis of non-carbohydrate fraction of bacterial exopolymer.

<table>
<thead>
<tr>
<th>Rt</th>
<th>Name of compound</th>
<th>Mass</th>
<th>Molecular formula</th>
</tr>
</thead>
<tbody>
<tr>
<td>12.58</td>
<td>Pentanoicacid,5-hydroxy,2,4-di-t-butylphenyl esters</td>
<td>306.4397</td>
<td>C_{19}H_{30}O_{3}</td>
</tr>
<tr>
<td>17.65</td>
<td>1,1,3,1''-Terphenyl,3,3,5,5-tetrabromo-5-(3,5-dibromophenyl)</td>
<td>780</td>
<td>C_{24}H_{12}Br_{6}</td>
</tr>
<tr>
<td>23.27</td>
<td>Docosanoic acid,1,2,3-propanetriyl ester</td>
<td>1059.81</td>
<td>C_{69}H_{134}O_{6}</td>
</tr>
</tbody>
</table>

Figure 2. FTIR spectrum of exopolymer. Note: Stretching at 752.19 cm\(^{-1}\) indicates the presence of CH\(_2\) groups and 1668 and 1334 cm\(^{-1}\) indicates the presence of COOH group. C-H stretching at 2885.31 to 2974.03 cm\(^{-1}\) and O-H stretching was observed in the range of 3195.83 to 3313.48 cm\(^{-1}\).
Figure 3. Effect of pH on growth of *Bacillus thuringiensis* S13. **Note:** The isolate exhibits sigmoidal growth and exopolymer production at pH 7 on compared with other pH condition.

**Table 2.** Effect of carbon nitrogen concentration on exopolymer production.

<table>
<thead>
<tr>
<th>C:N Concentration</th>
<th>Peptone (%)</th>
<th>Exopolymer (mg/l)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Glucose 2.5%</td>
<td>0.5</td>
<td>98.33 ± 0.28</td>
</tr>
<tr>
<td></td>
<td>0.6</td>
<td>121.1 ± 0.28</td>
</tr>
<tr>
<td></td>
<td>0.7</td>
<td>84.33 ± 0.28</td>
</tr>
<tr>
<td>+</td>
<td>0.8</td>
<td>65.33 ± 0.11</td>
</tr>
<tr>
<td></td>
<td>0.9</td>
<td>59.16 ± 0.05</td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>41.33 ± 0.28</td>
</tr>
</tbody>
</table>

The isolate produce highest amount of exopolymer on supplying 0.5% of peptone with 2.5% glucose. *Values are the means ± standard deviations of triplicate measurements.** Effect of C:N on production of exopolymer.

Figure 4. Effect of carbon source on production of exopolymer. **Note:** The isolate S13 produce highest amount of exopolymer when glucose was supplied as carbon source. The exopolymer production increased with the concentration of sugars.
Table 3. Effect of pH on exopolymer production.

<table>
<thead>
<tr>
<th>pH of the media</th>
<th>Dry weight of EPS (mg/l)</th>
</tr>
</thead>
<tbody>
<tr>
<td>pH 5</td>
<td>040</td>
</tr>
<tr>
<td>pH 5.5</td>
<td>096</td>
</tr>
<tr>
<td>pH 6</td>
<td>134</td>
</tr>
<tr>
<td>pH 6.5</td>
<td>198</td>
</tr>
<tr>
<td>pH 7</td>
<td>292</td>
</tr>
</tbody>
</table>

The optimum pH for the highest yield of exopolymer is 7.

Table 4. Dose response of bacterial exopolymer on A549 (Lung cancer).

<table>
<thead>
<tr>
<th>Concentration (ug/ml)</th>
<th>OD of cisplatin (STD) treated cells at 490 nm</th>
<th>% Cell survival</th>
<th>% Cell inhibition</th>
<th>OD of exopolymer treated cells at 490 nm</th>
<th>% Cell survival</th>
<th>% Cell inhibition</th>
</tr>
</thead>
<tbody>
<tr>
<td>12.5</td>
<td>1.04±0.00</td>
<td>75.46±0.05</td>
<td>24.53±0.05</td>
<td>0.68±0.00</td>
<td>99.76±0.05</td>
<td>0.23±0.05</td>
</tr>
<tr>
<td>25</td>
<td>0.68±0.00</td>
<td>48.56±0.05</td>
<td>51.43±0.05</td>
<td>0.57±0.00</td>
<td>82.63±0.05</td>
<td>17.36±0.05</td>
</tr>
<tr>
<td>50</td>
<td>0.42±0.00</td>
<td>28.63±0.05</td>
<td>71.36±0.05</td>
<td>0.43±0.00</td>
<td>61.23±0.11</td>
<td>38.76±0.11</td>
</tr>
<tr>
<td>100</td>
<td>0.26±0.00</td>
<td>16.56±0.05</td>
<td>83.43±0.05</td>
<td>0.36±0.00</td>
<td>50.33±0.57</td>
<td>49.66±0.57</td>
</tr>
<tr>
<td>200</td>
<td>0.15±0.00</td>
<td>8.86±0.05</td>
<td>91.13±0.05</td>
<td>0.28±0.00</td>
<td>37.56±0.05</td>
<td>62.43±0.05</td>
</tr>
</tbody>
</table>

The half-inhibitory concentration of bacterial exopolymer against cancer cell is 50 (ug/ml), where as for control 25 (ug/ml). *Values are the means ± standard deviations of triplicate measurements. % = percentage.

in Lacobacillus casei and Sphingomonas panicmobilis that yielded high quantity of exopolymer when glucose is use as a carbon source. The isolate produced a maximum amount of exopolymer at pH 7. This result is consistent with Vincent et al. (1994) who studied in Alvinella pompejana, which produces the highest amount of exopoly-

Figure 5a. A549 cell line treated with exopolymer.

Figure 5b. A549 cell line. **Note:** The color change from yellow to orange (Figure 5b) indicates the reduction of yellow tetrazolium salt (XTT) into orange formazan dye by the metabolically active mitochondrial enzymes, meanwhile, the exopolymer treated cell lines fails to convert (Figure 5a) indicates that the cell become lyses.
mer at pH 7.0 to 7.3. Fourier transform infrared (FTIR) spectrum was relevant to the peaks already been reported by Lungmann et al. (2007); Braissant et al. (2007); Vijayabaskar et al. (2011); Sathiyanarayanan et al. (2013).

GC-MS analysis showed the presence of non sugar components in bacterial exopolymer (Sutherland et al., 1977). The brominated compound 1,1,3,1'-Terphenyl,3,3,5,5-tetabromo-5-(3,5-dibromophenyl) is found in bacterial exopolymeric substance (Figure 5). This was considered as an important characteristic feature of exopolymer. Lowell (1966) and Isnaneyto et al. (2003) also reported that the brominated secondary metabolites from the marine organisms exhibit a potent cytotoxic property. Lowell (1966) observed a low molecular weight brominated compound named 2,3,4,tribromo,5(5 hydroxy-2',2',dibromophenyl)pyrrole in Thalassia sp. 3,3,35,5'-teta bromo-2-2 dihenylidol was isolated from Pseudoalteromonas phenolica by Isnaneyto et al. (2003) which has strong antibacterial activity against mitchellin resistant Staphylococci aureus.

In order to survive under stressful conditions, some of the marine bacteria synthesize modified proteins, fatty acids and sterols, bounded on their cell wall or incorporated with their exocellular substances (Weber et al., 1996). Polysaturated fatty acid synthesis by the marine bacteria is one of the adventitious characters to survive in an extreme habitat. Yano et al. (1997) also observed the presence of polysaturated fatty acid (PUFA) such as Docosahexanoic acid and eicosapentaenoic acid in the cell wall of marine bacteria Vibrio marinus and sometimes are likely to be included with the exopolymeric substances. These polysaturated fatty acids are essential in maintaining the fluidity condition under high pressure and adaptation to saline and extreme temperature. These polysaturated fatty acids also act as a defense compound having antimicrobial activity (Hayashida et al., 2008). Meanwhile, saturated fatty acids namely Docosanoic acid, 1,2,3-propanetriyl ester and Pentanoic acid, 5-hdroxy,2,4-di-t-butylphenyl esters were found in the exopolymer. Russell (1989) also noted that there is the presence of saturated fatty acid in Marinococcus halophilus for maintaining membrane fluidity and nutrient transport. Docosanoic acid, 1,2,3-propanetriyl ester from plant origin named as Tribehein, which acts as a good skin conditioning agent and emulsifying agent (Daffodil et al., 2012).

The cytotoxic effect of bacterial exopolymer was due to the presence of brominated compound. The enzyme mitochondrial oxidoreductases facilitate reduction of slightly yellow compound XTT to orange at the cell surface by transplasma membrane electron transport. The succinate dehydrogenase system of the mitochondrial respiratory chain reduced the tetrazolium salt of XTT (2,3-Bis-(2-methoxy-4-nitro-5-sulfophenyl)-2H-tetrazolium-5-carboxanilide salt) to soluble formazan only in living cells. The mitochondrial membranes of live cells have active enzyme system, which are inactivated shortly after cell death. The cell lines treated with bacterial exopolymer destroy the respiratory chain and inactivate the enzyme and, therefore, fail to form a soluble orange formazan by reduction of the yellow tetrazolium salt.

This work shows the potential application of bacterial exopolymer. However, biotechnological potential of the biopolymer produced by marine bacteria remains largely unexploited. The present study will give an idea on the significance and insight into the potential of the bacterial exopolymer.

Conflict of Interests

The author(s) have not declared any conflict of interests.

ACKNOWLEDGEMENTS

We are thankful to the management and staff members of Department of Microbiology, H.K.R.H College, Uthamapalayam, Theni District and Department of Animal Science, Bharathidasan University, Tiruchirappalli Tamil Nadu for rendering their timely help and moral support throughout the completion of this work.

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Chen W, Zhao Z, Chen SF, Li YQ (2008). Optimization for the production of exopolysaccharide from Fomes fomentarius in submerge


This study was concerned with the screening of a suitable isolate and optimization of cultural conditions for the biosynthesis of thermostable amylase under solid state fermentation (SSF). Twenty seven isolates were screened for amylase production out of which one isolate designated as W74 showed maximal amylase activity at 70°C and a pH of 6.5 and selected for further optimization of cultural conditions under SSF. Among the different carbon and nitrogen sources supplemented to wheat bran, starch (96.7 U/g) and casein (107.3 U/g) enhanced maximum amylase production. Addition of exogenous glucose repressed secretion of amylase, demonstrating that a classical glucose effect was operative in this organism. Cultural optimization was undertaken to evaluate the effect of main process parameters as incubation period (144 h), moisture (66.7%), inoculum size (40%), and initial medium pH (6.5) on enzyme production. The enzyme was optimally active at 70°C and in pH range of 5.5-6.5.

Key words: Thermostable amylase, solid state fermentation, wheat bran, enzyme

INTRODUCTION

Enzymatic hydrolysis of starch is carried out under temperatures up to 100°C, normal pressure, and pH of medium around 6.0 to 8.0. However, enzymes are relatively expensive and above all thermally unstable at higher temperatures as reviewed in Hossain et al. (2006). Attempts are now being made to find enzymes from thermophilic microorganisms. Extreme environments (high temperature and acidic environments) harbor a wide range of acidophilic hyperthermophilic organisms including members of both bacteria and archaea prokaryotic subdivisions (Worthington et al., 2003). These properties imply extremely important industrial and biotechnological implications due to the fact that enzymes from such microorganisms can be employed for use in harsh industrial conditions where their specific catalytic activity is retained (Haki and Rakshit, 2003). A new strain of Bacillus sp.
I-3 was isolated from natural soil samples by Soni et al. (2005) and the crude α-amylase extract showed maximum activity at 70°C, pH 7. It has been reported by Mamo et al. (1999) that a thermostable amylase producing microbe, *Bacillus* sp. WN11, was isolated from Wondo Genet hot spring. Similarly, Haki and Rakshit (2004) isolated bacterial colonies from Ethiopian hyperthermal springs at Arbaminch, Awassa, Nazreth, Shalla and Abijata, Wendo Genet and Yirgalem. The thermostability experiments showed that more thermostolerant enzymes were isolated from Shalla and Abijata, followed by Awassa, where the temperatures of the water were also the highest. The bacterial colonies were identified as *B. stearothermophilus* and *B. licheniformis* by Haki and Rakshit (2004). Furthermore, Muluye Teka (2006) has isolated a *Bacillus* sp. from Lake Chitu and found amylases with optimum temperature of 80°C. The present study was aimed to isolate and screen bacterial species from hot spring soil samples for the production of thermostable amylases under SSF.

**EXPERIMENTAL METHODS**

**Bacterial Isolation**

The bacterial strains used in this study were isolated by directly inoculating 1 g of soil samples from Gendysony thermal spring located in Arbaminch area, Ethiopia, into 10 g solid substrate (wheat bran) containing 0.5 g soluble starch. The inoculated bran was statically incubated at 37°C. After 5 days of incubation a sample was taken, serially diluted and spread plated on to starch agar containing 0.5% soluble starch as used by Elnasser et al. (2007). Screening of isolates for amylolytic activity was carried out by growing the organisms on starch agar plates containing 0.5% (w/v) starch and subsequently staining with iodine solution (1% I₂ (w/v) in 2% (w/v) KI). The presence of a halo around the colony was indicative of amylolytic activity. The composition of starch agar used in the study was as follows: starch (0.5%), bacteriological peptone (0.2%), MgSO₄ (0.02%), CaCl₂ (0.02%), K₂HPO₄ (0.1%) and agar 1.5%. All the materials and reagents used in this study were obtained from microbiology laboratory at Addis Ababa University, Science Faculty.

**Screening for thermostable bacterial amylases**

The isolated strains were further screened for their ability to produce thermostable amylases, with assay temperatures ranging from 50 to 90°C at 10°C intervals and incubation period of 10 min. The individual isolates were re-inoculated into solid media and after 5 days of incubation, enzyme was extracted with 100 mL distilled water for assaying. The isolate with the best enzyme activity at 70°C was selected and taken for further investigations.

**Inoculum preparation**

For inoculum preparation, 250 mL Erlenmeyer flasks containing 50 mL of starch broth were inoculated with a loop full of cells from a 24 h slant and kept in a rotary shaker (120 rpm) at room temperature. After 24 h of incubation, 3 mL of culture were used as the inoculum. By serial dilution and plating, the number of viable colonies in the inoculum was determined.

**Enzyme production in SSF**

The SSF process was carried out in 250 mL Erlenmeyer flasks using 10 g of wheat bran solid substrate (Table 4). After proper agitation of the substrate, it was autoclaved at 121°C for 15 min, allowed to cool to room temperature, and inoculated with 3 mL of 24 h old culture. Substrate moisture ratio was adjusted to 1:2. Subsequently, incubation was carried out statically at 37°C for five days. The SSF media flasks were gently shaken after every 24 h for uniform mixing up of substrate and inoculum.

**Enzyme extraction**

The extracellular enzymes from the fermented bacterial strain were extracted with distilled water (100mL) after agitated on a rotary shaker at 120 rpm for 30 min. The content was filtered and squeezed out through a cotton cloth. The filtrate was centrifuged at 10,000 x g for 10 min to separate small particles, cells, and spores. The brown, clear supernatant was used in enzyme assay as the crude enzyme.

**Amylase activity assay**

Amylase activity was determined by the procedure of Anto et al. (2006) using wheat starch, gelatinized on a heater, as a substrate. The reaction mixture containing 0.9 mL of 1% substrate in 0.01 M KH₂PO₄/K₂HPO₄ buffer, pH 6.5, and 0.1 mL of enzyme extract was incubated for 10 min at 50°C. The reaction was stopped by adding 2 mL of 3, 5-dinitrosalicylic acid solution (DNS) followed by heating in a boiling water bath for 5 min and cooling to room temperature. The absorbance of each solution containing the brown reduction product was measured at 540 nm. Enzyme assay was performed in triplicates and the average was calculated. One unit (U) of α-amylase activity was defined as the amount of enzyme that releases 1 μmol of reducing sugar as glucose per minute, under the assay conditions and expressed as U/g of dry substrate (Anto et al., 2006). The composition of the DNS reagent used in the study was as follows (g/L): phenol 2; sodium sulfite 0.5; sodium-potassium tartrate 20; NaOH 10; and dinitrosalicylic acid (DNSA) 10.

**Optimization of fermentation process under SSF**

The SSF of wheat bran for production of extracellular amylase was optimized by varying process conditions like time course, moisture level, inoculum size, carbon and nitrogen additives and initial medium pH. The strategy followed was to optimize each parameter, independently of the others and, subsequently, optimal conditions were employed in all experiments. In all optimization procedures, enzyme assays were performed in triplicates and the average result used in data analysis.

**Time course of enzyme production**

Growth media containing 10 g of wheat bran were incubated for...
Enzyme activities for the newly isolated bacterial colonies (W74 and W120) under various temperature ranges.

<table>
<thead>
<tr>
<th>Temperature (°C)</th>
<th>Isolate W74</th>
<th>Isolate W120</th>
</tr>
</thead>
<tbody>
<tr>
<td>50</td>
<td>66</td>
<td>72</td>
</tr>
<tr>
<td>60</td>
<td>95</td>
<td>100</td>
</tr>
<tr>
<td>70</td>
<td>100</td>
<td>90</td>
</tr>
<tr>
<td>80</td>
<td>23</td>
<td>22</td>
</tr>
</tbody>
</table>

70°C was taken as 100% for W74 and 60°C for W120

Table 1

Effect of carbon and nitrogen additives

Various carbon sources (0.05 g/g dry substrate) such as monosaccharides (xylose, glucose and fructose) and disaccharides (lactose, sucrose and maltose) were evaluated for their effect on amylase production by replacing starch in the production medium. The flasks were inoculated with 30% inoculum and incubated at 37°C for 144 h in a 1:2 substrate-moisture ratio. The optimum carbon source was found by analyzing the results of amylase production. A starch supplemented and a control without additional carbon sources was also included for comparison. The production medium was supplemented with different nitrogen sources (0.02 g/g dry substrate) of NH₄Cl, NaNO₃, (NH₄)₂SO₄, peptone, yeast extract, casein and urea to check their effect on enzyme production.

Effect of initial pH on enzyme production

In order to investigate the effect of pH on extracellular amylase production, the pH of the starch solution (in duplicates) was adjusted with 1 N NaOH and 1 N HCl at values 4, 5, 6, 7, 8, 9, 10, 11 and 12 prior to sterilization. When wheat bran was moistened with the above starch solution, the respective final pH recorded in one duplicate was 4=5.6, 5=5.6, 6=5.9, 7=6, 8=6.2, 9=6.5, 10=6.7, 11=7.0, and 12=7.7. The final medium pH (after adding wheat bran) was taken as initial medium pH. The media were then inoculated with 30% inoculum and fermentation was carried out at 37°C for 144 h with 66.7% moisture content. The optimum initial pH of the solid substrate was determined by the standard assay procedures described above.

Effect of repeated washes on amylase extraction

The fermented bran was washed with distilled water (100 mL) for five consecutive times. Enzyme activity in the respective washes was assayed using the standard assay procedures.

Spotting starch digest on thin-layer chromatography (TLC) for identifying the type of amylase

The products liberated by the action of amylase on soluble starch were identified by spotting the starch digest and standard sugars (glucose and maltose) on a silica gel plate activated at 105°C for 10 min. About 2.5 µl of the starch digest, glucose and maltose standards were spotted on TLC plates. The plates were developed in butanol: ethanol: water (50: 30: 20) and TLC was run four times to concentrate the bands. After air drying the plates, sprayed with 30% H₂SO₄ in ethanol and dried at 105°C for 10 min.

RESULTS AND DISCUSSION

Bacterial isolation

A total of 190 bacterial colonies were taken out of which 27 showed clear halos on starch agar plates. The 27 colonies were again inoculated into wheat bran and checked for enzyme production. The crude enzyme extract for all 27 isolates was assayed at 50 and 70°C, pH = 6.5. Among the 27 isolates two of them designated as W74 and W120 showing better activity at 70°C were further analyzed for their activity at various pH and temperature ranges (Tables 1 and 2). Thus, isolate W74 showed a better activity at 70°C and was selected for further optimization experiments.
Table 2. Effect of pH on enzyme activities by isolates W74 and W120 at 50°C.

<table>
<thead>
<tr>
<th>pH</th>
<th>3.5</th>
<th>4.5</th>
<th>5.5</th>
<th>6.5</th>
<th>7.5</th>
<th>8.5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Enzyme Activity (%)</td>
<td>Isolate W74</td>
<td>13</td>
<td>62</td>
<td>93</td>
<td>100</td>
<td>89</td>
</tr>
<tr>
<td></td>
<td>Isolate W120</td>
<td>22</td>
<td>63</td>
<td>100</td>
<td>54</td>
<td>43</td>
</tr>
</tbody>
</table>

pH 6.5 was taken as 100 % for W74 and 5.5 for W120

Time course

![Figure 1](image)

Figure 1. Time course of amylase production under solid state fermentation by isolate W74.

Optimization of cultural parameters

Time course of enzyme production

The incubation time was found to affect enzyme production (Figure 1) as it was related to the growth of the organism. There was a gradual increase in enzyme production through 24 and 48 h and maximum at 144 h (98.8 U/g). This may be because the cultures might be at stationary phase as Malhotra et al. (2000) showed that enzyme production was maximal when cells entered stationary phase. The lowest enzyme production was found at 24 h (2.6 U/g) and was 29.8 U/g at the 10th day. The decline in enzyme production with prolonged incubation may be due to loss of moisture, slower growth, and lower enzyme production rates etc (Anto et al., 2006; Gangadharan et al., 2006).

Moisture levels

Moisture content changes during SSF as a result of evaporation and metabolic activities, therefore, adjusting moisture level can be very important. Enzyme production profiles with varying moisture levels (Figure 2) showed that SSF medium adjusted at 66.7% moisture content resulted in higher enzyme synthesis (114.1 U/g). Above 66.7%, enzyme production decreased. The effect of moisture level in SSF was also reviewed by Anto et al. (2006), Gangadharan et al. (2006) and Baysal et al. (2008). High moisture content might resulted in decreased substrate porosity, change wheat bran structure, promoting in development of stickiness, reducing gas volume, which in turn prevents oxygen penetration (Anto et al., 2006; Gangadharan et al., 2006).

Inoculum size

Inoculum size was found to be detrimental to enzyme production (Anto et al., 2006; Baysal et al., 2008; Gangadharan et al., 2006). Inoculum levels of 0.726, 0.756, 0.773, 0.786 and 0.795 (log number of cells/g bran) were assayed to determine their effect on enzyme
As shown in Figure 3, the maximum enzyme production (87.7 U/g) was observed at 0.786 (optimum) and the lowest (68.9 U/g) at 0.726. Enzyme production decreased with further inoculum increments. When inoculum size was varied from 0.726-0.795, enzyme production was between 69-93% with regard to the optimum which was taken as 100%. Low inoculum size 0.726 (log number of cells/g bran) resulted in relatively lower enzyme yield. The reduction in yield at this inoculum size could be due to lower number of viable cells for fermenting the given amount of substrate for the specified time interval. The maximum enzyme yield was obtained when 0.786 inoculum size was used. After that, there was a reduction in enzyme yield when inoculum size was increased to 0.795. This may be due to the limiting nutrients at higher inoculum size. With serial dilution and spread plating on starch agar, inoculum size (colony count) was found to be 1.8 x 10^7 CFU/mL, thus an inoculum size of 0.786 corresponds to 7.2 x 10^7 CFU/mL.
Effect of supplementation of carbon sources

The highest amylase production was obtained in a medium containing starch (96.7 U/g) (Figure 4). Starch was a generally accepted nutritional component for induction of amylolytic enzymes (Mamo and Gessesse, 1999; Kiran et al., 2005; Narang and Satyanarayana, 2001; Rasooli et al., 2008). It was also observed that maltose (89.5 U/g) and lactose (87.3 U/g) favored amylase production, whereas sucrose (65.6 U/g), fructose (74.8 U/g), xylose (64.6 U/g) and glucose (50.1 U/g) gave lesser results as compared to the control which yielded 81.3 U/g. It was evident that 84% (compared to the optimum) enzyme production was recorded from the control and only 51.8% was produced when glucose was added to the fermentation medium. It has also been reported that the synthesis of amylolytic enzymes is subjected to catabolic repression by glucose (Ezeji et al., 2005; Haseltine et al. 1996; Teodoro and Martins, 2000). Presumably the same phenomenon might justify this finding.

Effect of nitrogen source on amylase production

In the investigation of the effects of various nitrogen sources on amylase production, casein (107.3 U/g) (optimum) was found to be the most promising one, followed by yeast extract (82.7 U/g) and urea (81.3 U/g) (Figure 5). Relatively lower enzyme yields were recorded with addition of inorganic nitrogen sources and 45% reduction was recorded when (NH₄)₂SO₄ was used as nitrogen source. The synthesis of α-amylase was reported to be stimulated or inhibited by the type of amino acids present in the growth medium (Aguloglu et al., 2000; Park et al., 1996). In agreement with this study, organic nitrogen sources have been reported as a better inducer of amylase production than inorganic ones (UI Qader et al., 2006; Nguyen et al., 2000).

Initial medium pH

Among the physicochemical parameters, pH of the growth medium plays an important role by inducing morphological changes in the organism and in enzyme secretion. Results showing the effect of pH on amylase production by isolate W74 in SSF of wheat bran are presented in Figure 6. The maximum activity of amylase (192.7 U/g) was observed in the fermentation medium adjusted to pH 6.7. At pH 7.7, comparatively lower enzyme production was observed. The enzyme production was much better around neutral pH ranges and only 15% was produced at pH 5.6. Microbial product formation decreases on either side of the optimum pH value (Sudharhsan et al., 2007; UI Qader et al., 2006). In the current study, amylase production was found to be very sensitive to initial pH of the fermentation medium that is
why less than 50% yield was recorded at fermentation medium adjusted to pH 6.2. It was reported by Raimbault (1998) that in SSF systems, the nature of the substrate has a strong influence on pH kinetics, due to the buffering effect of lignocellulosic materials which was similar with current study. Thus, wheat bran was examined to have a great buffering capacity.

**Effect of repeated washes on amylase extraction**

Extraction efficiency is critical to fully exploit the enzyme produced (Palit and Banerjee, 2001). For efficient leaching of the enzyme from the fermented biomass, the bacterial bran was socked for 30 minutes in five consecutive washes. With repeated washes, it was observed that 146.5 U/g and 14.2 U/g of enzyme extracted in the 1st and 2nd washes, respectively and only 4.5 U/g was recovered in the 3rd wash (Table 3). With the fourth and fifth washes, an almost negligible amount of enzyme was recovered. The subsequent washes did not have significant effect on extraction suggesting that most of the enzyme was leached out in the first wash. Finally, an assessment was undertaken to identify the type of amylase produced by this strain. The chromatogram indicated the formation of a range of oligosaccharides (data not shown) from wheat starch indicating that the enzyme was an α-amylase. Similar findings reported that α-amylases
Table 3. The effect of number of washes on α-amylase extraction from fermented bacterial bran.

<table>
<thead>
<tr>
<th>Number of washes</th>
<th>Enzyme activity (U/g)</th>
<th>Percentage (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1&lt;sup&gt;st&lt;/sup&gt;</td>
<td>146.5</td>
<td>88.3</td>
</tr>
<tr>
<td>2&lt;sup&gt;nd&lt;/sup&gt;</td>
<td>14.2</td>
<td>8.6</td>
</tr>
<tr>
<td>3&lt;sup&gt;rd&lt;/sup&gt;</td>
<td>4.5</td>
<td>2.7</td>
</tr>
<tr>
<td>4&lt;sup&gt;th&lt;/sup&gt;</td>
<td>0.49</td>
<td>0.3</td>
</tr>
<tr>
<td>5&lt;sup&gt;th&lt;/sup&gt;</td>
<td>0.15</td>
<td>0.09</td>
</tr>
</tbody>
</table>

Table 4. Composition (g) of solid state fermentation used for α-amylase production.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value (g)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Wheat bran</td>
<td>10</td>
</tr>
<tr>
<td>Starch (wheat)</td>
<td>0.5</td>
</tr>
<tr>
<td>KH₂PO₄</td>
<td>0.2</td>
</tr>
<tr>
<td>NaCl</td>
<td>0.25</td>
</tr>
<tr>
<td>MgSO₄.7H₂O</td>
<td>0.02</td>
</tr>
<tr>
<td>CaCl₂.7H₂O</td>
<td>0.02</td>
</tr>
<tr>
<td>(NH₄)₂SO₄</td>
<td>0.1</td>
</tr>
</tbody>
</table>

Distilled water was added to adjust the required moisture level

degrade starch in random fashion producing various maltooligosaccharide mixtures (Mamo et al., 1999; Sarikaya and Gurgun, 2000; Kiran and Chandra, 2008).

Conclusion

The results in this study indicated that isolate W74 was a potential strain for α-amylase production under solid state fermentation using wheat bran as a substrate. Its growth at neutral pH medium range and mesophilic growth temperature make isolate W74 a potential strain for future use. The extracted enzyme showed optimum activity at 70°C, pH 6.5 and was found to have a better activity between moderately acidic and neutral pH values (5.0-7.0). Therefore, research need to be undertaken to exploit the potential use of isolate W74. The utilization of wheat bran as solid substrate had a great advantage in buffering pH and its low cost could lead to large-scale production of this enzyme for industrial use in starch liquefaction.

ACKNOWLEDGEMENTS

Tsegaye Kindu would like to acknowledge Addis Ababa University and Gondar College of Teachers’ Education for financial support and facilities to conduct the research. We would also like to thank individuals for their helpful comments on the paper.

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Full Length Research Paper

Production and partial purification of glucoamylase from Aspergillus niger isolated from cassava peel soil in Nigeria

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Glucoamylase is an enzyme that hydrolyses 1,4α and 1,6β-glucosidic linkages in polysaccharides yielding glucose. Aspergillus niger strains 1, 2 and 3 were locally isolated from cassava peel dumpsite for the production of glucoamylase enzyme. A. niger strains 1, 2 and 3 were screened for their hyper producing ability on potato dextrose agar using plate assay method fortified with starch agar, and showed zone of clearance of 17.0, 23.0 and 8.0 mm, respectively. The glucoamylase activity for A. niger strains 1 and 2 were 13 000.0 and 11 740.0, respectively. These values were however higher than the activity as obtained from the commercial enzyme with 2 500.0. Investigations on the protein (mg/ml), and specific activity (units/mg) on glucoamylase produced by A. niger strains 1 and 2 was 24.20, 537.19, 23.13 and 507.57, respectively. Fractionation of the enzyme ammonium sulphate (% w/v) using 60, 80 and 100% showed that the enzyme activities were 33 179.86, 47 985.86 and 19 167.65 units/ml, respectively. Protein concentrations were 16.29, 16.29 and 21.55 units/mg, respectively, while specific activities were 2 036.82, 2 945.725 and 889.45 units/mg, respectively. The production, packaging, and commercialization of glucoamylase in Nigeria will save a lot of foreign exchange earnings, and boost the economy of Nigeria.

Key words: Glucoamylase, specific activity, Aspergillus niger, fractionation, cassava peel.

INTRODUCTION

Glucoamylase is one of the oldest and widely used enzymes in food industry. They are microbial enzymes, present in bacteria, archaea, and fungi but never in plants and animals. Fungal glucoamylases are biotechnologically very important as they are used industrially in large amounts, and have been extensively studied during the
past 30 years (James and Lee, 1997). Glucoamylase (EC 3.2.1.3) hydrolyzes polysaccharides from the non-reducing bonds consecutively. They hydrolyze both 1, 4α and 1,6β-glucosidic linkages in polysaccharides yielding glucose only (Kumar and Satyanarayana, 2009). Glucoamylases are used mainly in the production of glucose syrup, high fructose corn syrup and in whole grain and starch hydrolysis for alcohol production. The major characteristic of glucoamylase is the saccharification of partially processed starch/dextrin to glucose, which is an essential substrate for numerous fermentation processes in a range of food and beverage industries (Lowry et al., 1951). Glucoamylase for commercial purposes has traditionally been produced employing filamentous fungi; although a diverse group of microorganisms is reported to produce glucoamylase, since they secrete large quantities of the enzyme extracellularly.

The commercially used fungal glucoamylases have certain limitations such as moderate thermostability, acidic pH requirement and slow catalytic activity that increase the process cost, consequently, the search for newer glucoamylase and protein engineering to improve pH and temperature optima leading to amelioration in catalytic efficiency of existing enzymes have been the major areas of research over the years (Marin-Navano and Polaina, 2011). The high cost of importation had over time being as a result of patent rights (Okafor, 1989) but improvement in optimal enzymatic activities has lead to this investigation. The use of locally available agro waste resources and impounding microorganisms in production is expected to reduce cost of importation and eventually improve quality of products in relevant industries. The objectives of this study were to isolate, screen for glucoamylase hyper producing strains of *A. species* and establish partial purification of produced glucoamylase using ammonium sulphate precipitation.

**MATERIALS AND METHODS**

**Collection of soil sample**

Soil samples from cassava processing areas were obtained from the cassava processing pilot plant site of The Federal Institute of Industrial Research, Oshodi extention at Tigbo’lu, Abeokuta, Ogun State. The soil samples were obtained aseptically and transferred to the laboratory for further analysis. The decision to isolate from this soil receiving cassava effluent is that the soil has high concentration of polysaccharide, and surviving microorganisms in the soil must have acquired genes for utilizing the polysaccharides. These genes include glucoamylase expression genes.

**Isolation and Identification of Aspergillus spp. from soil samples**

Using serial dilution method of isolation, 0.1 ml was plated on potato dextrose agar (fortified with 0.1% streptomycin). The molds were purified to obtain pure culture of *A. niger*. These relevant *A. niger* mould strain were then transferred on PDA slants kept in the refrigerator at 4°C. The mould isolates were identified following microbiological method of identifications through staining reagents of Lacto phenol cotton blue and observed under the microscope. Morphological and microscopic appearances of the moulds were compared with standard Atlas.

**Screening for hyper-producing strain of Aspergillus niger**

Spore suspension of each mould strain of *A. niger* was carefully introduced within 0.3 mm diameter of PDA plates fortified with 1% starch. The plates were then incubated separately at 30°C for 24 to 28 h, and flooded with Gram’s iodine reagent. Zones of clearance around the smeared portion was measured with the aid of meter rule and recorded against the blue black colouration background of PDA. The zone of clearance is the measure of the ability of the strains with the highest ability of producing yield of glucoamylase.

**Enzyme production medium**

The culture medium used in this work for glucoamylase production contained MgSO₄·7H₂O (0.417 g), CaCl₂ (0.417 g), FeSO₄·7H₂O (0.208 g), (NH₄)₂SO₄ (0.417 g), KH₂PO₄ (0.417 g), distilled H₂O (333.3 ml), rice bran (333.3 g), soya bean flour (100 g). The mineral water was prepared as stated above and the pH was adjusted to 4.5 using 2 N NaOH.

The above mixture was autoclaved at 121°C for 1 h. It was allowed to cool overnight in the autoclave. The culture microorganism (sporulating *A. niger*) in petridishes were washed using Tween 80. The inoculum was mixed thoroughly in a safety cabinet previously sterilized using ultraviolet (UV)-light with the substrate and covered with foil paper.

**Glucoamylase assay**

The reaction mixture consists of 1 ml of the enzyme extract; 1 ml of 1% (w/v) soluble starch solution and 0.1 ml citrate buffer (pH 4.5), incubation was at 60°C for 1 h. The reaction mixture was stopped by immersing the tubes in boiling water bath (100°C). The reducing sugars liberated were estimated by 3, 5 dinitrosalicylic (DNS) acid method (Mehta et al., 2006). The reaction mixture consisted 1 ml DNS reagent and 3 ml of starch hydrolyzate in a test tube. The test tubes were covered and placed in boiling water (100°C) for colour development for 5 min, after which the tube was allowed to cool at ambient temperature. The absorbance was read at 540 nm against a blank using the spectrophotometer (Unispec 23D model). The blank was made up of 1 ml of the reagent with 3 ml distilled water. One unit of enzyme activity (U) was defined as the amount of the enzyme that liberated 1.0 µmole of maltose from starch in 1.0 µL reaction mixture under the assay conditions.

**Protein determination**

Protein content of the enzyme extracts were determined by following the method of Miller (1959) with bovine serum albumin as standard. 0.2ml protein extract, was measured into tubes and 0.8 ml distilled water was added to it. Distilled water was used as blank.
while BSA standard curve was equally set up 10 mg/ml, 1 to 10 mg/ml, 5.0 ml of alkaline solution was added to all the tubes, mixed thoroughly and allowed to stand for 10 min, 0.5 ml of Folin-C solution was added to all the test tubes and left for 30 min after which the optical density was read at 600 nm wavelength in a spectrophotometer (T70 PG Instrument UV model). The protein concentration was estimated using values extrapolated from the standard graph of protein.

Ammonium sulfate fractionation of glucoamylase

Glucoamylase samples (200 ms⁻¹) were first brought to 20% (w/v) saturation with solid ammonium sulphate (enzyme grade) as mentioned by (Dixon and Webb, 1964). The precipitated proteins were regimentsed by centrifugation for 15 min at 500 min⁻¹. The resulted pellet was dissolved again with ammonium sulphate to achieve 60, 80 and 100% (w/v) saturation; the precipitated proteins were centrifuged for 15 min at 500 min⁻¹. Both enzyme activity and protein content were determined for each separate fraction.

RESULTS AND DISCUSSION

Screening for the best strain of Aspergillus niger

All the mould strains were identified as A. niger but screening was carried to identify three (Lowry et al., 1951) most relevant strains with hyper production properties, which were then preserved on PDA slants and kept in the refrigerator at 4°C for further use (Table 1).

In addition, all the strains of A. niger could produce hydrolytic enzymes, however based on the results as obtained, A. niger strain 2 had the highest zone of clearance with 23 mm and was inoculated into the enzyme production medium for the production of glucoamylase (Table 1). Among the several growth factors particularly substrate particle size is one of the most critical parameter affecting the productivity and growth of microorganisms (Sen et al., 2009). It has been reported in literature that a low cost substrates like wheat flour, soya bean flour, wheat bran, rice straws (husk), rice bran and molasses are suitably effective for growth and enzyme production (Zadrazil and Punia, 1995).

Enzyme activity of crude glucoamylase

The activity of produced glucoamylases from rice bran and the commercial sample were determined, and from Table 2, the concentration of amylase produced was 4.45 mg/ml, 13000.0 µmol/L and 4.02 mg/ml, 11740.0 µmol/L, respectively; whereas the commercial amylase was 0.45 mg/ml, 2500.0 µmol/L. The result thus shows a higher activity of produced glucoamylase enzymes when compared with the commercial enzyme sample (Table 2a and b). Ominyi et al. (2013) reported activity within 0.068 to 1.327 (Unit/ml) for glucoamylase enzyme from different fungal strains (Ominyi et al., 2013). Ominyi et al. (2013) also reported the increased glucoamylase activity when mixed cultures of fungal strains were involved in the fermentation process (Ominyi et al., 2013). This synergistic increase in the activity of glucoamylase on utilization of mixed microbial cultures for fermentation has been reported also by Ueda (Oyewole and Agboola, 2011).

Table 1. Diameter of zones of clearance (mm) of Aspergillus niger strains.

<table>
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<tr>
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<tbody>
<tr>
<td>1</td>
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</tr>
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<td>23.0</td>
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<td>3</td>
<td>8.0</td>
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</thead>
<tbody>
<tr>
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<td>3</td>
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Table 2a. Activity of glucoamylase samples using dinitrosalicylic acid reagent at 540 nm.

<table>
<thead>
<tr>
<th>Sample</th>
<th>Volume of standard (ml)</th>
<th>Volume of water (ml)</th>
<th>Optical density (O.D)</th>
<th>Concentration (mg/ml)</th>
<th>Concentration (µ/mol/L)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Blank</td>
<td>-</td>
<td>3.0</td>
<td>0.000</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>STD. 1</td>
<td>0.6</td>
<td>2.4</td>
<td>0.360</td>
<td>0.6</td>
<td></td>
</tr>
<tr>
<td>STD. 2</td>
<td>1.2</td>
<td>1.8</td>
<td>0.856</td>
<td>1.2</td>
<td></td>
</tr>
<tr>
<td>STD. 3</td>
<td>1.8</td>
<td>1.2</td>
<td>1.376</td>
<td>1.8</td>
<td></td>
</tr>
<tr>
<td>STD. 4</td>
<td>2.4</td>
<td>0.6</td>
<td>1.861</td>
<td>2.4</td>
<td></td>
</tr>
<tr>
<td>STD. 5</td>
<td>3.0</td>
<td>-</td>
<td>2.282</td>
<td>3.0</td>
<td></td>
</tr>
<tr>
<td>Glucoamylase 1</td>
<td>-</td>
<td>-</td>
<td>1.192</td>
<td></td>
<td>3000.0</td>
</tr>
<tr>
<td>Glucoamylase 2</td>
<td>-</td>
<td>-</td>
<td>1.075</td>
<td></td>
<td>1740.0</td>
</tr>
<tr>
<td>Commercial</td>
<td>-</td>
<td>-</td>
<td>0.808</td>
<td></td>
<td>200.0</td>
</tr>
</tbody>
</table>

*Aspergillus niger* strains 1 and 2 were the sources of Glucoamylase 1 and 2 respectively.

Table 2b. Enzyme activity of crude glucoamylase.

<table>
<thead>
<tr>
<th>Sample</th>
<th>Enzyme activity (unit/ml)</th>
<th>Protein content (mg/ml)</th>
<th>Specific activity (unit/mg/ml)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Glucoamylase 1</td>
<td>13000</td>
<td>24.20</td>
<td>537.19</td>
</tr>
<tr>
<td>Glucoamylase 2</td>
<td>11740</td>
<td>23.13</td>
<td>507.57</td>
</tr>
</tbody>
</table>

*Aspergillus niger* strains 1 and 2 were the sources of glucoamylase 1 and 2, respectively.

Table 3. Glucoamylase activity after Ammonium Sulphate precipitation.

<table>
<thead>
<tr>
<th>Percentage (%)(w/v) Ammonium sulphate saturation</th>
<th>Enzyme activity (unit/ml)</th>
<th>Protein content (mg/ml)</th>
<th>Specific activity (unit/mg/ml)</th>
</tr>
</thead>
<tbody>
<tr>
<td>60</td>
<td>33179.86</td>
<td>16.29</td>
<td>2036.82</td>
</tr>
<tr>
<td>80</td>
<td>47985.86</td>
<td>16.29</td>
<td>2945.725</td>
</tr>
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are good potential producers of glucoamylase using rice bran and other agro waste residues. This in turn shows the relevance and importance of agricultural raw materials found greatly in our local environment. This investigation also shows the control of regulatory patenting rights through proper research and development. Industries also have the potential of adopting the enzymes produced for optimal production and by saving cost in enzyme importation. The report also shows the need for improved enzyme production through analysis of better substrates and also adopting improved technology in production medium.

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Heterologous expression and characterization of purified partial endochitinase (ECH-42) isolated from *Trichoderma harzianum*

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Chitinase gene from *Trichoderma harzianum* was cloned and heterologously over expressed in M15 *Escherichia coli*. The recombinant protein of 42 kDa from *E. coli* was purified through Ni-NTA affinity column chromatography. The purified enzyme was active over broad range of pH (2.0 to 8.0) and temperature (10 to 60°C) with the peak activity at pH 5 (0.50 µg/ml) and 20°C with enzyme activity value (0.49 µg/ml). The purified protein fractions were tested for *in vitro* antifungal activity against different phytopathogens like *Fusarium oxysporum* f.sp. *lycopersici*, *Sclerotium rolfsii*, *Alternaria brassicae* and *Alternaria brassicicola*. Purified endochitinase isolated from *T. harzianum* caused necrotic lesions, segmentation, branching and hyphal bursting at the concentration of 200 µg ml⁻¹.

**Key words:** Antifungal activity, *Trichoderma harzianum*, *Fusarium oxysporum* f.sp. *lycopersici*, *Sclerotium rolfsii*, *Alternaria brassicae* and *Alternaria brassicicola*.

**INTRODUCTION**

*Trichoderma* spp. are among the most frequently isolated soil fungi, well known for their biocontrol ability against a wide range of plant pathogenic fungi (Howell, 2003; Sharma et al., 2011). There are various modes of action associated with the ability of *Trichoderma* spp. to control plant pathogens but the best characterized and studied mechanism is mycoparasitism which involves a group of genes that encode for lytic enzyme chitinases (EC 3.2.1.14), known to play important role in digesting chitin wall of the phytopathogenic fungi. Chitin, which is a significant component in the cell walls of large groups of fungi is made up of units of N-acetylglucosamine, linked together by 1,4-β-glycosidic bonds. Chitinases being chitin-degrading enzymes hydrolyze the β-1,4-glycosidic bonds between the N-acetyl glucosamine residues of chitin. There are many chitinase-producing organisms including bacteria (Ningthoujam et al., 2009), insects (Merzendorfer and Zimoch, 2003), plants (Salami et al., 2008), fungi (Rattanakit et al., 2007) and vertebrates (Tunc et al., 2008). Chitinases are classified into two families, family 18 and 19, based on the amino acid sequence of their catalytic domains. While family 18 includes
chitinases of viruses, fungi, bacteria, animals and some plants. Family 19 includes all chitinases from plant origins and Streptomyces griseus (Patil et al., 2000; Dahiya et al., 2006). Chitinase secretion is one of the mechanisms used by Trichoderma to inhibit the growth of other fungi. Trichoderma chitinases belong to the glycosyl hydrodase family 18 and can be further grouped into class III and class V. Many chitinase genes from Trichoderma have been studied, including class III chitinases, such as cht33 of T. harzianum, cht33 of T. atroviride and cht1 of T. virens and class V chitinase, such as ech1, ech2 and ech3 of T. virens (Kim et al., 2002; Markovich and Kononova, 2003; Duo-Chuan, 2006). Many biological control agents in the last few years were being tested and are commercially available in market. However, there is still considerable interest in finding more efficient strains, which differ considerably with respect to their biocontrol effectiveness. The main objective of the study was the heterologous expression and partially purified ech42 endochitinase and in vitro antifungal property of purified protein against different phyto-pathogenic fungi.

MATERIALS AND METHODS

Collection of Trichoderma spp. under test

Fungal cultures of T. harzianum were taken for isolation, cloning and characterization of gene coding endochitinase in Trichoderma. T. harzianum strain Th3 (ITCC: 5593), was specifically taken for this study from biocontrol laboratory, division of Plant pathology, IARI, New Delhi. The plasmid pQE-30 (Qiagen) was used as expression vector, and E. coli strain M15 was used as an expression host. The experimental T. harzianum was multiplied on potato dextrose agar media, with the combination of peeled potato: 250 g, dextrose: 20 g, agar: 15 g and distilled water: 1000 ml.

Cloning and sequencing of endochitinase gene

DNA sequence of 1,476 base encoding endochitinase was successfully cloned with accession no JN798187. The deduced amino acid sequence of endochitinase of T. harzianum was seen homologous to other Trichoderma species (82-97%) identity (Sharma et al., 2012). The nucleotide sequence having 1 to 268 bp open reading frame that has high homology with other reported Ch42 belonging to the Trichoderma spp. The amino acid N-terminal sequence showed a putative signal peptide for the possible secretion of the protein. The amplicon was then digested with restriction enzymes and was ligated into pre-digested pQE-30 expression vector. The recombinant vector was transformed into chemical competent cells of E. coli M15 by heat shock method (Froger and Hall, 2007). The transformants were selected on Luria-Bertani (LB) agar supplemented with ampicillin (100 μg.ml⁻¹). The positive clones were screened by colony PCR. The recombinant plasmids were extracted by plasmid extraction kit (Qiagen) and selection was carried out by restriction analyses and sequencing.

Expression in Escherichia coli and purification

The E. coli strain M15 harboring the pQE-Chi42 vector was grown at 37°C. When the OD 600 increased from 0.8 to 1.0, isopropyl-β-D-thiogalactoside (IPTG) inducer was added to a final concentration of 0.1 mM. The culture was further incubated for 6 h. Cells were harvested by centrifugation and stored at -20°C. Previously stored cells at -20°C were transferred to ice and kept on ice for 30 min to thaw. The cells were resuspended in lysis buffer (50 mM Na-phosphate buffer pH 8.0, 300 mM NaCl, and 10 mM imidazole). Lysozyme was added at a final concentration of 1.0 mg ml⁻¹ to facilitate the lysis. The cell lysis was performed mechanically using sonicator equipped with sonication microtip, using six 10 s bursts at 200 to 300 Watt, with a 10 s cooling period between each burst. After lysis, cell debris were removed by centrifugation at 20 000 g. Total soluble proteins were applied to Ni-NTA matrix column (Qiagen) and Chi42 was purified following the instruction of the manufacturer (Qiagen). Purity of the Chi42 was further confirmed by sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE, 12.5%) for analysis of purified protein fractions.

Enzymatic assay for chitinase

Chitinase activity is determined colorimetrically by detecting the amount of N-acetylglucosamine (GlcNac) released from the colloidal chitin substrate. Colloidal chitin (Sigma Chemicals Co., USA) was used as a substrate (Wen et al., 2005). 0.3 ml of 1% colloidal chitin in acetate buffer (50 mM, pH 6.0) with 1 ml of enzyme were mixed and incubated at 30°C for 30 min. The hydrolysis reaction was terminated by adding 0.6 ml of dinitrosalicylic acid (DNS) reagent. The mixture was kept in a boiling water bath for 15 min, chilled and centrifuged to remove the insoluble chitin. The resulting adduct was measured in UV double beam spectrophotometer at 450 nm (Miller, 1959). One unit of enzyme activity was defined as the amount of enzyme that catalyzed the release of 1 mol of N-acetyl D-glucosamine per ml in minutes.

Effect of temperature, pH on purified enzyme activity

Chitinase activity was assayed at different pH values (pH 2.0, 3.0, 4.0, 5.0, 6.0, 7.0 and 8.0) using buffers, such as citrate-phosphate buffer (100 mM, pH 2.5 to 7.0), sodium phosphate buffer (100 mM, pH, 7.0-8.0), and glycine-NaOH buffer (100 mM, pH, 8.5 to 10.0). The purified enzyme was incubated at a range of temperatures (10, 20, 30, 40, 50 and 60°C) to check for the enzyme activity.

Antifungal activity assay

Cylinder plate method (Jhonson and Curl, 1972) was used to make wells in the medium. The plug colony of F. oxysporum f. sp. lycopersici, S. rolfsii, A. brassicicola and A. brassicae were grown on petri dishes containing 1.5% potato dextrose agar (PDA). After three days of growth when colony diameter was 4 to 5 cm, wells were filled with 50 μL of enzyme solution with different concentration of purified enzyme ranging from (50,100, 150, 200 μg ml⁻¹) in triplicates. Plates were then observed after 16 h. Abnormal growth of hyphae and morphological changes were noted through light microscopy.

RESULTS

Purification of endochitinase

Chitinase cDNA was cloned in a prokaryotic expression vector named pQE-30. The cloning strategy was designed such that the protein containing an additional N-terminal methionine residue and C-terminal 6x-His Tag
would be produced. Expression of the active chitinase was optimized by inducing it with IPTG inducer at different time of induction. After SDS-PAGE, the chitinase was regenerated by the removal of SDS with purified Triton X-100. After purification with Ni-NTA column to bind to 6x His Tag the recombinant protein was found to be 42 kDa on SDS-PAGE as shown in Figure 1. The purified recombinant protein fractions extracted from pQE-30 with low amounts having protein (mg/mL) 1.01, 0.94, 1.20 respectively.

**Effect of pH**

The effect of pHs and stability for chitinase based on enzyme activity were examined at 28°C by varying pHs of the reaction mixture (pH 2 to 8) using different buffers. The enzyme was found most active between pH 3.0 to 6.0 with enzyme activity (0.30 µg/ml at pH 3.0, 0.37 µg/ml at pH 4.0, 0.57 µg/ml at pH 5.0 and 0.50 µg/ml at pH 6.0 respectively. Beyond this there is indeed loss of enzyme stability it found relatively stable at pH 7.0 and pH 8.0 with the enzyme activity (0.39 µg/ml and 0.30 µg/ml respectively). Chitinases, including the one under study showed a pH optimum in the acidic range (Figure 2).

**Effect of temperature**

When the enzyme was kept at various temperatures for 30 min in an acetate buffer (pH 5.0) the chitinase activity was most active at 20°C with the enzyme activity (0.49 µg/ml). The activity starts decreasing from 30°C (0.43 µg/ml) to 40°C (0.27 µg/ml). Above 40°C, the activity decreased further at 50°C (0.18 µg/ml) and was reduced to 0.06 µg/ml at 60°C (Figure 3).

**Inhibition of fungal growth**

Inhibition zone of 42 kDa endochitinase isolated from *T. harzianum* against different phytopathogens like *F. oxysporum* f.sp. lycopersici, *S. rolfsii*, *A. brassicae* and *A. brassicicola* was formed at the concentration of 200 µg ml⁻¹. No inhibition zone was found between 50 to 100 µg ml⁻¹. Microscopic examination revealed that enzyme caused necrotic lesions, branching, hyphal bursting at the concentration of 200 µg ml⁻¹ (Figures 4 to 7).

**DISCUSSION**

Fungi of genus *Trichoderma* has been long recognized for their ability to act as a biocontrol agent against plant pathogens (Harman, 2006). Most of these studies are based on characterization of genes and a few involved in purification of proteins. In the present study, an attempt was made to demonstrate *in vitro* over expression of the product of the gene coding endochitinase ech-42 in *E. coli* which is a part of the chitinolytic enzyme system of fungi and mechanism of biocontrol. The investigation leads us to separate a 42 kDa protein of *T. harzianum* on SDS-PAGE which was later purified to homogeneity as also been reported by Harighi et al. (2007). The activity of ech-42 was examined at different temperatures (20 to 60°C) with an optimum of 0.49 µg/ml at 20°C. The enzyme seems to retain activity at 60°C which supports the finding of Harighi et al. (2007) who reported the heat
Figure 2. Influence of pH (2 to 8) on chitinase* activity. The values are the means ± S.E., for each pH, in each column followed by the same letter are significantly Different (P ≤ 0.05) from each other according to Duncan’s Multiple Range (DMR) test.

Figure 3. Influence of temperature 10 to 60°C on chitinase activity. The values are the means ± S.E. for each value in each column followed by the same letter are significantly different (P ≤ 0.05) from each other according to Duncan’s multiple range (DMR) test.
Figure 4. (A) Effect on growth of *Fusarium oxysporum f.sp. lycopersici* in response to different concentrations of endochitinase. Wells contain 50 µl of enzyme solution in µg ml⁻¹ from top in clockwise direction (50, 100, 150 and 200). (B) Cellular bursting was observed at 200 µg ml⁻¹ concentration. (C) Lysis of the hypha and loss of cellular components at 200 µg ml⁻¹.

Figure 5. (A) Effect on growth of *Sclerotium rolfsii* in response to different concentrations of endochitinase. Wells contain 50 µl of enzyme solution in µg ml⁻¹ from top in clockwise direction (200, 100, 150 and 50). (B) Enzyme disturbance seen in the form of hyphal swelling at 200 µg ml⁻¹. (C) Enzyme disturbance in the form of necrotic lesion and hyphal burst is visible at enzyme concentration of 200 µg ml⁻¹.

Figure 6. (A) Effect on growth of *Alternaria brassicicola* in response to different concentrations of endochitinase. Wells contain 50 µl of enzyme solution in µg ml⁻¹ from top in clockwise direction (200, 150, 100 and 50) (B+C) Enzyme disturbance seen in the form of branching but it is stable to recover as we did not find any sign of cellular bursting at 150-200 µg ml⁻¹.

stability of purified endochitinase. The enzyme activity was also determined at different pH (2 to 8). The highest activity was observed at pH 5 and enzyme was found stable from pH 5 to 7. These results are similar to the findings of Rashed et al. (2010) wherein the optimum temperature for *ech-42* at 35°C with maximum stability up to 55°C and highest activity at pH 6. Similarly there are other fungal chitinases (Chi 1, Chit 37, Chit 46) reported by
several workers who found variable temperature and pH optimum (Gan et al., 2007; Caihong et al., 2007; Ike et al., 2006).

Investigations into the biological activity of *T. harzianum* clearly indicate strong reducing effect towards different phytopathogens *F. oxysporum* f.sp. *lycopersici*, *S. rolfsii*, *A. brassicaceae* and *A. brassicicola*. Microscopic examination revealed that the enzyme caused necrotic lesions, branching, hyphal bursting at the concentration of 200 μg ml⁻¹ which is similar to the findings of Harjono and Widyastuti (2001), where pathogenic response of purified endochitinase of *T. reesei* on *Ganoderma philippii* was investigated. There are many workers who reported that the chitinases are substantially more active and effective against a wide range of fungi like Kaomek et al. (2003) who tested the antifungal activity of *L. leucocephala* chitinase against *Collectotrichum* sp., *Pestalestiospis* sp. and *Fusarium* sp. and found it as good candidate for fungal inhibition.

**Conclusion**

It is concluded that 42-kDa endochitinase produced by *T. harzianum* has antifungal activity *in vitro*. The genes of *T. harzianum* coding for chitinolytic enzymes are attractive sources of these enzymes as their products can be used for combating with many phytopathogenic fungi. The enzymes like endochitinases can be used to add more biocontrol capabilities in wide series of microorganisms after further characterization. This could be an added advantage in bioprospecting novel antifungal or other compounds.

**Conflict of Interests**

The author(s) have not declared any conflict of interests.

**ACKNOWLEDGEMENTS**

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**Figure 7.** (A) Effect on growth of *Alternaria brassicae* in response to different concentrations of endochitinase. Wells contain 50 μl of enzyme solution in μglm⁻¹ from top in clockwise direction (200, 150, 100 and 50). (B) Hyphal necrosis and swelling observed at concentration 200 μglm⁻¹. (C) Segmentation and enzyme disturbance observed.